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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>Duke Energy Corporation</b>			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address <b>422 S. Church Street</b> City <b>Charlotte</b> State/Zip (or Country) <b>NC 28202-1904 USA</b>			
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____			
4. Contact Name Telephone E-mail (optional) <b>Donald Hatley (704) 382-8190</b>			5. Senate ID # <b>12744-12</b>
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # <b>30901000</b>

**TYPE OF REPORT** 8. Year 1999 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_ 11. No Lobbying Activity

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
<b>INCOME</b> relating to lobbying activities for this reporting period was:	<b>EXPENSES</b> relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> >> \$ _____ Income (nearest \$20,000)	\$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$460,000.00</u> Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options. <input checked="" type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definitions only <input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Donald Hatley Date 02/14/2000

Printed Name and Title Donald Hatley - Vice President Governmental Affairs Page 1 of 10

Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CAW (one per page)

16. Specific Lobbying issues

- H.R.2221, Small Business, Family Farms, and Constitutional Protection Act, Climate Change
- H.R.25, Acid Deposition and Ozone Control Act, NOx, SO2, and Mercury Controls
- H.R.2520, Credit for Voluntary Actions Act (7/14/99), Climate Change
- H.R.2667, Omnibus Mercury Emission Reduction Act of 1999, Mercury Controls
- H.R.2900, Clean Smokestacks Act of 1999, NOx, SO2, CO2 and Mercury Controls
- H.R.2980, Clean Power Plant Act of 1999, NOx, SO2, CO2 and Mercury Controls
- H.R.3384, Energy & Climate Policy Act of 1999, Climate Change
- S.1369, Clean Energy Act of 1999, Climate Change
- S.172, Acid Deposition and Ozone Control Act, NOx, SO2, and Mercury Controls
- S.1776, Climate Change Energy Policy Response Act, Climate Change

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Marshall, Beverly</u>		No
<u>Mitchell, David</u>		Yes

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 02/14/2000

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Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

Item	Description	Data
16	Lobbying Issues	S.1777, Climate Change Tax Amendments of 1999, Climate Change
16	Lobbying Issues	S.1949, Clean Power Plant Act of 1999, NOx, SO2, CO2 and Mercury Controls, Renewable Energy Provisions
16	Lobbying Issues	S.547, Credit for Voluntary Reductions Act, Climate Change
16	Lobbying Issues	S.673, Omnibus Mercury Emissions Reduction Act of 1999, Mercury Controls
16	Lobbying Issues	S.882, Energy and Climate Policy Act of 1999, Climate Change

Registrant Name: Duke Energy Corporation

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**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific Lobbying issues

- H.R.1138, Ratepayer Protection Act, Entire bill
- H.R.1587, Electric Energy Empowerment Act of 1999, Entire bill
- H.R.1828, Comprehensive Electricity Competition Act, Administrations electricity bill
- H.R.2050, Electric Consumers' Power To Choose Act of 1999, Entire bill
- H.R.2335, Hydroelectric Licensing Process Improvement Act of 1999, Entire bill
- H.R.2363, Public Utility Holding Company Act of 1999, Entire bill
- H.R.2569, Fair Energy Competition Act of 1999 (7/20/99), Entire bill
- H.R.2602, National Electricity Interstate Transmission Reliability Act (7/22/99), Entire bill
- H.R.2605, Energy & Water Development Appropriations Act of 2000, Nuclear Waste Fund
- H.R.2645, To Provide for the Restructuring of the Electric Power Industry (7/29/99), Entire bill

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Boyd, Mary		No
Henry, Wayne		Yes
Marshall, Beverly		No
Mitchell, David		Yes

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 02/14/2000

Printed Name and Title Donald Hatley - Vice President Governmental Affairs Page 4 of 10

Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

Item	Description	Data
16	Lobbying Issues	H.R.2734, Community Choice for Electricity Act of 1999, Entire bill
16	Lobbying Issues	H.R.2786, Interstate Transmission Act, Entire bill
16	Lobbying Issues	H.R.2887, TVA Customer Protection Act of 1999, Entire bill
16	Lobbying Issues	H.R.2944, Electricity Competition and Reliability Act, Entire bill
16	Lobbying Issues	H.R.3130, TVA Financial Integrity Act of 1999, Entire bill
16	Lobbying Issues	H.R.667, The Power Bill, Entire bill
16	Lobbying Issues	S.1047, Comprehensive Electricity Competition Act, Entire bill
16	Lobbying Issues	S.1386, Energy & Water Development Appropriations Act, Nuclear Waste Fund
16	Lobbying Issues	S.1273, Federal Power Act Amendments of 1999, Entire bill
16	Lobbying Issues	S.1284, Electric Consumer Choice Act, Entire bill
16	Lobbying Issues	S.1323, TVA Customer Protection Act of 1999, Entire bill
16	Lobbying Issues	S.1369, Clean Energy Act of 1999, NOx, SO2, CO2 and Mercury Controls, Renewable Energy Portfolio
16	Lobbying Issues	S.282, Transition to Competition in the Electric Industry Act, Entire bill
16	Lobbying Issues	S.313, Public Utility Holding Company Act of 1999, Entire bill
16	Lobbying Issues	S.516, Electric Utility Restructuring Empowerment and Competitiveness Act of 1999, Entire bill
16	Lobbying Issues	S.740, Hydroelectric Licensing Process Improvement Act of 1999, Entire bill
16	Lobbying Issues	Areas lobbied on: Price-Anderson; MOX-Pu Disposition; DNFSB oversight; DOE R&D Budgets; DOE Procurements for OCRWM, Hanford, ORNL, SRS, WIPP, INEEL, Pantex; DOE and DOD Privatizations; Congressional Oversight of DOE sites; Energy and Water Appropriations (DOE and DOD)

Registrant Name: Duke Energy Corporation

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**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues

**H.R.1253, To amend the Internal Revenue Code of 1986 to restrict the use of tax-exempt financing by governmentally owned electric utilities and to subject certain activities of such utilities., Municipalization Tax Issues**

**H.R.1416, To amend the Internal Revenue Code of 1986 to provide that interest on indebtedness used to finance the furnishing or sale of rate-regulated electric energy or natural gas in the United., International Tax Issues**

**H.R.2038, Nuclear Decommissioning Funds Clarification Act, Nuclear Decommissioning**

**H.R.721, Bond Fairness and Protection Act of 1999, Municipalization Tax Issues**

**S.1226, To amend the Internal Revenue Code of 1986 to provide that interest on indebtedness used to finance the furnishing or sale of rate-regulated electric energy or natural gas in the United., International Tax Issues**

**S.386, Bond Fairness and Protection Act of 1999, Municipalization Tax Issues**

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Marshall, Beverly</u>		No

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature ..... Date 02/14/2009

Printed Name and Title Donald Hatley - Vice President Governmental Affairs Page 6 of 10

Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRA (one per page)

16. Specific Lobbying issues

**H.R.1378, To authorize appropriations for carrying out pipeline safety activities under chapter 601 of title 49, United States Code, Pipeline Safety**

17. House(s) of Congress and Federal agencies contacted  Check if None

**Department of Transportation  
Federal Energy Regulatory Commission  
House of Representatives  
Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<b>Marshall, Beverly</b>		No
<b>Prenda, Brian</b>		Yes

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 02/14/2009

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Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

Item	Description	Data
16	Lobbying Issues	<p>Pipeline Safety - Preparing and evaluating the movement of regulation and statutory reauthorization of the Pipeline Safety Act. Meetings with regulatory officials (DOT) and Congressional members.</p> <p>Pipeline Expansion Issues - Meetings with Congressional members (House &amp; Senate) concerning on going and proposed pipeline expansion projects.</p> <p>New Electric Generating Facility projects - Meeting with Congressional members (House &amp; Senate) to convey information concerning Duke Energy North America's projects which are proposed.</p>



Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code WAS (one per page)
16. Specific Lobbying issues  
H.R.45, Nuclear Waste Policy Act of 1999, Nuclear waste  
S.1287, Nuclear Waste Policy Amendments Act of 1999, Nuclear waste  
S.608, Nuclear Waste Policy Act of 1999, Nuclear waste

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Boyd, Mary		No
Marshall, Beverly		No

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 02/14/2000

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Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client  
Costello, Michael

**ISSUE UPDATE**

24. General lobbying issues previously reported that no longer pertain

GOV

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal Place of Business (city and state or country)	Amount of contribution for lobbying activities	Ownership % in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client, or affiliated organization

Signature \_\_\_\_\_ Date 02/14/2000

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