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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

|  |   |
|--|---|
| 1. Registrant Name<br><b>Valis Associates</b>  |   |
| 2. Registrant Address <input type="checkbox"/> Check if different than previously reported<br>Address <b>1700 Pennsylvania Avenue, NW #950</b><br>City <b>Washington</b> State/Zip (or Country) <b>DC 20006</b> <b>USA</b> |   |
| 3. Principal Place of Business (if different from line 2)<br>City _____ State/Zip (or Country) _____   |   |
| 4. Contact Name<br><b>Sharon Spillare</b>  | Telephone <b>202-393-5055</b> E-mail (optional) _____ |
| 5. Senate ID # _____   |   |
| 7. Client Name <input type="checkbox"/> Self<br><b>Association of American Railroads</b>   | 6. House ID # _____                                   |

**TYPE OF REPORT** 8. Year 2001 Midyear (January 1-June 30)  OR Year End (July 1-Dec

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_ 11. No Lobbying

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

| 12. Lobbying Firms  | 13. Organizations   |
|---|---|
| <b>INCOME</b> relating to lobbying activities for this reporting period was:<br>Less than \$10,000 <input type="checkbox"/><br>\$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$20,000.00</u><br>Income (nearest \$20,000) | <b>EXPENSES</b> relating to lobbying activities for this reporting period were:<br>Less than \$10,000 <input type="checkbox"/><br>\$10,000 or more <input type="checkbox"/> >> \$ _____<br>Expenses (nearest \$20,000)  |
| Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).             | <b>14. REPORTING METHOD.</b> Check box to indicate reporting accounting method. See instructions for description of method.<br><input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definition<br><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033 of the Internal Revenue Code<br><input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) of the Internal Revenue Code |

Signature \_\_\_\_\_ Date 8/16/2001



Registrant Name: Valis Associates

Client Name: Association of American Railroads

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRA (one per page)

16. Specific Lobbying issues

**H.R.1140, Railroad Retirement and Survivors Improvement Act of 2001, entire bill**

**No specific bills, No specific legislation, General transportation issues**

17. House(s) of Congress and Federal agencies contacted  Check if None

**Department of Transportation**

**House of Representatives**

**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

| Name                    | Covered Official Position (if applicable) |
|-------------------------|---|
| <b>Conti, Jennifer</b>  |   |
| <b>Schulze, Richard</b> |   |
| <b>Valis, Wayne</b>     |   |
|                         |   |
|                         |   |
|                         |   |
|                         |   |
|                         |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Sharon Spillare Date 8/16/2001

