

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
---	--

SECRETARY  
06 FEB 27

# LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

Check if this is an Amended Registration  1. Effective Date of Registration 12/15/2005  
 2. House Identification Number 37237 Senate Identification Number \_\_\_\_\_

## REGISTRANT

3. Registrant name DQB INTERNATIONAL  
 Address 4040 BROADWAY SUITE 630  
 City SAN ANTONIO State TX Zip 78209 USA  
 4. Principal place of business (if different than line 3)  
 City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_  
 5. Telephone number and contact name  
210-829-5696 Contact Mr. DAVID Q. BATES JR. E-mail DQBATES@DQBI.COM

6. General description of registrant's business or activities  
CONSULTING FIRM

**CLIENT** A Lobbying firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should check the box labeled "Self" and proceed to line 10.  Self

7. Client name NOVARTIS AG  
 Address CH-4002 BASEL  
 City SWITZERLAND State \_\_\_\_\_ Zip \_\_\_\_\_ SW

8. Principal place of business (if different than line 7)  
 City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

9. General description of client's business or activities  
WORLD LEADER IN PHARMACEUTICALS AND CONSUMER HEALTH.

## LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any person listed section has served as a "covered executive branch official" or "covered legislative branch official" within two years of first a lobbyist for the client, state the executive and/or legislative position(s) in which the person served.

Name	Covered Official Position (if applicable)
DAVID Q. BATES JR.	

3000150372



Registrant Name DQB INTERNATIONAL

Client Name NOVARTIS AG

### LOBBYING ISSUES

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, page

DIS

HCR

MED

12. Specific lobbying issues (current and anticipated)

PROVIDE STRATEGIC COUNSEL AND MONITOR ISSUES OF CONCERN TO THE COMPANY.

### AFFILIATED ORGANIZATIONS

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period and in whole or in major part plans supervises or controls the registrant's lobbying activities?

No ⇨ Go to line 14.

Yes ⇨ Complete the rest of this section for each entity matching the criteria above, then proceed to line 14.

Name	Address	Principal place of Business (city and state or country)

### FOREIGN ENTITIES

14. Is there any foreign entity that:

- a) holds at least 20% equitable ownership in the client or any organization identified on line 13: **OR**
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidizes activities of the client or any organization identified on line 13; **OR**
- c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of the lobbying activity?

No ⇨ Sign and date the registration.

Yes ⇨ Complete the rest of this section for each entity matching the criteria above, then sign and date the registration.

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

Signature

*David Q. Bates*

Date

2/14/2006

Printed Name and Title

DAVID Q. BATES JR. / PRESIDENT

0000150373

