

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**ROCKWELL COLLINS INC**

2. Address:

400 COLLINS RD NE, CEDAR RAPIDS, IA 52498-0001

3. Principal place of business (if different from line 2):

4. Contact Name: MICHAEL K. MCDONALD

Telephone: 7035168230

E-mail (optional): mkmc dona@rockwellcollins.com

Senate ID #: 68296-36

House ID #:

7. Client Name: ☐ Self

**ROCKWELL AUTOMATION**

### TYPE OF REPORT

8. Year 2006 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

### INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

#### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Income (nearest \$20,000): 112,500.00

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

#### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Expenses (nearest \$20,000): \_\_\_\_\_

#### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- ☐ **Method A.** Reporting amounts using LDA definitions only  
☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code  
☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ACC (one per page)

16. Specific lobbying issues:

Stock Option Accounting Accounting Reforms Federal Accounting Standards Board - Pension Funding Corporate Accounting/Governance Securities and Exchange Commission Reporting and Compliance - Exec. Comp. Reporting/code

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: COGDELL, MARTHA L

Covered Official Position (if applicable): N/A

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CPT (one per page)

16. Specific lobbying issues:

Patent Office Operations Patent Reform Cross License Agreements

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)  
HOUSE OF REPRESENTATIVES  
Patent & Trademark Office (PTO)  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: COGDELL, MARTHA L

Covered Official Position (if applicable): N/A

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: DEF (one per page)

16. Specific lobbying issues:

National Defense Authorization and Appropriations Budget Requests submitted by the Department of Defense Federal Government Acquisition Policy Office of Management and Budget (Office of Federal Procurement Policy) Office of Naval Research Programs

17. House(s) of Congress and Federal agencies contacted:

Defense, Dept of (DOD)  
HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: COGDELL, MARTHA L

Covered Official Position (if applicable): N/A

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENG (one per page)

16. Specific lobbying issues:

Department of Energy Budget Renewable Energy & Conservation Programs DOE-Transportation Programs-Partnership Programs  
DOE-Superconductivity Program

17. House(s) of Congress and Federal agencies contacted:

Energy, Dept of  
HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: COGDELL, MARTHA L

Covered Official Position (if applicable): N/A

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENV (one per page)

16. Specific lobbying issues:

Superfund Reform Waste Remediation - Recycling Clean Water Act Clear Skies

17. House(s) of Congress and Federal agencies contacted:

Environmental Protection Agency (EPA)

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: COGDELL, MARTHA L

Covered Official Position (if applicable): N/A

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

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15. General issue area code: HCR (one per page)

16. Specific lobbying issues:

Genetic Discrimination Health Care/Health Reimbursement Accounts Flexible Spending Accounts Medical Malpractice Reform Mental health Parity Medical Errors/Quality Reporting Health Care Information - Infrastructure

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Health & Human Services, Dept of (HHS)

Internal Revenue Service (IRS)

Labor, Dept of (DOL)

SENATE

Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: COGDELL, MARTHA L

Covered Official Position (if applicable): N/A

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

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15. General issue area code: IMM (one per page)

16. Specific lobbying issues:

Personnel Mobility Visas Process/Procedures Reform H1-B Visas B Visa Reforms

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)

HOUSE OF REPRESENTATIVES

Homeland Security, Dept of (DHS)

SENATE

State, Dept of (DOS)

U.S. Trade Representative (USTR)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: COGDELL, MARTHA L

Covered Official Position (if applicable): N/A

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**



**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: LBR (one per page)

16. Specific lobbying issues:

Overtime Pay Comp-time Fair Labor Standards Act Reform Family Medical Leave Act

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Labor, Dept of (DOL)

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: COGDELL, MARTHA L

Covered Official Position (if applicable): N/A

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RET (one per page)

16. Specific lobbying issues:

Pension Reform Social Security Reform Pension Plan Funding Phased Retirement

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: COGDELL, MARTHA L

Covered Official Position (if applicable): N/A

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

R&D Tax Credit Charitable Deductions Energy Tax Incentives Section 406 & 911 Deferred Compensation Executive Compensation

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

Treasury, Dept of

U.S. Trade Representative (USTR)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: COGDELL, MARTHA L

Covered Official Position (if applicable): N/A

Name: MCDONALD, MICHAEL K

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

**LOBBYING ACTIVITY.**

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15. General issue area code: TRD (one per page)

16. Specific lobbying issues:

WTO Tariffs International Standards Export Controls Renewal of Export Administration Act Trade Agreements and Treaties Trade Financing

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)  
Export-Import Bank of the United States (EXIM Bank)  
HOUSE OF REPRESENTATIVES  
SENATE  
State, Dept of (DOS)  
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: COGDELL, MARTHA L  
Covered Official Position (if applicable): N/A  
Name: MCDONALD, MICHAEL K  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Jan 31, 2007

Printed Name and Title: MICHAEL K. MCDONALD, VICE PRESIDENT GOVERNMENT OPE -