

Clerk of the House of Representatives
Legislative Resource Center
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Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENATE

99 AUG 12 PM 1:55

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Humana Inc.	
2. Address <input type="checkbox"/> Check if different than previously reported 500 West Main Street	
3. Principal Place of Business (if different from line 2) City: Louisville State/Zip (or Country) KY 40202	
4. Contact Name Heidi Margulis	Telephone 502-580-1854
E-mail (optional) hmargulis@humana.com	
5. Senate ID # 18912-12	
7. Client Name <input checked="" type="checkbox"/> Self	6. House ID # 32289000

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date _____

11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ 280,000 Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options. <input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code
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Signature Heidi Margulis

Printed Name and Title Heidi Margulis, Vice President-Government Affairs

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PAGE 1 of 7

Registrant Name Humana Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

Tricare appropriations-H.R.1401-National Defense Authorization Act for FY2000; S.1122 Department of Defense Appropriations Act-2000; H.R.2561- Department of Defense Appropriations Act-2000

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mary McKenzie	Staff Director-U.S. House of Representatives Committee on Small Business	<input checked="" type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Heidi Margulis Date 8.12.99

Printed Name and Title Heidi Margulis, Vice President-Government Affairs

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Registrant Name Humana Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

S.1122-Department of Defense Appropriations Act
H.R.2561-Department of Defense Appropriations Act
H.R.1401-National Defense Authorization Act for Fiscal Year 2000

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Mary McKenzie	Staff Director-U.S. House of Representatives	<input checked="" type="checkbox"/>
	Committee on Small Business	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Heidi Margulis Date 8.12.99

Printed Name and Title Heidi Margulis, Vice President-Government Affairs

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Registrant Name Humana Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Confidentiality of Medical Information; H.R. 10-Financial Services Act of 1999; S. 900-Financial Services Modernization Act of 1999; H.R. 2470-Medical Information Protection & Research Enhancement Act of 1999;

External Review Standards: H.R. 2089-Group Health Plan Review Standards Act of 1999

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Heidi Margulis		<input type="checkbox"/>
Mary McKenzie	Staff Director-U.S. House of Representative Committee on Small Business	<input checked="" type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Heidi Margulis Date 8.12.99

Printed Name and Title Heidi Margulis, Vice President-Government Affairs

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Registrant Name Humana Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues

Antitrust: H.R.1304-Quality Health Care Coalition Act of 1999

Patient Bill of Rights: H.R.216-Access to Quality Care Act of 1999; S.6-Patients' Bill of Rights Act of 1999; S.300-Patients' Bill of Rights Act; S.1344-Patients Bill of Rights Plus Act; S.326-Patients' Bill of Rights Act of 1999; H.R.2042-Health Care Access, Affordability and Quality Act; H.R. 2045-Patient Right to Emergency Medical Care Act of 1999; H.R.2046-Patient Access to Information Act of 1999; H.R.2047-Small Business Access and Choice for Entrepreneurs Act of 1999; H.R.2275-Ensuring Physician Choice Act of 1999;

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
U. S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Heidi Margulis		<input type="checkbox"/>
Mary McKenzie	Staff Director-U.S. House of Representatives Committee on Small Business	<input checked="" type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Heidi Margulis Date 8.12.99

Printed Name and Title Heidi Margulis Vice President-Government Affairs

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Registrant Name Humana Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

H.R.2419 Medicare+Choice Risk Adjustment Amendments of 1999
Medicare+Choice payment changes

H.R.2488-Taxpayer Refund and Relief Act of 1999-Prescription drugs for beneficiaries

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
U.S. Senate
Health Care Financing Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Heidi Margulis		<input type="checkbox"/>
Mary McKenzie	Staff Director-U.S. House of Representatives	<input checked="" type="checkbox"/>
	Committee on Small Business	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Heidi Margulis Date 8.12.99

Printed Name and Title Heidi Margulis, Vice President-Government Affairs

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Registrant Name Humana Inc. Client Name _____

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address _____

21. Client new principal place of business (if different from line 20) _____

City _____ State/Zip (or Country) _____

22. New general description of client's business or activities _____

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

Roy Ramthun

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain _____

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client _____

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization _____

Signature _____

Date _____

Printed Name and Title Heidi Margulis, Vice President-Government Affairs

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