

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETARY OF THE SENATE  
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## LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

Check if this is an Amended Registration  1. Effective Date of Registration 10/1/2002  
2. House Identification Number \_\_\_\_\_ Senate Identification Number \_\_\_\_\_

### REGISTRANT

3. Registrant Name **Piper Rudnick LLP**  
Address **901 15th Street, N.W. Suite 700**  
City **Washington** State **DC** Zip **20005**  
4. Principal place of business (if different from line 3)  
City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_  
5. Telephone number and contact name Contact E-Mail (optional)  
**(202) 371-6000 John H. Zentay john.zentay@piperrudnick.com**  
6. General description of registrant's business or activities  
**Law firm**

### CLIENT

*A lobbying firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should labeled "Self" and proceed to line 10.*  Self

7. Client Name **New York Stock Exchange**  
Address **1800 K Street, N.W. Suite 1100**  
City **Washington** State **DC** Zip **20006**  
8. Principal place of business (if different from line 7)  
City **New York** State/Zip (or Country) **NY**  
9. General description of client's business or activities  
**Stock exchange**

### LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any person listed in this section has served as a "covered executive branch official" or "covered legislative branch official" within two years of first acting as a lobbyist for this client, state the executive and/or legislative position(s) in which the person served.

Name	Covered Official Position (if applicable)
<b>James E. Hyland</b>	<b>Legislative Director, Senator Kay Bailey H</b>
<b>John H. Zentay</b>	



Registrant Name: Piper Rudnick LLP  
 Client Name: New York Stock Exchange

**LOBBYING ISSUES**

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, page 1.

**FIN**

12. Specific lobbying issues (current and anticipated)

**Future structure of stock markets. Legislation to limit access to U.S. securities markets.**

**AFFILIATED ORGANIZATIONS**

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period and in whole or major part plans, supervises, or controls the registrant's lobbying activities?

No. Go to line 14.

Yes. Complete the rest of this section for each entity matching criteria above, then proceed to line 14.

Name	Address	Principal Place of Business (city and state or country)

**FOREIGN ENTITIES**


14. Is there any foreign entity that:

- a) holds at least 20% equitable ownership in the client or any organization identified on line 13; or
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances, or subsidizes activities of the client or any organization identified on line 13; or
- c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of the lobbying activity?

No. Sign and date the registration.

Yes. Complete the rest of this section for each entity matching criteria above, the sign and date the registration.

Name	Address	Principal Place of Business (city and state or country)	Amount of contribution for lobbying activities
<b>**NONE**</b>			<b>\$0.00</b>

Signature  Date 2/14/2003

Printed Name and Title

**John H. Zentay - Partner**

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Form LD-1 (Rev. 06/98)