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Washington, DC 20510

SECRETARY OF

03 AUG 13

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Investment Company Institute			
2. Address <input type="checkbox"/> Check if different than previously reported 1401 H Street, NW, Suite 1200			
3. Principal Place of Business (if different from line 2) City: Washington State/Zip (or Country) DC, 20005			
4. Contact Name Lawrence R. Maffia	Telephone (202) 326-5857	E-mail (optional)	5. Senate ID # 20128-12
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 31117000

TYPE OF REPORT 8. Year 2003 Midyear (January 1-June 30) OR Year End (July 1-De

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇒ Termination Date _____

11. No Lobbyir

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
<p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>3,360,000</u> Expenses (nearest</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6011 Internal Revenue Code</p> <p><input checked="" type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code</p>

Signature _____

Printed Name and Title _____

LD-2 (REV. 6/98)

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Registrant Name Investment Co. Institute Client Name self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues

- Bank Interest on Business Checking - H.R. 758; H.R. 859
- Bankruptcy Reform - H.R. 2120; H.R. 975
- Regulatory Relief - H.R. 1474
- Fair Credit Reporting - H.R. 1766; H.R. 2622; S. 660
- FDIC Reform - H.R. 522; S. 229

17. House(s) of Congress and Federal agencies contacted Check if None

- U.S. Senate
- U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Donald J. Morrissey	
Dean R. Sackett, III	
David Kems	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

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Registrant Name Investment Co. Institute Client Name self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

SEC Appropriation & Authorization - H.J. Res. 2; H.R. 2799
SEC Hiring Authority - H.R. 658

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. Senate
U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>David Kemps</u>	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

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Page ____

Registrant Name Investment Co. Institute Client Name self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

- Effects on Mutual Fund Holdings - H.R. 2575
- Electronic Communications - H.R. 2214; H.R. 2515; S. 877
- Hedge Fund Regulation
- Market Structure - H.R. 2179; H.R. 657
- Mutual Fund Fees - H.R. 2420
- Privacy of Information - H.R. 1636
- Regulatory Relief - H.R. 1375

17. House(s) of Congress and Federal agencies contacted Check if None

- U.S. Senate
- U.S. House of Representatives
- Department of Treasury
- Executive Office of the President

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>Daniel F.C. Crowley</u>	
<u>Julie Domenick</u>	
<u>Donald J. Morrissey</u>	
<u>Timothy Forde</u>	
<u>Dean R. Sackett, III</u>	
<u>David Kemp</u>	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____



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Registrant Name Investment Co. Institute Client Name self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each category of information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific lobbying issues

Cross-Trades
Investment Advice - H.R. 1000
IRA/Pension Reform - H.R. 1776; H.R. 2458

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. Senate
U.S. House of Representatives
Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
...J. Michael Stern...	
...David Kemp...	
...Keith Lawson...	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____



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Registrant Name Investment Co. Institute Client Name self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Capital Gains Distributions - H.R. 1989; H.R. 496
Dividend Tax Relief - H.R. 2; S.2

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. Senate
U.S. House of Representatives
Executive Office of the President

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Daniel F.C. Crowley	
J. Michael Stern	
David Kemp	
Donald J. Morrissey	
Keith Lawson	
Cathy Barre	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

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Registrant Name Investment Co. Institute Client Name self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

Financial Services Trade Agreements
Investment Competitiveness - H.R. 1669; H.R. 285; H.R. 2896

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
J. Michael Stern	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 11-1-05

Printed Name and Title Lawrence R. Maffia Executive Vice President-Administration

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