

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name O'Brien Calio			
2. Address <input type="checkbox"/> Check if different than previously reported 1350 Eye Street, NW Suite 690 Washington, DC 20005			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name Celeste Dembowski	Telephone (202) 898-4746	E-mail (optional) cdembowski@ob-cgroup.c	5. Senate ID # 29802-
7. Client Name <input type="checkbox"/> Self Merrill Lynch	6. House ID # 301086		

**TYPE OF REPORT** 8. Year 1999 Midyear (January 1-June 30)  OR Year End (July 1-Decen

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_ 11. No Lobbying A

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
<b>INCOME</b> relating to lobbying activities for this reporting period was:	<b>EXPENSES</b> relating to lobbying activities for this reporting period were:
Less than \$10,000 <input checked="" type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)	\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of: <input type="checkbox"/> Method A. Reporting amounts using LDA definition <input type="checkbox"/> Method B. Reporting amounts under section 6033(c) Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code

Signature \_\_\_\_\_

Printed Name and Title Celeste Dembowski Office Administrator



Registrant Name O'Brien Calio Client Name Merrill Lynch

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide the information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues

H.R.10, Financial Services Act of 1999, financial services reform  
H.R.1339, Depository Institution Customers Financial Privacy Enhancement Act of 1999, financial services reform  
H.R.1340, Securities Investors Privacy Enhancement Act of 1999, financial services reform  
H.R.665, Financial Services Modernization Act, financial services reform  
S.187, Financial Information Privacy Act of 1999, financial services reform  
S.753, Financial Services Act of 1999, financial services reform  
S.900, Financial Services Modernization Act, financial services reform


17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Calio, Nicholas E.	Assistant to the President
McKernan, Kim F.	
Mellody, Charles J.	
Nelson, Patricia A.	
O'Brien, Lawrence F. III	
Tarplin, Linda E.	
Chadwick, Kirsten	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 2/25/02

Printed Name and Title Celeste Dembowski Office Administrator

