

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
---	--

RECEIVED  
SECRETARY OF THE SENATE

99 AUG 16 PM 4 13

HAND DELIVERED

# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>The Duhersteins Group, Inc.</b>			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address <b>Suite 500</b> City <b>Washington</b> State/Zip (or Country) <b>DC 20037 USA</b>			
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____			
4. Contact Name <b>John W. Angus, III</b>	Telephone <b>202-728-1100</b>	E-mail (optional)	5. Senate ID # <b>12675-241</b>
7. Client Name <input type="checkbox"/> Self <b>American Water Works Co.</b>	6. House ID # <b>31811022</b>		

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_

11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> &gt;&gt; \$ <u>540,000.00</u> Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> &gt;&gt; \$ _____ Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature \_\_\_\_\_ Date 8/13/1999

Printed Name and Title John W. Angus, III - Senior Vice President & GC Page 1 of 4

Registrant Name: The Duberstein Group, Inc.

Client Name: American Water Works Co.

Item	Description	Data
2d	Registrant Address 2	2100 Pennsylvania Avenue, NW

Registrant Name: The Duberstein Group, Inc.

Client Name: American Water Works Co.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)  
16. Specific Lobbying issues

**CONGRESSIONAL ACTIONS:** Legislative proposals re privatization of municipal utilities; access to tax-exempt financing; infrastructure tax; eminent domain; and other tax policy issues

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Angus, III, John W.</u>		<u>No</u>
<u>Berman, Michael S.</u>		<u>No</u>
<u>Champin, Steven M.</u>		<u>No</u>
<u>Duberstein, Kenneth M.</u>		<u>No</u>
<u>Gandy, Henry M.</u>		<u>No</u>
<u>Meyer, Daniel</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 8/13/1999

Printed Name and Title John W. Angus, III - Senior Vice President & GC Page 3 of 4

Registrant Name: The Duberstein Group, Inc.

Client Name: American Water Works Co.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code UTI (one per page)  
16. Specific Lobbying issues

**EXECUTIVE BRANCH ACTIONS:** Disclosure of information about water systems; EPA regulations implementing Safe Drinking Water reauthorization

17. House(s) of Congress and Federal agencies contacted  Check if None  
Environmental Protection Agency  
House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Angus, III, John W.</u>		<u>No</u>
<u>Berman, Michael S.</u>		<u>No</u>
<u>Champlin, Steven M.</u>		<u>No</u>
<u>Duberstein, Kenneth M.</u>		<u>No</u>
<u>Gandy, Henry M.</u>		<u>No</u>
<u>Meyer, Daniel</u>		<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/13/1999  
Printed Name and Title John W. Angus, III - Senior Vice President & GC Page 4 of 4