Clerk of the House of Representatives Secretary of the Senate  $\odot$ Legislative Resource Center Office of Public Records 232 Hart Building  $\odot$ B-106 Cannon Building RECEIVED SECRETARY OF THE SENATE PUBLIC RECORDS Washington, DC 20510 Washington, DC 20515 LOBBYING REPORT ٧ Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page. 1. Registrant Name  $(\cap$ **Covington & Burling** 2. Address Check if different than previously reported. 1201 Pennsylvania Avenue, NW 3. Principal Place of Business (if different from line 2) State/Zip (or Country) DC 200 City: Washington 5. Senate ID# Email (optional) Telephone 4. Contact Name 11195-1178 John C. Dugan (202) 662-5415 7. Client Name 6. House ID# Self 31827091 **SG Cowen Securities Corporation** TYPE OF REPORT 8. Year 2003 Midyear (January 1-June 30) OR Year End (July1-December 1 9. Check if this filing amends a previously filed version of this report ⇒ Termination Date \_\_\_\_\_ 11. No Lobbying Act 10. Check if this is a Termination Report **INCOME OR EXPENSES** - Complete Either Line 12 **OR** Line 13 13. Organizations 12. Lobbying Firms **EXPENSES** relating to lobbying activities for **INCOME** relating to lobbying activities for this reporting period were: reporting period was: Less than \$10,000 Less than \$10,000 \$10,000 or more \$10,000 or more Expenses (nearest \$20 Income (nearest \$20,000) 14. REPORTING METHOD. Check box to Provide a good faith estimate, rounded to the nearest expense accounting method. See instruct \$20,000, of all lobbying related income for the client (including all payments to the registrant by any other description of options. entity for lobbying activities on behalf of the client.) Method A. Reporting amounts using LD. definitions only Method B. Reporting amounts under seci 6033(b)(8) of the Internal Re Code Method C. Reporting amounts under 162 Internal Revenue Code

Signature Date August 11, 2003
Printed Name and Title John C. Dugan

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Registrant Name Covington & Burling		_ Client Name	SG Cowen Securities Corporat			
			_			<u>o</u>
LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page code, provide information as requested. Attach additional page(s) as needed.						
15.	General issue a	area code	FIN	(one per page)		0 9 6
16.	Specific lobby	ing issues				
17.	House(s) of Co	ongress and	Federal agencies cor	ntacted	⊠ Check if No	one
18. Name of each individual who acted as a lobbyist in this issue area						
		Name		Covered Off	icial Position (if a	applicable)
Joh	ın C. Dugan					
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19.	Interest of each	n ioreign er	inty in the specific is	sues listed on line 16	o above	☐ CHECK II No

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Signature Date August 11, 2003
Printed Name and Title John C. Dygan