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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

|   |                           |                   |                            |
|---|---------------------------|-------------------|----------------------------|
| 1. Registrant Name<br>Southwest Airlines Co.  |                           |                   |                            |
| 2. Address <input type="checkbox"/> Check if different than previously reported<br>P. O. Box 36611        |                           |                   |                            |
| 3. Principal Place of Business (if different from line 2)<br>City: Dallas State/Zip (or Country) TX 75235 |                           |                   |                            |
| 4. Contact Name<br>Ron Ricks  | Telephone<br>214-792-4174 | E-mail (optional) | 5. Senate ID #<br>36231-12 |
| 7. Client Name <input checked="" type="checkbox"/> Self   |                           |                   | 6. House ID #<br>33026000  |

**TYPE OF REPORT** 8. Year 2001 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_ 11. No Lobbying Act

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

**12. Lobbying Firms**  
INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000

\$10,000 or more  ⇨ \$ \_\_\_\_\_  
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

### 13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000

\$10,000 or more  ⇨ \$ 100,000.00  
Expenses (nearest \$20,000)

**14. REPORTING METHOD.** Check box to indicate expected accounting method. See instructions for description of options.

Method A. Reporting amounts using LDA definitions

Method B. Reporting amounts under section 6033(b) Internal Revenue Code

Method C. Reporting amounts under section 162(e) Internal Revenue Code

Signature \_\_\_\_\_

*Ron Ricks*

Printed Name and Title Ron Ricks, VP Governmental Affairs



Registrant Name Southwest Airlines Co Client Name self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code AVI (one per page)

16. Specific lobbying issues

See Attachment

17. House(s) of Congress and Federal agencies contacted

Check if None

U S House of Representatives  
U S Senate  
FAA  
Department of Transportation

18. Name of each individual who acted as a lobbyist in this issue area

Name

Covered Official Position (if applicable)

Herbert D Kelleher

Ron Ricks

Tom Chapman

Jose Luis Sanchez

Kelly Cruse

Joanne Bowers

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature

*Ron Ricks*

Date

8/13/01

Printed Name and Title

Ron Ricks, VP Governmental Affairs



House Legislation

H.R. 332 Aviation Consumer Right to Know Act of 2001  
H.R. 384 Airline Passenger Fair Treatment Act of 2001  
H.R. 571 Airline Customer Notification Act  
H.R. 907 Airline Competition and Passenger Rights Act of 2001  
H.R. 1074 Consumer-Friendly Airline Ticket Transfer Act  
H.R. 1734 Airline Passenger Bill of Rights Act  
H.R. 1792 Airline Customer Service Improvement Act  
H.R. 2299 DOT and Related Agencies Appropriations Act, 2002

Senate Legislation

S. 200 Air Travelers Fair Treatment Act of 2001  
S. 319 Airline Customer Service Improvement Act  
S. 483 Fair Treatment of Airline Passengers Act  
S. 633 Aviation Delay Prevention Act

