

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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8/21/02

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Rockwell Collins, Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 400 Collins Road NE, Cedar Rapids, IA 52498-0001			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name M. K. McDonald	Telephone (703) 516-8230	E-mail (optional)	5. Senate ID # 6829
7. Client Name <input type="checkbox"/> Self Rockwell Automation			6. House ID # 3567

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐
9. Check if this filing amends a previously filed version of this report ☐10. Check if this is a Termination Report ☐ ⇒ Termination Date _____11. No Lobbying ☐**INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>112,500.00</u> Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of <input type="checkbox"/> Method A. Reporting amounts using LDA definition <input type="checkbox"/> Method B. Reporting amounts under section 603 Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code
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Signature _____

Printed Name and Title

M. K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc. Client Name Rockwell Automat

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code CHM (one per page)

16. Specific lobbying issues

Chemical Demilitarization Treaty
Defense Authorization and Appropriations

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
Department of Defense

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if None

Signature _____ Date 08/12/2002

Printed Name and Title Michael K. McDonald, Vice President, Government Operations

Registrant Name Rockwell Collins, Inc.Client Name Rockwell Automa

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

Departments of Commerce Authorization and Appropriations

Patent Office Operations

Patent Reform

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

Department of Commerce, Patent and Trademark Office

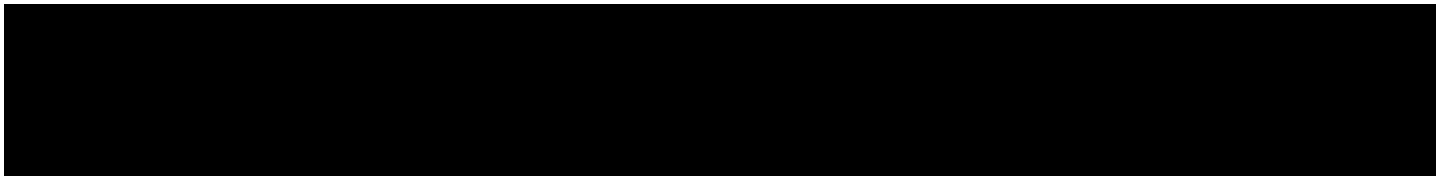
18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Linda C. Sadler	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above. ☒ Check if None

Signature _____

Date 08/12/2002



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15. General issue area code ENG (one per page)

16. Specific lobbying issues

Department of Energy Budget
Renewable Energy & Conservation Programs

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
Department of Energy

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

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15. General issue area code ENV (one per page)

16. Specific lobbying issues

Superfund Reform

Waste Remediation —Recycling

Trade & Environment

Clean Water Act national effluent limitations guidelines and pretreatment standards for wastewater discharg products and machinery facilities.

MP&M Rule (EPA Proposal)

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

Environmental Protection Agency

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

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15. General issue area code HCR (one per page)

16. Specific lobbying issues

Health Care (numerous bills — Patients' Bill of Rights, Mental Health Parity, Medicare Reform)

Medical Confidentiality

Genetic Discrimination

Prescription Drug Benefits

Stock Options / Stock Purchase

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives

U.S. Senate

Department of Labor

Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

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15. General issue area code IMM (one per page)

16. Specific lobbying issues

Personnel Mobility
Spousal Work Permits
H1-B Visas
B-Visa Reforms

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
U.S. Senate
U.S. Trade Representative

8. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

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15. General issue area code LBR (one per page)

16. Specific lobbying issues

National Labor Relations Board Reform
 Fair Labor Standards Act Reform
 Pay Equity
 Ergonomic Regulations
 Family Medical Leave Act

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
 U.S. Senate
 Department of Labor

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

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15. General issue area code RET (one per page)

16. Specific lobbying issues

Pension Reform

Social Security Reform

Prescription Drug Program

17. House(s) of Congress and Federal agencies contacts

☐

Check if None

U.S. House of Representatives

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area.

Name	Covered Official Position (if applicable)
Martha L. Cogdell	
Michael K. McDonald	

19. Interest of each foreign entity in the specific issues listed on line 16 above.

☒

Check if None

Signature _____

Date 08/12/2002Printed Name and Title Michael K. McDonald, Vice President, Government Operations

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15. General issue area code TRD (one per page)

16. Specific lobbying issues

Trade Promotion Authority
 Export Controls
 Renewal of Export Administration Act
 Trade Agreements and Treaties
 Trade Financing
 Sanctions

17. House(s) of Congress and Federal agencies contacts ☐ Check if None

U.S. House of Representatives
 U.S. Senate
 The White House
 United States Trade Representative
 Department of State
 Department of Commerce
 Export-Import Bank

18. Name of each individual who acted as a lobbyist in this issue area.

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