

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

UNITED TECHNOLOGIES CORP

2. Address:

1401 EYE ST NW, SUITE 600, WASHINGTON, DC 20005

3. Principal place of business (if different from line 2):

City: HARTFORD State/Zip(or Country): CT 06101

4. Contact Name: JOHN NICHOLS

Telephone: 202-336-7414

E-mail (optional): nicholsj@corpdc.utc.com

Senate ID #: 39267-12

House ID #: 3076400

7. Client Name: Self

TYPE OF REPORT

8. Year 2000 Midyear (January 1 - June 30): **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report: => Termination Date: _____ 11. No Lobbying Activity:

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more: => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more: => Expenses (nearest \$20,000): 1,520,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- Method A.** Reporting amounts using LDA definitions only
 Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
 Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: AER (one per page)

16. Specific lobbying issues:

FY 01 Budget, Commercial Engine Sales, Aircraft/Engine Noise, Recovery Audit Proposals, Blacklisting Rulemaking, NASA Authorization and Appropriations Legislation

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)
Defense, Dept of (DD)
Executive Office of the President
Federal Aviation Administration (FAA)
HOUSE OF REPRESENTATIVES
SENATE
State, Dept of (DOS)
Transportation, Dept of (DOT)
U.S. Trade Representative (USTR)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BULLARD, ED
Covered Official Position (if applicable): N/A
Name: COEN, M. LISBETH
Covered Official Position (if applicable): N/A
Name: HUMPHRIES, JACK
Covered Official Position (if applicable): N/A
Name: LINHART, RICHARD
Covered Official Position (if applicable): N/A
Name: MCKEON, THOMAS C.
Covered Official Position (if applicable): N/A
Name: SUMMERS, MICHAEL H.
Covered Official Position (if applicable): N/A
Name: WALSH, SUSAN M.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: AVI (one per page)

16. Specific lobbying issues:

EU Non-Addition Rule, Aviation Regulatory Issues, Commercial Engine Sales, Aircraft/Engine Noise Issues, Emissions Issues, FAA Appropriations, Repair Station Parts Certification

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)
Executive Office of the President
Federal Aviation Administration (FAA)
HOUSE OF REPRESENTATIVES
Nat'l Aeronautics & Space Administration (NASA)
Office of Management & Budget (OMB)
SENATE
State, Dept of (DOS)
Transportation, Dept of (DOT)
U.S. Trade Representative (USTR)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BULLARD, ED
Covered Official Position (if applicable): N/A
Name: CHAMBERLAIN, KIM
Covered Official Position (if applicable): N/A
Name: KOPECKY, JOHN J.
Covered Official Position (if applicable): N/A
Name: ROBERT, WADE H.
Covered Official Position (if applicable): N/A
Name: WALSH, SUSAN M.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

Appropriations Efforts on Ozone Protection, FY 01 Transportation Appropriations, Colombia Aid Package (H.R. 4425), FY 01 Commerce, Justice, State Appropriations (DDTC Fees), FY 01 DoD Appropriations, FY 01 NASA Appropriations

17. House(s) of Congress and Federal agencies contacted:

Environmental Protection Agency (EPA)
Executive Office of the President
HOUSE OF REPRESENTATIVES
Office of Management & Budget (OMB)
SENATE
State, Dept of (DOS)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BEAUCHAMP, RENE
Covered Official Position (if applicable): N/A
Name: COEN, M. LISBETH
Covered Official Position (if applicable): N/A
Name: COLLINS, EVA
Covered Official Position (if applicable): N/A
Name: HUMPHRIES, JACK
Covered Official Position (if applicable): N/A
Name: MANDYCK, JOHN
Covered Official Position (if applicable): N/A
Name: MCKEON, THOMAS C.
Covered Official Position (if applicable): N/A
Name: POLLARD, ALBERT W. JR
Covered Official Position (if applicable): N/A
Name: SUMMERS, MICHAEL H.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CAW (one per page)

16. Specific lobbying issues:

Clean Air Act/Texas SIP

17. House(s) of Congress and Federal agencies contacted:

Energy, Dept of
Environmental Protection Agency (EPA)
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MANDYCK, JOHN

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: DEF (one per page)

16. Specific lobbying issues:

FY 01 DoD Budget, FY 01 DoD Authorization and Appropriations Bills, Commercial Practice Procurement, R&D/Procurement, Civil False Claims Reform, NASA Budget, Defense Programs, Recovery Audit Proposal

17. House(s) of Congress and Federal agencies contacted:

Air Force, Dept of
Army, Dept of (Other)
Commerce, Dept of (DOC)
Defense, Dept of (DOD)
Executive Office of the President
HOUSE OF REPRESENTATIVES
Nat'l Aeronautics & Space Administration (NASA)
Navy, Dept of
Office of Management & Budget (OMB)
SENATE
State, Dept of (DOS)
U.S. Coast Guard (USCG)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BEAUCHAMP, RENE
Covered Official Position (if applicable): N/A
Name: BULLARD, ED
Covered Official Position (if applicable): N/A
Name: HELLIER, RICHARD G.
Covered Official Position (if applicable): N/A
Name: HUMPHRIES, JACK
Covered Official Position (if applicable): N/A
Name: KAGDIS, JAMES
Covered Official Position (if applicable): N/A
Name: KOPECKY, JOHN J.
Covered Official Position (if applicable): N/A
Name: LINHART, RICHARD
Covered Official Position (if applicable): N/A
Name: MCKEON, THOMAS C.
Covered Official Position (if applicable): N/A
Name: RISSEEUW, HUGH
Covered Official Position (if applicable): N/A
Name: ROBERT, WADE H.
Covered Official Position (if applicable): N/A
Name: SUMMERS, MICHAEL H.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENG (one per page)

16. Specific lobbying issues:

Rulemaking for Central A/C (Docket EE-RM/STD-97-500), Chiller Standards, Fuel Cells (H.R. 5339, H.R. 5585)

17. House(s) of Congress and Federal agencies contacted:

Energy, Dept of
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BAYER, JUDITH

Covered Official Position (if applicable): N/A

Name: MANDYCK, JOHN

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENV (one per page)

16. Specific lobbying issues:

Appropriations/Ozone Protection, Clean Air Act/Texas SIP

17. House(s) of Congress and Federal agencies contacted:

Energy, Dept of
Environmental Protection Agency (EPA)
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MANDYCK, JOHN

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FDR (one per page)

16. Specific lobbying issues:

Colombia Aid Package (H.R. 4425), Aircraft/Engine Noise

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)

Defense, Dept of (DOD)

Executive Office of the President

Federal Aviation Administration (FAA)

HOUSE OF REPRESENTATIVES

SENATE

State, Dept of (DOS)

Transportation, Dept of (DOT)

U.S. Trade Representative (USTR)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BEAUCHAMP, RENE

Covered Official Position (if applicable): N/A

Name: COEN, M. LISBETH

Covered Official Position (if applicable): N/A

Name: POLLARD, ALBERT W. JR

Covered Official Position (if applicable): N/A

Name: ROBERT, WADE H.

Covered Official Position (if applicable): N/A

Name: WALSH, SUSAN M.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HOU (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted: **None**

18. Name of each individual who acted as a lobbyist in this issue area:

19. Interest of each foreign entity in the specific issues listed on line 16 above: **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: POS (one per page)

16. Specific lobbying issues:

17. House(s) of Congress and Federal agencies contacted: **None**

18. Name of each individual who acted as a lobbyist in this issue area:

19. Interest of each foreign entity in the specific issues listed on line 16 above: **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: SCI (one per page)

16. Specific lobbying issues:

Aero & Space R&T, IHPTET, IHRPRT, Hypersonics, NMD, THAAD, NASA FY 01 Authorization and Appropriations Bills

17. House(s) of Congress and Federal agencies contacted:

Defense, Dept of (DOD)
Executive Office of the President
HOUSE OF REPRESENTATIVES
Natl Aeronautics & Space Administration (NASA)
Office of Management & Budget (OMB)
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HUMPHRIES, JACK
Covered Official Position (if applicable): N/A
Name: KOPECKY, JOHN J.
Covered Official Position (if applicable): N/A
Name: SUMMERS, MICHAEL H.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

R&D Tax Credit (H.R. 835), Foreign Sales Corporation/ETI (H.R. 4986)

17. House(s) of Congress and Federal agencies contacted:

Executive Office of the President
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: PAULSON, KRISTIN
Covered Official Position (if applicable): N/A
Name: PAULSON, KRISTIN
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRA (one per page)

16. Specific lobbying issues:

Aircraft/Engine Noise

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)
Executive Office of the President
Federal Aviation Administration (FAA)
HOUSE OF REPRESENTATIVES
SENATE
State, Dept of (DOS)
Transportation, Dept of (DOT)
U.S. Trade Representative (USTR)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CHAMBERLAIN, KIM
Covered Official Position (if applicable): N/A
Name: WALSH, SUSAN M.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: UNITED TECHNOLOGIES CORP Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRD (one per page)

16. Specific lobbying issues:

China PNTR (H.R. 4444), Vietnam Trade (H.J. Res 58), EM-IM/OPIC, Export Licenses (RD-180, RL 60), Defense Export Loan Guarantee, Export Controls, WTO Case on Foreign Sales Corporation/ETI, EU Non-Addition Rule, Export Administration Acts (S. 1712), Sanctions, Security Assistance Legislation, Armenian Genocide Resolution, Aircraft/Engine Noise, Commercial Engine Sales, Aerospace Trade

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)
Defense, Dept of (DOD)
Executive Office of the President
Federal Aviation Administration (FAA)
HOUSE OF REPRESENTATIVES
SENATE
State, Dept of (DOS)
Transportation, Dept of (DOT)
Treasury, Dept of
U.S. Trade Representative (USTR)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BEAUCHAMP, RENE
Covered Official Position (if applicable): N/A
Name: CHAMBERLAIN, KIM
Covered Official Position (if applicable): N/A
Name: COLLINS, EVA
Covered Official Position (if applicable): N/A
Name: JORDAN, PETER
Covered Official Position (if applicable): N/A
Name: KOPECKY, JOHN J.
Covered Official Position (if applicable): N/A
Name: PAULSON, KRISTIN
Covered Official Position (if applicable): N/A
Name: POLLARD, ALBERT W. JR
Covered Official Position (if applicable): N/A
Name: PREISS, JEREMY
Covered Official Position (if applicable): N/A
Name: ROBERT, WADE H.
Covered Official Position (if applicable): N/A
Name: SUMMERS, MICHAEL H.
Covered Official Position (if applicable): N/A
Name: WALSH, SUSAN M.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 14, 2001

Printed Name and Title: M. LISBETH COEN - MANAGER AND COUNSEL

Information Update Page:

Complete ONLY where registration information has changed.

LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

Name: CLEMENCE, ROBERT, JR.

Name: KENNEY, ROBERT E.

ISSUE UPDATE

24. General lobbying issues previously reported that NO LONGER pertain

HOU POS

AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Feb 14, 2001

Printed Name and Title: M. Lisbeth Coen - Manager and Counsel