

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

ALCATEL USA RESOURCES, INC (D/B/A ALCATEL-LUCENT)

2. Address:

1100 New York Avenue, NW Suite 640 West, Washington, DC 20005

3. Principal place of business (if different from line 2):

4. Contact Name: ANDREW DELANEY

Telephone: 2023125923

E-mail (optional): adelaney@alcatel-lucent.com

Senate ID #: 46734-12

House ID #:

7. Client Name: ☒ Self

TYPE OF REPORT

8. Year 2007 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 1,361,588.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

☒ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

Legislation and regulatory issues related to the telecommunications industry, including digital television provisions of the Deficit Reduction Act of 2005; defense appropriations funding for RDT&E programs (H.R. 3222); funding for Minority Service Institutions (H.R. 694).

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CUTTS, JOHN

Covered Official Position (if applicable): N/A

Name: DELANEY, ANDREW

Covered Official Position (if applicable): N/A

Name: KRUFY, KEVIN

Covered Official Position (if applicable): N/A

Name: MCMENAMIN, MICHAEL

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above.

While the domestic lobbying activities of Alcatel-Lucent are directed and undertaken by the corporate officers and employees of Alcatel USA Resources Inc., and its wholly-owned domestic subsidiary, Lucent Technologies Inc., the foreign parent company has an interest in the aforementioned lobbying issues of its wholly-owned subsidiary.

Registrant Name: ALCATEL USA RESOURCES, INC (D/B/A ALCATEL-LUCENT) Client Name: Self

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15. General issue area code: CPT (one per page)

16. Specific lobbying issues:

Patent Reform Act of 2007 (S. 1145), entire bill; Patent Reform Act of 2007 (H.R. 1908), entire bill.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: DELANEY, ANDREW

Covered Official Position (if applicable): N/A

Name: KRUFY, KEVIN

Covered Official Position (if applicable): N/A

Name: MCMENAMIN, MICHAEL

Covered Official Position (if applicable): N/A

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15. General issue area code: DEF (one per page)

16. Specific lobbying issues:

Defense appropriations funding for RDT&E programs (H.R. 3222); Defense Authorization and Appropriations OSD C31 Programs.

17. House(s) of Congress and Federal agencies contacted:

Defense, Dept of (DOD)

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: DELANEY, ANDREW

Covered Official Position (if applicable): N/A

Name: MCMENAMIN, MICHAEL

Covered Official Position (if applicable): N/A

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15. General issue area code: GOV (one per page)

16. Specific lobbying issues:

CFIUS reform (S. 1610); Foreign Investment and National Security Act of 2007; National Security Foreign Investment Reform and Strengthened Transparency Act of 2007 (H.R. 556).

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)
HOUSE OF REPRESENTATIVES
SENATE
State, Dept of (DOS)
Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CUTTS, JOHN

Covered Official Position (if applicable): N/A

Name: DELANEY, ANDREW

Covered Official Position (if applicable): N/A

Name: KRUFY, KEVIN

Covered Official Position (if applicable): N/A

Name: MCMENAMIN, MICHAEL

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15. General issue area code: HOM (one per page)

16. Specific lobbying issues:

Issues relating to Public Safety Communications and public safety spectrum, including digital television provisions of Deficit Reduction Act of 2005; appropriations and authorizations for the Department of Homeland Security; communication technologies for first responders.

17. House(s) of Congress and Federal agencies contacted:

Federal Communications Commission (FCC)

HOUSE OF REPRESENTATIVES

Homeland Security, Dept of (DHS)

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: DELANEY, ANDREW

Covered Official Position (if applicable): N/A

Name: MCMENAMIN, MICHAEL

Covered Official Position (if applicable): N/A

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15. General issue area code: RET (one per page)

16. Specific lobbying issues:

Pension issues (H.R. 2206), U.S. troop readiness; veterans care; Katrina recovery; Iraq Accountability Appropriations Act 2007.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

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Covered Official Position (if applicable): N/A

Name: KRUFY, KEVIN

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15. General issue area code: SCI (one per page)

16. Specific lobbying issues:

Issues related to nanotechnology and research and development funding; the 21st Century Competitiveness Act (H.R. 2272), reforming U.S. high-tech innovation policy; funding for Minority Service Institutions.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CUTTS, JOHN

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15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

Research and development tax credit extension (H.R. 2138); broadband deployment tax credit (S. 1190); appropriations/authorization issues related to telecom (H.R. 3161, S. 1859).

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CUTTS, JOHN

Covered Official Position (if applicable): N/A

Name: DELANEY, ANDREW

Covered Official Position (if applicable): N/A

Name: KRUFY, KEVIN

Covered Official Position (if applicable): N/A

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Covered Official Position (if applicable): N/A

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15. General issue area code: TEC (one per page)

16. Specific lobbying issues:

Rural broadband (S. 1439, S. 1190, S. 1032, H.R. 2174, H.R. 1818); network neutrality regulations (S. 215); white spaces (H.R. 1597, S. 234, S. 337); appropriations/authorization issues related to the telecommunications industry (H.R. 3161, S. 1859); ITC Qualcomm decision; telecommunications issues-FCC; 700 MHz ruling (H.R. 1788, S. 385, S. 1622, H.R. 1, P.L. 110-53); Broadband Census and Mapping (H.R. 3913, S. 1492, H.R. 3627); USF Administration/Reform (S. 711, H.R. 2054, S. 609, H.R. 278)

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)
Federal Communications Commission (FCC)
Federal Trade Commission (FTC)
HOUSE OF REPRESENTATIVES
Office of Management & Budget (OMB)
SENATE
State, Dept of (DOS)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CUTTS, JOHN

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Covered Official Position (if applicable): N/A

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15. General issue area code: TRD (one per page)

16. Specific lobbying issues:

Trade issues relating to China, Central and Latin America, India and Europe.

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)

HOUSE OF REPRESENTATIVES

SENATE

State, Dept of (DOS)

U.S. Trade Representative (USTR)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: DELANEY, ANDREW

Covered Official Position (if applicable): N/A

Name: MCMENAMIN, MICHAEL

Covered Official Position (if applicable): N/A

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Signature: ON FILE Date: Feb 14, 2008

Printed Name and Title: ANDREW DELANEY, LEGISLATIVE AF -

Information Update Page:

Complete ONLY where registration information has changed.

LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that NO LONGER pertain

AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Feb 14, 2008

Printed Name and Title: -