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Washington, DC 20515

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Washington, DC 20510

SECRETARY OF THE SENATE

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# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) – All Filers Are Required To Complete This Page

1. Registrant Name <b>Hecht, Spencer &amp; Associates</b>			
2. Address <input type="checkbox"/> Check if different than previously reported <b>499 South Capitol Street, SW, #507</b>			
3. Principal Place of Business (if different from line 2) City: <b>Washington</b>		State/Zip (or Country) <b>D.C. 20003</b>	
4. Contact Name <b>Nelle Grauel Flanders</b>	Telephone <b>(202) 554-2881</b>	E-mail (optional) <b>N/A</b>	5. Senate ID <b>1796</b>
7. Client Name <input type="checkbox"/> Self <b>J.C. Penney Company, Inc.</b>			6. House ID <b>3126</b>

**TYPE OF REPORT** 8. Year 2001 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_ 11. No Lobby

## INCOME OR EXPENSES – Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organization
<b>INCOME</b> relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>100,000</u> Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>EXPENSES</b> relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇒ _____ Expenses (nearest \$2,000) <b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description <input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definition <input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6032 Internal Revenue Code <input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162 Internal Revenue Code

Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

LD-2 (REV.6/98)



Registrant Name Hecht, Spencer & Associates Client Name J.C. Penney Company, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

- H.R.10 & S.631– Comprehensive Retirement Security and Pension Reform Act of 2001  
– all provisions relating to pension reform
- H.R.1410 & S.512 – Internet Tax Moratorium & Equity Act of 2001 – entire bill
- H.R.1552 & H.R.1675 & S.288 & S.777 – Internet Tax Nondiscrimination Act of 2001 – entire bill
- H.R.1836 – Economic Growth and Tax Relief Reconciliation Act of 2001, Title 6  
– all provisions relating to pension reform
- H.R.2526 – Internet Tax Fairness Act of 2001 – entire bill
- S.664 – New Economy Tax Fairness Act of 2001 – entire bill

17. House(s) of Congress and Federal agencies contacted  Check if None

- U.S. Senate
- U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>William H. Hecht</b>	
<b>Timothy P. Hecht</b>	
<b>Franklin C. Phifer, Jr.</b>	<b>Minority Counsel, House Ways &amp; Mea</b>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



Registrant Name Hecht, Spencer & Associates Client Name J.C. Penney Company, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

H.R.526 & H.R.2563 & S.1052 – Bipartisan Patient Protection Act of 2001

– all provisions relating to ERISA preemption

H.R.2315 – Patient’s Bill of Rights Act of 2001 – all provisions relating to ERISA preemption

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. Senate

U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>William H. Hecht</b>	
<b>Timothy P. Hecht</b>	
<b>Franklin C. Phifer, Jr.</b>	<b>Minority Counsel, House Ways &amp; Mea</b>

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title

Form LD-2(Rev.6/98)



Registrant Name Hecht, Spencer & Associates Client Name J.C. Penney Company, Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c information as requested. Attach additional page(s) as needed.**

15. General issue area code TRD (one per page)

16. Specific lobbying issues

- S.525 – Andean Trade Preference Expansion Act – entire bill
- H.R.2149 – Trade Promotion Authority Act of 2001 – entire bill

17. House(s) of Congress and Federal agencies contacted  Check if None

- U.S. Senate
- U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>William H. Hecht</b>	
<b>Timothy P. Hecht</b>	
<b>Franklin C. Phifer, Jr.</b>	<b>Minority Counsel, House Ways &amp; Mea</b>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *William H. Hecht* Date August 14, 2001

Printed Name and Title William H. Hecht, President

Form LD-2(Rev.6/98)

