Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

Secretary of the Senate Received: Aug 14, 2007

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name: RYAN PHILLIPS UTRECHT & MACKINNON 1133 Connecticut Ave NW Suite 300, Washington, DC 20036 3. Principal place of business (if different from line 2): 4. Contact Name: MEGHAN O'BRIEN Telephone: 2027784010 E-mail (optional): Meghan@rpum.com Senate ID #: 30187-421 House ID #: 7. Client Name: Self ASSN OF AMERICAN RAILROADS TYPE OF REPORT __ Midyear (January 1 - June 30): 🛛 OR Year End (July 1 - December 31): 🗌 9. Check if this filing amends a previously filed version of this report: 10. Check if this is a Termination Report:

=> Termination Date: 11. No Lobbying Activity: INCOME OR EXPENSES Complete Either Line 12 OR Line 13 12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000: -\$10,000 or more: X => Income (nearest \$20,000): 40,000.00 Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client). 13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000: -

\$10,000 or more: => Expenses (nearest \$20,000);

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

Method A. Reporting amounts using LDA definitions only Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

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Registrant Name: RYAN PHILLIPS UTRECHT & MACKINNON Client Name: ASSN OF AMERICAN RAILROADS

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed

15. General issue area code: AGR (one per page)

16. Specific lobbying issues:

H.R. 2419 Farm Bill Extension Act of 2007

17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BERZOK, MATTHEW

Covered Official Position (if applicable): N/A Name: HOPPE, RODNEY C. Covered Official Position (if applicable): N/A

Name: MACKINNON, JEFFREY M.

Covered Official Position (if applicable): N/A

Name: NAPPI, DOUGLAS R.

Covered Official Position (if applicable): CHIEF COUNSEL, SENATE BANKING COMMITTEE

Name: OCONNOR, GEORGE

Covered Official Position (if applicable): COUNSEL, SENATOR LARRY CRAIG

Name: PHILLIPS, WILLIAM D.

Covered Official Position (if applicable): N/A

Name: PLANNING, MARK D.

Covered Official Position (if applicable): N/A
Name: RYAN, THOMAS
Covered Official Position (if applicable): N/A

Covered Official Position (if applicable): N/A

Name: VASAPOLI, JOSEPH V.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. None

Registrant Name: RYAN PHILLIPS UTRECHT & MACKINNON Client Name: ASSN OF AMERICAN RAILROADS

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed

- 15. General issue area code: BUD (one per page)
- 16. Specific lobbying issues:

FY2008 Transportation, Treasury, and Housing and Urban Development H.R. 1516 FRA Reauthorization Bill S.1125 Freight Rail Infrastructure Capacity Expansion Act; DOT FY2008

17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BERZOK, MATTHEW Covered Official Position (if applicable): N/A Name: HOPPE, RODNEY C. Covered Official Position (if applicable): N/A Name: MACKINNON, JEFFREY M. Covered Official Position (if applicable): N/A Name: NAPPI, DOUGLAS Ř Covered Official Position (if applicable): CHIEF COUNSEL, SENATE BANKING COMMITTEE Name: OCONNOR, GEORGE Covered Official Position (if applicable): COUNSEL, SENATOR LARRY CRAIG Name: PHILLIPS, WILLIAM D. Covered Official Position (if applicable): N/A Name: PLANNING, MARK D. Covered Official Position (if applicable): N/A Name: RYAN, THOMAS Covered Official Position (if applicable): N/A Name: VASAPOLI, JOSEPH V. Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. None

Registrant Name: RYAN PHILLIPS UTRECHT & MACKINNON Client Name: ASSN OF AMERICAN RAĪLROADS

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as

- 15. General issue area code: ENG (one per page)
- 16. Specific lobbying issues:
- S. 1766 climate change legislation H.R. 1650 Railroad Antitrust Enforcement Act of 2007 (renewable energy) H.R. 2701 Transportation Energy Security and Climate Change Act
- 17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE
- 18. Name of each individual who acted as a lobbyist in this issue area:

Name: BERZOK, MATTHEW Covered Official Position (if applicable): N/A Name: HOPPE, RODNEY C. Covered Official Position (if applicable): N/A Name: MACKINNON, JEFFREY M. Covered Official Position (if applicable): N/A Name: NAPPI, DOUGLAS Ř Covered Official Position (if applicable): CHIEF COUNSEL, SENATE BANKING COMMITTEE Name: OCONNOR, GEORGE

Covered Official Position (if applicable): COUNSEL, SENATOR LARRY CRAIG

Name: PHILLIPS, WILLIAM D.

Covered Official Position (if applicable): N/A Name: PLANNING, MARK D.

Covered Official Position (if applicable): N/A Name: RYAN, THOMAS

Covered Official Position (if applicable): N/A Name: VASAPOLI, JOSEPH V.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. None

Registrant Name: RYAN PHILLIPS UTRECHT & MACKINNON Client Name: ASSN OF AMERICAN RAILROADS

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed

- 15. General issue area code: HOM (one per page)
- 16. Specific lobbying issues:

H.R. 1493 Public Transportation and Rail Security Assistance Act of 2007 S. 184 Surface Transportation and Rail Security Act S. 772 Railroad Antitrust Enforcement Act of 2007 H.R. 1401 Rail and Public Transportation Security Act of 2007 H.R. 1401 Spail and Public Transportation Security Act of 2007 H.R. 1401 Spail and Public Transportation Security Act of 2007 H.R. 1401 Spail and Public Transportation Security Act of 2007 H.R. 1401 Spail and Public Transportation Security Act of 2007 H.R. 1401 Spail and Public Transportation Security Act of 2007 H.R. 1401 Spail and Public Transportation and Rail Security Act of 2007 H.R. 1401 Spail and Public Transportation Security Act of 2007 H.R. 1401 Spail and Public Transportation and Rail Security Act of 2007 H.R. 1401 Spail and Public Transportation Security Act of 2007 H.R. 1401 Spail and Public Transportation Security Act of 2007 H.R. 1401 Spail and Public Transportation Security Act of 2007 H.R. 1401 Spail and Public Transportation Security Act of 2007 H.R. 1401 Spail and Public Transportation Security Act of 2007 H.R. 1401 Spail and Public Transportation Security Act of 2007 H.R. 1401 Spail and Public Transportation Security Act of 2007 H.R. 1401 Spail and Public Transportation Security Act of 2007 H.R. 1401 Spail and Public Transportation Security Act of 2007 H.R. 1401 Spail and Public Transportation Security Act of 2007 Spail and Public Transportation Spail and Public

17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE

Covered Official Position (if applicable): N/A

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BERZOK, MATTHEW Covered Official Position (if applicable): N/A Name: HOPPE, RODNEY C Covered Official Position (if applicable): N/A Name: MACKINNON, JEFFŘEÝ M. Covered Official Position (if applicable): N/A Name: NAPPI, DOUGLAS Ř Covered Official Position (if applicable): CHIEF COUNSEL, SENATE BANKING COMMITTEE Name: OCONNOR, GEORGE Covered Official Position (if applicable): COUNSEL, SENATOR LARRY CRAIG Name: PHILLIPS, WILLIAM D. Covered Official Position (if applicable): N/A Name: PLANNING, MARK D. Covered Official Position (if applicable): N/A Name: RYAN, THOMAS Covered Official Position (if applicable): N/A Name: VASAPOLI, JOSEPH V.

19. Interest of each foreign entity in the specific issues listed on line 16 above. None

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Registrant Name: RYAN PHILLIPS UTRECHT & MACKINNON Client Name: ASSN OF AMERICAN RAĪLROADS

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as

- 15. General issue area code: RRR (one per page)
- 16. Specific lobbying issues:

Federal Rail Infrastructure Capacity Expansion Act H.R. 2125 S.1125 Railroad Competition and Service Improvement Act Railroad Competition and Service Improvement Act of 2007 S. 294 H.R. 1300 Commuter Rail bill S. 953 Passenger Rail Investment and Improvement Act of 2007 S. 1889 Railroad Safety Enhancement Act of 2007 H.R. 2095 Federal Railroad Federal Rail Infrastructure Act of 2007 Safety Improvement Act of 2007 H.R. 2116

17. House(s) of Congress and Federal agencies contacted: Energy, Dept of Executive Office of the President (EOP) HOUSE OF REPRESENTATIVES SENATE Transportation, Dept of (DOT)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BERZOK, MATTHEW Covered Official Position (if applicable): N/A Name: HOPPE, RODNEY C. Covered Official Position (if applicable): N/A Name: MACKINNON, JEFFŘEÝ M. Covered Official Position (if applicable): N/A Name: NAPPI, DOUGLAS R. Covered Official Position (if applicable): CHIEF COUNSEL, SENATE BANKING COMMITTEE Name: OCONNOR, GEORGE Covered Official Position (if applicable): COUNSEL, SENATOR LARRY CRAIG Name: PHILLIPS, WILLIAM D. Covered Official Position (if applicable): N/A Name: PLANNING, MARK D.

Covered Official Position (if applicable): N/A Name: RYAN, THOMAS Covered Official Position (if applicable): N/A Name: VASAPOLI, JOSEPH V.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. None

Signature: ON FILE Date: Aug 14, 2007

Printed Name and Title: THOMAS RYAN, MANAGING PARTNER -

Registrant Name: RYAN PHILLIPS UTRECHT & MACKINNON Client Name: ASSN OF AMERICAN RAILROADS

Information Update Page:

Complete ONLY where registration information has changed.

LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that NO LONGER pertain

AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Aug 14, 2007

Printed Name and Title: -