

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

FINANCIAL SERVICES FORUM

2. Address:

601 THIRTEENTH STREET, NW SUITE 750 SOUTH, WASHINGTON, DC 20005

3. Principal place of business (if different from line 2):

4. Contact Name: COURTNEY GEDULDIG

Telephone: 2024578765

E-mail (optional): cclelan@yahoo.com

Senate ID #: 83535-12

House ID #:

7. Client Name: ☒ Self

TYPE OF REPORT

8. Year 2007 Midyear (January 1 - June 30): ☒ **OR** Year End (July 1 - December 31): ☐

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 420,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

☒ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FIN (one per page)

16. Specific lobbying issues:

Capital Market Competitiveness generally - The Forum supports the free flow of capital and open & competitive U.S. markets. The Forum also supports maintaining the United States as an attractive place for investment and a continued leader in the global economy. Credit Cards and the availability of credit - Forum supports policy that encourages the availability of credit to the U.S. economy. H. Res. 273/Financial Literacy - The Forum supports policies that bring appropriate focus to the issue of financial education in the U.S. and around the world. H.R. 556 - FINSA of 2007 (CFIUS) All provisions that take prudent steps to improve the process for vetting foreign investments in the U.S. in a way that does not become so unwieldy and uninviting that valuable investment from abroad is discouraged, hurting jobs and economic growth.

17. House(s) of Congress and Federal agencies contacted:

Federal Reserve System

HOUSE OF REPRESENTATIVES

SENATE

Securities & Exchange Commission (SEC)

Treasury, Dept of

U.S. Trade Representative (USTR)

White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: GEDULDIG, COURTNEY

Covered Official Position (if applicable): N/A

Name: HURT, ERICA

Covered Official Position (if applicable): N/A

Name: NICHOLS, ROBERT

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

Tax Policy - The Forum supports tax policy that keeps the U.S. economy open to grow, create jobs, and attract foreign investment. We support reducing corporate tax rates and preserving current reductions in key areas.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

Treasury, Dept of

White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: GEDULDIG, COURTNEY

Covered Official Position (if applicable): N/A

Name: HURT, ERICA

Covered Official Position (if applicable): N/A

Name: NICHOLS, ROBERT

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRD (one per page)

16. Specific lobbying issues:

TPA Renewal (continued) FTA's to ensure the U.S. remains an active participant in the global economy. FTA's for Peru, Panama, Korea, and Colombia. Globalization Study/Worker Competitiveness - The Forum supports a 21st century economy with a competitive global workforce. 'WTO - Doha Development Agenda'. The Forum supports international trade which plays a major role in the promotion of economic development and the alleviation of poverty. China - The Forum supports economic engagement with China to promote the liberalization of China's financial markets. TPA Renewal - The Forum supports renewal of the President's trade promotion authority & rapid passage of 4 existing

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)

Federal Deposit Insurance Commission (FDIC)

HOUSE OF REPRESENTATIVES

Labor, Dept of (DOL)

SENATE

Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: GEDULDIG, COURTNEY

Covered Official Position (if applicable): N/A

Name: HURT, ERICA

Covered Official Position (if applicable): N/A

Name: NICHOLS, ROBERT

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Aug 14, 2007

Printed Name and Title: COURTNEY GEDULDIG/SENIOR VICE PRESIDENT OF GOVERNMENT

Information Update Page:

Complete ONLY where registration information has changed.

LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

Name: TASSEY, ERIN

ISSUE UPDATE

24. General lobbying issues previously reported that NO LONGER pertain

AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Aug 14, 2007

Printed Name and Title: -