Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

SECRETARY OF

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ANG ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant

ngaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide

5. General issue area code	FIN	Financial In	nstitutions/Inves	stments/Sec	(one per page	)
6. Specific lobbying issues						
tructure of U.S. securities necurities exchanges. Alloca	narkets. Tradi	ing of equities narket data rev	and options. Genues.	overnance and	self-regualtory	responsibilities of
7. House(s) of Congress an	d Federal age	ncies	Check if None		✓ House	✓ Senate
J.S. Securities and Exchange	e Commission	1.				
8. Name of each individual		a lobbyist in th	iis issue area			
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9. Interest of each foreign e	entity in the sp	pecific issues li	isted on line 16	above [	✓ Check if N	one
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