

RECEIVED
SECRETARY OF THE SENATE
00 AUG 14 PM 3:11

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name <i>Charles Schwab & Co., Inc.</i>	
2. Address <input type="checkbox"/> Check if different than previously reported <i>655 15th Street, NW Suite 350, Washington, DC 20005</i>	
3. Principal Place of Business (if different from line 2) City: <i>Washington</i> State/Zip (or Country) <i>DC, 20005</i>	
4. Contact Name <i>Frank Kelly</i>	5. Senate ID # <i>34637-12</i>
Telephone <i>202 638 3752</i>	Email (optional)
7. Client Name <input checked="" type="checkbox"/> Self	6. House ID # <i>33951000</i>

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report → Termination Date _____

11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ _____ Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature *Frank Kelly*
Printed Name and Title *Frank J. Kelly, SVP & Head of Govt Affairs*

Registrant Name Charles Schwab & Co Agent Name Schwab

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific lobbying issues

HR 1102 Comprehensive Retirement Security and Pension Reform Act of 1999
HR 4747 Retirement Security Advice Act of 2000
HR 4749 The ERISA Modernization Act of 2000

17. House(s) of Congress and Federal agencies contacted Check if None

House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Kim Farniglietti</u>		<input type="checkbox"/>
<u>Frank Kelly</u>		<input type="checkbox"/>
<u>Michael Townsend</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Frank J Kelly Date 8/14/00
Printed Name and Title Frank J Kelly, SVP & Head of Govt Affairs

Registrant Name Charles Schwab & Co Client Name Schwab

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues
HR 1714, Electronic Signatures in Global and National Commerce Act
HR 1858, Consumer and Investor Access to Information Act of 1999
S 761, Millennium Digital and Commerce Act

17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Frank Kelly</u>		<input type="checkbox"/>
<u>Rum Famiglietti</u>		<input type="checkbox"/>
<u>Michael Townsend</u>		<input checked="" type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Frank J. Kelly Date 8/14/00
Printed Name and Title Frank J. Kelly, SVP & Head of Govt Affairs

Registrant Name Charles Schwab & Co Client Name Schwab

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CPI (one per page)

16. Specific lobbying issues

HR 1714, Electronic Signatures in Global & National Commerce Act.
S 761 Millennium Digital Commerce Act
S-921 Electronic Securities Transactions Act

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Now
Frank Kelly		<input type="checkbox"/>
Kim Famiglietti		<input type="checkbox"/>
Mike Townsend (Michael)		<input checked="" type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Frank J Kelly Date 8/14/00
Printed Name and Title Frank J Kelly, SVP & Head of Govt Affairs

Registrant Name Charles Schwab & Co. Client Name Schwab

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CSP (one per page)

16. Specific lobbying issues
HR 4049 Privacy Commission Act
HR 30 Financial Information Privacy Act of 1999
S 260 Consumer Privacy Protection Act
S 573 Medical Information Privacy and Security Act

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Kim Famiglietti</u>		<input type="checkbox"/>
<u>Frank Kelly</u>		<input type="checkbox"/>
<u>Michael Townsend</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Frank Kelly Date 8/14/00
Printed Name and Title Frank J. Kelly, SVP & Head of Gov't Affairs

Registrant Name: Charles Schwab & Co. Client Name: Schwab

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific lobbying issues

HR 3462 The Wealth Through the Workplace Act
HR 4972 Universal Employee Stock Option Act of 2000

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Kim Farnigletti</u>		<input type="checkbox"/>
<u>Frank Kelly</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature: Frank J. Kelly Date: 8/14/00
Printed Name and Title: Frank J. Kelly, SVP & Head of Govt Affairs

Registrant Name Charles Schwab & Co. Agent Name Schwartz

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues
HR 1471 The Money Laundering ~~Area~~ Prevention Act of 1999
SI920 The Money Laundering Abatement Act of 1999

17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Frank Kelly</u>		<input type="checkbox"/>
<u>Kim Famighetti</u>		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Frank J. Kelly Date 8/14/00
Printed Name and Title Frank J. Kelly, SVP + Head of Gov't Affairs

Registrant Name Charles Schurib Co, Inc Firm Name self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

HR 2441 Fairness in Securities Transactions Act
HR 1256 Savings and Investment Relief Act
S 2107 Competitive Market Supervision Act

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Frank Kelly		<input type="checkbox"/>
Kevin Famiglietti		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entry in the specific issues listed on line 16 above Check if None

Signature Frank Kelly Date 8/14/00
Printed Name and Title Frank J. Kelly, SVP + Head of Calif Affairs