



THE
ERISA
INDUSTRY
COMMITTEE

January 5, 2004

SECRETARY OF THE SENATE
04 JAN 12 PM 1:3

The Honorable Emily J. Reynolds
Secretary of The U.S. Senate
Senate Office of Public Records
232 Hart Senate Office Building
Washington, DC 20510-7116

RE: 2003 Mid-Year LD-2 Report
Registrant: The ERISA Industry Committee (I.D. 13803-12)

Dear Madame Secretary:

The ERISA Industry Committee is in receipt of your letter dated December 2, 2003 regarding the filing of The U.S. Senate 2003 Mid-Year LD-2 Report covering the period of January 1, 2003-June 30, 2003.

The ERISA Industry Committee originally filed its Senate 2003 Mid-Year LD-2 Report electronically on August 14, 2003 to the Senate and received confirmation 13803-12 2003-08142003104749 (see attached confirmation). Unfortunately, the report incorrectly indicated the "Type of Report" as 2003 End Year (Jul 1-Dec 31). The correct Type of Report should have read "2003 Midyear (Jan 1-Jun 30)."

As per my conversation with Deanna at The U.S. Senate Office of Public Records, ERIC is submitting the enclosed amended 2003 Mid-Year LD-2 Report for processing. We apologize for the error and any inconvenience.

If you need additional information, please contact me in writing at the address below or by telephone (202) 789-1400.

Thank you in advance for your assistance in processing ERIC's amended report.

Sincerely,

A handwritten signature in cursive script, appearing to read "Deborah Chin".

Deborah Chin
Vice President, Administration

Enclosure
USPS Certified Mail #: 7003 2260 0000 5122 0020

1400 L Street, N.W.
Suite 350
Washington, DC 20005
TEL: (202) 789-1400

FAX: (202) 789-1120
www.eric.org



The ERISA Industry Committee is a non-profit association committed to the advancement of the employee retirement, health and welfare benefit plans of America's major employers.

FAADMIN\LOBBY\RPT03.rpt\Senate Jan 5, 2004 Ltr RE 2003 Midy



Filing Verification

SECRETARY
04 JAN 12

Your Filing Has Been Received By The Secretary Of Senate's Office of Public Records!

Your confirmation number for this filing is: '13803-12-2003-08142003104749'

[Back To Main Page!](#)

https://onr.senate.gov/oi-win/ss_ren_action_exe

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13803-12-2003-08142003104749

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETARY
04 JAN 12**LOBBYING REPORT - Amended**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Required To Complete This Page

1. Registrant Name: ERISA INDUSTRY COMMITTEE			
2. Address <input type="checkbox"/> Check if different than previously reported 1400 L STREET, N.W., SUITE 350			
City: WASHINGTON		State: DC Zip: 20005 Country: USA	
3. Principal place of business (if different from line 2) City: State: DC Zip: Country: USA			
4. Contact Name: MARK J. UGORETZ	Telephone: 202-789-1400	Email: mugoretz@eric.org	5. Senate ID # 13803-12
7. Client Name: ERISA INDUSTRY COMMITTEE			6. House ID # 32318000

Type of Report 8. Year (YYYY) 2003 Midyear (Jan 1-Jun 30) or Year End (Jul 1-Dec 31) 9. Check if this filing amends a previously filed version of this report 10. Check if this is a Termination Report --> Termination Date 11. No Lobbying Activity **INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
<input type="checkbox"/> Less than \$10,000 (\$0.00 - \$9,999.99)	<input type="checkbox"/> Less than \$10,000 (\$0.00 - \$9,999.99)
<input type="checkbox"/> \$10,000 or more	<input checked="" type="checkbox"/> \$10,000 or more
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	\$180,000
	14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description
	<input type="checkbox"/> Method A. Reporting amounts using LDA definition
	<input type="checkbox"/> Method B. Reporting amounts under section 6 of the Internal Revenue Code
	<input checked="" type="checkbox"/> Method C. Reporting amounts under section 1 of Internal Revenue Code
Signature:	
Printed Name: DEBORAH CHIN	
Title: DIRECTOR, ADMINISTRATION	

1 " / . . / .

13803-12-2003-08142003104749

Registrant Name:
ERISA INDUSTRY COMMITTEE

Client Name:
ERISA INDUSTRY COMMITTEE

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

- 15. General issue area code **HCR**
- 16. Specific lobbying issues (current and anticipated)

H.R. 1774 Small Business Health Fairness Act of 2001
 H.R. 2036 Social Security Number Privacy and Identity Theft Prevention Act of 2001
 H.R. 3009 Andean Trade Preference Expansion Act
 H.R. 3529 Economic Security and Worker Assistance Act of 2001
 H.R. 4066 Mental Health Equitable Treatment Act of 2002
 H.R. 4600 Help Efficient, Accessible, Low Cost, Timely Health Care Act of 2002
 H.R. 4673 Medication Error Prevention Act of 2002
 H.R. 4678 Consumer Privacy Protection Act of 2002
 H.R. 4889 Patient Safety Improvement Act of 2002
 H.R. 4954 Medicare Modernization and Prescription Drug Act of 2002
 H.R. 5646 Stop Taking Our Health Privacy Act of 2002
 S. 318 Genetic Nondiscrimination in Health Insurance and Employment Act
 S. 543 Mental Health Equitable Treatment Act of 2001
 S. 710 Eliminate Colorectal Cancer Act of 2002
 S. 812 Greater Access to Affordable Pharmaceuticals Act of 2002
 S. 848 Social Security Number Misuse Prevention Act of 2002
 S. 1135 Medicare Reform Act of 2001
 S. 1209 Customs Border Security Act of 2002
 S. 1995 Genetic Information Nondiscrimination Act of 2002
 S. 2201 Online Personal Privacy Act
 S. 2590 Patient Safety and Quality Improvement Act
 S. 2625 Medicare Outpatient Prescription Drug Act of 2002
 S. 2638 Efficiency in Health Care Act of 2002
 S. 2729 21st Century Medicare Act
 S. 3018 Beneficiary Access to Care and Medicare Equity Act of 2002

17. House(s) of Congress and Federal agencies contacted. Check if none.

SENATE	HOUSE OF REPRESENTATIVES	Executive Office of the President	Health & Human Services, Dept of (HHS)
Labor, Dept of (DOL)	Treasury, Dept of	Centers For Medicare and Medicaid Services (CMS)	Pension & Welfare Benefits Administration
Internal Revenue Service (IRS)			

18. Name of each individual who has acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
CHEN, LANHEE J.	LEGISLATIVE REPRESENTATIVE
KNETTEL, ANTHONY J.	N/A
UGORETZ, MARK J.	N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. Check if none.

Printed Name:
DEBORAH CHIN

Date: **8/14/03**
Title:
DIRECTOR, ADMINISTRATION

13803-12-2003-08142003104749

Registrant Name:

ERISA INDUSTRY COMMITTEE

Client Name:

ERISA INDUSTRY COMMITTEE

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RET

16. Specific lobbying issues (current and anticipated)

H.R. 553 Protecting America's Savings Act of 2002
H.R. 586 Economic Growth and Tax Relief Reconciliation Act
H.R. 622 Hope for Children Act
H.R. 1322 Emergency Retiree Health Benefits Protection Act of 2001
H.R. 2695 To amend the Internal Revenue Code of 1986 to clarify the treatment of incentive stock options and employee stock purchase plans
H.R. 2884 Victims of Terrorism Relief Act of 2001
H.R. 3005 Bipartisan Trade Promotion Authority Act of 2001
H.R. 3009 Andean Trade Preference Expansion Act
H.R. 3090 Economic Security and Recovery Act of 2001
H.R. 3415 Employment Contract Protection Act of 2001
H.R. 3463 Pension Protection Act of 2002
H.R. 3509 Retirement Account Protection Act of 2001
H.R. 3622 Emergency Worker and Investor Protection Act of 2002
H.R. 3623 The Employee Savings Protection Act of 2002
H.R. 3657 Employee Pension Freedom Act of 2002
H.R. 3669 Employee Retirement Savings Bill of Rights
H.R. 3762 Pension Security Act of 2002
H.R. 3763 Corporate and Auditing Accountability, Responsibility, and Transparency Act of 2002
H.R. 3763 Corporate and Auditing Accountability, Responsibility, and Transparency Act of 2002
H.R. 3763 Corporate and Auditing Accountability, Responsibility, and Transparency Act of 2002
H.R. 4931 Retirement Savings Security Act of 2002
H.R. 5095 American Competitiveness Act of 2002
H.R. 5095 American Competitiveness and Corporate Accountability Act of 2002
H.R. 5166 Tax Simplification Act of 2002
H.R. 5242 Workplace Employee Stock Option Act of 2002

S. 848 Social Security Number Misuse Prevention Act of 2002
S. 1677 Independent Investment Advice Act of 2001
S. 1838 Pension Protection and Diversification Act
S. 1919 Retirement Security Protect Act
S. 1921 Pension Plan Protection Act
S. 1940 Ending the Double Standard for Stock Options Act
S. 1969 Protecting Security Act of 2002
S. 1971 National Employee Savings and Trust Equity Guarantee Act
S. 1992 Protecting America's Pensions Act of 2002
S. 2010 Corporate and Criminal Fraud Accountability Act of 2002
S. 2087 A bill to amend the Internal Revenue Code of 1986 to allow employers a credit against income tax for the provision of independent investment advice to employees
S. 2190 Worker Investment and Retirement Education Act of 2002
S 2673 Public Company Accounting Reform and Investor Protection Act of 2002

S. 2822 Prevention of Stock Options Abuse Act
S. 2877 Rank and File Stock Option Act
S. 2904 Emergency Retiree Health Benefits Protection Act of 2002

17. House(s) of Congress and Federal agencies contacted. Check if none.

SENATE	HOUSE OF REPRESENTATIVES	Executive Office of the President	Labor, Dept of (DOL)
Treasury, Dept of	Equal Employment Opportunity Commission (EEOC)	Pension Benefit Guaranty Corporation (PBGC)	Centers For Medicare and Medicaid Services (CMS)
Pension & Welfare Benefits Administration			

18. Name of each individual who has acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
CURABBA, NICHOLAS S.	N/A
GREGORY, JANICE M.	N/A
UGORETZ, MARK J.	N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. Check if none.

Printed Name:
DEBORAH CHIN

Date: 8/14/03
Title:
DIRECTOR, ADMINISTRATION

