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SECRETARY
04 FEB 21**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name The ESOP Association			
2. Address <input type="checkbox"/> Check if different than previously reported 1726 M Street, N.W. - Suite 501 - Washington, D.C. 20036			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name Gwenn E. Rosenthal	Telephone 202/293-2971	E-mail (optional) esop@esopassociation.org	5. Senate ID #
7. Client Name <input checked="" type="checkbox"/> Self	6. House ID #		

TYPE OF REPORT 8. Year 2003 Midyear (January 1-June 30) OR Year End (July 1-December 31)
9. Check if this filing amends a previously filed version of this report 10. Check if this is a Termination Report ⇨ Termination Date _____11. No Lobbying Act **INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

<p align="center">12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center">13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>120,000</u> Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(l) Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code</p>
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Signature

Printed Name and Title Dr. Michael Keating, Resident

LD-2 (REV. 6/98)

PA

Registrant Name The ESOP Association Client Name The ESOP Association

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code GOV (one per page)

16. Specific lobbying issues

Congressional legislation in relationship to ESOPs, employee ownership, ESOPs & employee ownership issues relative to H.R. 1000, H.R. 1778, H.R. 2969 and generally all legislative proposals to amend the Employee Retirement Income Security Act of 1974, especially related to defined contribution plans. In the regulatory area, the Treasury, IRS & Department of Labor positions affecting ESOPs.

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives, U.S. Senate, Department of Treasury, Internal Revenue Service and Department of Labor

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
J. Michael Keeling	President, The ESOP Association

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date February 2, 200

Printed Name and Title J. Michael Keeling, President

Registrant Name The ESOP Association Client Name The ESOP Association

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific lobbying issues

Congressional legislation in relationship to ESOPs, employee ownership, ESOPs & employee ownership issues relative to H.R. 1000, H.R. 1778, H.R. 2969 and generally all legislative proposals to amend the Employee Retirement Income Security Act of 1974, especially related to defined contribution plans. In the regulatory area, the Treasury, IRS & Department of Labor positions affecting ESOPs.

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives, U.S. Senate, Department of Treasury, Internal Revenue Service and Department of Labor

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
J. Michael Keeling	President, The ESOP Association

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *J. Michael Keeling* Date February 2, 20

Printed Name and Title J. Michael Keeling, President

Registrant Name The ESOP Association Client Name The ESOP Association

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Congressional legislation in relationship to ESOPs, employee ownership, ESOPs & employee ownership issues relative to H.R. 1000, H.R. 1778, H.R. 2969 and generally all legislative proposals to amend the Employee Retirement Income Security Act of 1974, especially related to defined contribution plans. In the regulatory area, the Treasury, IRS & Department of Labor positions affecting ESOPs.

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Name	Covered Official Position (if applicable)
J. Michael Keeling	President, The ESOP Association

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature J. Michael Keeling Date February 2, 200
J. Michael Keeling, President

