

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENATE

99 AUG -3 AM 11:06

H.D.

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name <u>The Trustees of the University of Pennsylvania</u>			
2. Address <input type="checkbox"/> Check if different than previously reported <u>3451 Walnut Street, Philadelphia, PA 19104</u>			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name <u>Carol R. Scheman</u>	Telephone <u>(215) 898-1388</u>	E-mail (optional) <u>scheman@pobox.upenn.edu</u>	5. Senate ID # <u>38608-12</u>
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # <u>38608</u>

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ → Termination Date _____

11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> → \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> → \$ <u>120,000</u> Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input checked="" type="checkbox"/> Method B. Reporting amounts under section 6033(h)(8) of the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature _____

Printed Name and Title Carol R. Scheman, Vice President for Government, Community & Public Affairs

LD-2 (REV. 6/98)

PAGE 1 of 2

The Trustees of the
Registrant Name University of Pennsylvania Client Name self - ID#38608-12 Senate - #38608 House

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

HR.1906/S.1233 FY2000 Appropriations for the Department of Agriculture
S.1186 FY2000 Appropriations for the Department of Energy
S.1292 FY2000 Appropriations for the Department of Interior
S.1122 FY2000 Appropriations for the Department of Defense
HR.1141 FY'99 Kosovo/Disaster-relief Supplemental Appropriations
HR.4328 FY'99 Omnibus Appropriations Act

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol R. Scheman	Vice President for Government, Community & Public Affairs	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature CR Date July 30, 1999
Printed Name and Title Carol R. Scheman, Vice President for Government, Community & Public Affairs

The Trustees of the
Registrant Name University of Pennsylvania Client Name self - ID # 38608-12 Senate - 38608 House

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (one per page)

16. Specific lobbying issues

HR.1141 FY'99 Kosovo/Disaster-relief Supplemental Appropriations

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol R. Scheman	Vice President for Government, Community & Public Affairs	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature  Date July 30, 1999

Printed Name and Title Carol R. Scheman, Vice President for Government, Community & Public Affairs

The Trustees of the
Registrant Name University of Pennsylvania Client Name Self - ID # 38608-12 Senate - 38608 House

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues

H.Con.Res.68 Budget Resolution, Tobacco Settlement Restrictions
HR.1529 Medicare
S.R. 19 NIH Funding
S.1268 Research Funding
S.1023/HR.1785 Graduate Medical Education
S.1024/HR.1103 Disproportionate Share Funding
VA Medical Research Funding
Appropriations, Research, Organ Transplants.

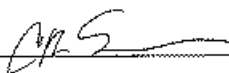
17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Russ Molloy, J.D.	Associate Executive Vice President for Government Relations University of Pennsylvania Health System	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature  Date July 30, 1999
Printed Name and Title Carol R. Scheman, Vice President for Government, Community & Public Affairs

The Trustees of the
Registrant Name University of Pennsylvania Client Name self - ID# 38608-12 Senate - 38608 House

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code SCT (one per page)

16. Specific lobbying issues

S.507 Omnibus Patent Act of 1997

S.2217 The Federal Research and Investment Act

S.578 Health Care PIN Act

HR.2086 Networking and Information Technology Research and Development Act

The Twenty First Century Research Laboratories Act (no number yet)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

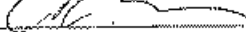
U.S. House of Representatives

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol R. Scheman	Vice President for Governmental Community & Public Affairs	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature  Date July 30, 1999
Printed Name and Title Carol R. Scheman, Vice President for Government, Community & Public Affairs

The Trustees of the
Registrant Name University of Pennsylvania Client Name Self - ID# 38608-12 Senate - 38608 House

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

- HR.323 The Employee Educational Assistance Act of 1999
S.1134 The Affordable Education Act of 1999
S.1086 A bill to amend the Internal Revenue Code of 1986 to waive the income inclusion on a distribution from an individual retirement account to the extent that the distribution is contributed for charitable purposes.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

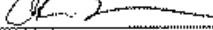
U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Carol R. Scheman	Vice President for Government, Community & Public Affairs	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature  Date July 30, 1999
Printed Name and Title Carol R. Scheman, Vice President for Government, Community & Public Affairs

The Trustees of the
Registrant Name University of Pennsylvania Client Name self - ID# 38608-12 Senate - # 38608 House

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain

ECN

IMM

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

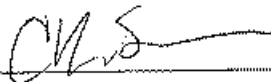
FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that **no longer owns, or controls, or is** affiliated with the registrant, client or affiliated organization

Signature



Date July 30, 1999

Printed Name and Title Carol R. Scheman, Vice President for Government, Community & Public Affairs

Form LD-2 (Rev. 6/98)

Page 7 of 7