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SECRETARY OF THE SENATE
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03 FEB 20 PM 12:03

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name The Trustees of Columbia University In The City of New York			
2. Address <input checked="" type="checkbox"/> Check if different than previously reported 535 West 116th Street, 302 Low Library			
3. Principal Place of Business (if different from line 2) City: New York State/Zip (or Country) New York, 10027			
4. Contact Name Ellen S. Smith	Telephone (212) 854-3394	E-mail (optional) ess9@columbia.edu	5. Senate ID # 3858
7. Client Name <input type="checkbox"/> Self Self			6. House ID # 30887

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇨ Termination Date _____

11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p align="center">12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center">13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>120,000</u> Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code</p>
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Signature _____

Printed Name and Title _____

Emily Lloyd, Executive Vice President

Registrant Name Columbia University Client Name Self

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

535 West 116th Street, 302 Low Library

21. Client new principal place of business (if different from line 20)

City New York

State/Zip (or Country) New York, 10027

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	C p c

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant affiliated organization

Signature _____ Date _____

Printed Name and Title Emily Lloyd, Executive Vice President

Registrant Name Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information a Attach additional page(s) as needed.

15. General issue area code BUD

16. Specific lobbying issues

- H.R. 5263 / S. 2801 – USDA Appropriations for FY2003
- S. 2778 – Commerce / State Justice Appropriations for FY2003
- H.R. 5010 – Defense Appropriations for FY2003
- H.R. 5410 / S. 2784 – Energy & Water Appropriations for FY2003
- H.R. 5410 / S. 2779 – Foreign Operations Appropriations for FY2003
- H.R. 5093 / S. 2708 – Interior Appropriations for FY2003
- H.R. 5320 / S. 2766 – Labor / HHS / Education Appropriations for FY2003
- H.R. 5605 / S. 2797 – VA – HUD Appropriations for FY2003

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant Vice President and Director of Government Relatio
Susan Long	Assistant Director of Governme Relations

19. Interest of each foreign entity in the specific issues listed online 16 above Check if None

Signature _____ Date _____

Printed Name and Title Emily Lloyd, Executive Vice President

Registrant Name Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information a Attach additional page(s) as needed.

15. General issue area code CPT

- 16. Specific lobbying issues
- S. 2048 – Consumer Broad Band & Digital Television Act
- H.R. 5211 – Legislation to Prevent Copyright Infringement
- S. 2395 / H.R. 5057 – Anti-Counterfeiting Legislation
- H.R. 2100 – 21st Century Distance Learning
- H.R. 3482 – Cyber Security Enhancement Act

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant Vice President and Director of Government Relatio
Susan Long	Assistant Director of Governme Relations

19. Interest of each foreign entity in the specific issues listed online 16 above Check if None

Signature _____ Date _____

Printed Name and Title Emily Lloyd, Executive Vice President

Registrant Name Columbia University Client Name Self

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15. General issue area code EDU

16. Specific lobbying issues

S. 2766 / H.R. 5320 – Labor / HHS / Education Appropriations for FY2003

H.R. 4866 – Higher Education Technical Amendments

S. 2851 – To Increase the Deduction for Higher Education Expenses

H.R. 1858 / S. 461 – Math & Science Partnerships

Issues related to higher education financing and student aid

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives

U.S. Senate

Office of Management & Budget

Department of Education

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant Vice President and Director of Government Relatic
Susan Long	Assistant Director of Governme Relations
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19. Interest of each foreign entity in the specific issues listed online 16 above

Check if None

Signature _____ Date _____

Printed Name and Title Emily Lloyd, Executive Vice President

Registrant Name Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information a Attach additional page(s) as needed.

15. General issue area code HCR

16. Specific lobbying issues

S. 2766 / H.R. 5320 – Labor / HHS / Education Appropriations for FY2003

S. 859 / H.R. 1556 – American Hospital Preservation Act

S. 1707 / H.R. 3551 – Medicare Physician Payment Fairness Act

H.R. 2008 / S. 2439 – Human Cloning Prohibition

H.R. 4697 – Human Research Subjects Protection

Issues related to research funding, health profession funding, community health care center funding, graduate medical educ physician payment

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant Vice President and Director of Government Relatio
Susan Long	Assistant Director of Governme Relations

19. Interest of each foreign entity in the specific issues listed online 16 above Check if None

Signature _____ Date _____

Printed Name and Title Emily Lloyd, Executive Vice President

Registrant Name Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as Attach additional page(s) as needed.

15. General issue area code IMM

16. Specific lobbying issues

S. 1749 / H.R. 1885 / H.R. 3525 – Enhanced Border Security Act

S. 1627 – Visa Entry Reform Act

Issues related to international student visas

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives

U.S. Senate

Department of Justice

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant Vice President and Director of Government Relations
Susan Long	Assistant Director of Government Relations

19. Interest of each foreign entity in the specific issues listed online 16 above Check if None

Signature _____ Date _____

Printed Name and Title Emily Lloyd, Executive Vice President

Registrant Name Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information a Attach additional page(s) as needed.

15. General issue area code MMM

16. Specific lobbying issues

H.R. 1556 / S. 839 – American Hospital Preservation Act

H.R. 3551 / S. 1701 – Medicare Physician Payment Fairness Act

H.R. 2768 – Medicare Regulatory & Contracting Reform Act

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant Vice President and Director of Government Relatic
Susan Long	Assistant Director of Governme Relations

19. Interest of each foreign entity in the specific issues listed online 16 above

Check if None

Signature _____ Date _____

Printed Name and Title Emily Lloyd, Executive Vice President

Registrant Name Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information a Attach additional page(s) as needed.

15. General issue area code SCI

16. Specific lobbying issues

H.R. 5605 / S. 2797 – VA / HUD Appropriations for FY2003

S. 1661 – Biological Agent Control Act

H.R. 5005 – Homeland Security Act

S. 1705 – Homeland Security Academic Centers

H.R. 4 – Energy Package

H.R. 1259 – Computer Security Enhancement Act

S. 1438 / H.R. 4546 – National Defense Authorization Act

H.R. 4664 – National Science Foundation Authorization

Issues related to science, research and bioterrorism

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives

U.S. Senate

U.S. Department of Agriculture

U.S. Department of Energy

U.S. Department of Commerce

Office of Science and Technology Policy

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant Vice President and Director of Government Relatic
Susan Long	Assistant Director of Governme Relations
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.....
.....

19. Interest of each foreign entity in the specific issues listed online 16 above

Check if None

Signature _____ Date _____

Printed Name and Title Emily Lloyd, Executive Vice President

Registrant Name Columbia University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information. Attach additional page(s) as needed.

15. General issue area code TAX

16. Specific lobbying issues
H.R. 7 – Charitable Choice Act (CARE Act)


17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant Vice President and Director of Government Relations
Susan Long	Assistant Director of Government Relations

19. Interest of each foreign entity in the specific issues listed online 16 above Check if None

Signature  Date 2/10/07

Printed Name and Title Emily Lloyd, Executive Vice President

