

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**NEW YORK BANKERS ASSN**

2. Address:

99 PARK AVENUE, 4TH FLOOR, NEW YORK, NY 10016-1502

3. Principal place of business (if different from line 2):

4. Contact Name: MICHAEL P. SMITH

Telephone: 2122971699

E-mail (optional): msmith@nyba.com

Senate ID #: 29163-12

House ID #: 314320000

7. Client Name: ☒ Self

## TYPE OF REPORT

8. Year 2007 Midyear (January 1 - June 30): ☒ **OR** Year End (July 1 - December 31): ☐

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

## INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): \_\_\_\_\_

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 80,000.00

### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

☒ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: NEW YORK BANKERS ASSN Client Name: Self

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BAN (one per page)

16. Specific lobbying issues:

Terrorism Risk Insurance Act extension (H.R. 2761); CFIUS Reform (H.R. 556); Community Development Investments (H.R. 1066); Privacy (H.R. 498, H.R. 958, S. 495, S. 806, S. 1178, S. 1260); Executive Compensation (H.R. 1257); PATRIOT Act (H.R. 1314); SBA Disaster Loans (S. 163, H.R. 1361); GSE regulation (H.R. 1427, S. 1100); ILC holding company legislation (H.R. 698, S. 1356); Flood Insurance Reform (H.R. 1682, H.R. 3121, S. 1890); Catastrophe Savings Accounts (H.R. 1787, S. 927); Borrowers Protection Act (S. 1299); Student Loan Legislation (S. 486, S. 511, S. 1642, S. 1762, H.R. 890, H.R. 2669); SBA Reform (S. 1256, H.R. 1332); Treasury Department Appropriations (H.R. 2829); Interstate Taxation (S. 1726); Sarbanes-Oxley Reform Legislation (H.R. 1508); Regulatory Burden Relief (H.R. 1869); FHA Reform (H.R. 1852); The Farm Bill (H.R. 2419). Regulation: Community Reinvestment Act regulations; FDIC ILC Applications; OTS gift card guidance; Subprime Mortgage Lending; SEC and PCAOB Sarbanes-Oxley regulations and guidance; Regulatory Burden Relief Regulations; Payday Lending Regulations; Deposit Insurance Regulations; SBA Regulations; Federal Reserve Board's Regulation Z (Truth-in-Lending); Fed-SEC Regulation R. Legislation: MMDA Sweep Account and legislation to repeal the prohibition on the payment of interest on deposits (H.R. 41); Real estate brokerage and management (H.R. 111, S. 413); CTR reports for seasoned customers (H.R. 323); College Student Relief Act of 2007 (H.R. 5); Tax provisions of minimum wage bill (H.R. 6, H.Res. 261, H.Res. 332, H.R. 1591, H.R. 2206, S. 965); credit card fees and services legislation; Small Business Tax Relief Act (H.R. 976); Credit Union Regulatory Improvement Act (H.R. 1537); (continued)

17. House(s) of Congress and Federal agencies contacted:

Defense, Dept of (DOD)

Federal Bureau of Investigation (FBI)

Federal Deposit Insurance Commission (FDIC)

Federal Reserve System

HOUSE OF REPRESENTATIVES

Office of Thrift Supervision (OTS)

Office of the Comptroller of the Currency (OCC)

SENATE

Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BOSIES, WILLIAM J

Covered Official Position (if applicable): N/A

Name: JANNETTY, KAREN

Covered Official Position (if applicable): N/A

Name: KOTKIN, ROBERTA

Covered Official Position (if applicable): N/A

Name: RICE, STEPHEN W.

Covered Official Position (if applicable): N/A

Name: SMITH, MICHAEL P.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Aug 13, 2007

Printed Name and Title: ROBERTA KOTKIN, GENERAL COUNSEL & CHIEF OPERATING -

**Information Update Page:**

**Complete ONLY where registration information has changed.**

**LOBBYIST UPDATE**

**23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client**

**ISSUE UPDATE**

**24. General lobbying issues previously reported that NO LONGER pertain**

**AFFILIATED ORGANIZATIONS**

**25. Add the following organization(s)**

**26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client**

**FOREIGN ENTITIES**

**27. Add the following foreign entities**

**28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization**

Signature: ON FILE      Date: Aug 13, 2007

Printed Name and Title: -