

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**HUMANA**

2. Address:

500 W MAIN ST, LOUISVILLE, KY 40202

3. Principal place of business (if different from line 2):

4. Contact Name: HEIDI S. MARGULIS

Telephone: 502-580-1854

E-mail (optional): hmargulis@humana.com

Senate ID #: 18912-12

House ID #: 32289000

7. Client Name: ☒ Self

## TYPE OF REPORT

8. Year 2001 Midyear (January 1 - June 30): ☒ **OR** Year End (July 1 - December 31): ☐

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

## INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): \_\_\_\_\_

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 260,000.00

### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

☒ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: HUMANA Client Name: Self

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

Issues related to TRICARE funding Dept. of Defense Appropriations Act of 2002 H.R. 2216-Emergency Supplemental Act of 2001

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MCKENZIE, MARY

Covered Official Position (if applicable): STAFF DIR, HOUSE SMALL BUS. COMM

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: HUMANA Client Name: Self

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: DEF (one per page)

16. Specific lobbying issues:

H.R.2586 and accompanying Senate bill-DoD Authorization Act, Full authorization of the military health care program: TRICARE

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MCKENZIE, MARY

Covered Official Position (if applicable): STAFF DIR, HOUSE SMALL BUS. COMM

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: HUMANA Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HCR (one per page)

16. Specific lobbying issues:

H.R.526, H.R.2315, S.283, S.1052--Patients Bill of Rights, all provisions. H.R.1215--Medical Information Protection & Research Enhancement Act--all provisions. HHS Privacy regulations--implementation & guidance; HIPAA issues Dept. of Labor--ERISA Claims & SPD regulation: implementation issues

17. House(s) of Congress and Federal agencies contacted:

Centers For Medicare and Medicaid Services (CMS)

HOUSE OF REPRESENTATIVES

Health & Human Services, Dept of (HHS)

Labor, Dept of (DOL)

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MARGULIS, HEIDI S.

Covered Official Position (if applicable): N/A

Name: MCKENZIE, MARY

Covered Official Position (if applicable): STAFF DIR, HOUSE SMALL BUS. COMM

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: HUMANA Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: MMM (one per page)

16. Specific lobbying issues:

H.R.1387, H.R.1400, S.10, S.215, S.357, S.358: Issues relating to Medicare prescription drug benefits & Medicare reform. S.452, H.R.1993: Issues relating to Medicare reform. HHS/CMS: Issues relating to administrative reform of the Medicare+Choice program.

17. House(s) of Congress and Federal agencies contacted:

Centers For Medicare and Medicaid Services (CMS)

HOUSE OF REPRESENTATIVES

Health & Human Services, Dept of (HHS)

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MARGULIS, HEIDI S.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: HUMANA Client Name: Self

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

H.R.167: Flexible spending account rollover H.R.1524: Medical savings accounts Issues related to tax: preferred vehicles for health care spending

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: MCKENZIE, MARY

Covered Official Position (if applicable): STAFF DIR, HOUSE SMALL BUS. COMM

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Aug 09, 2001

Printed Name and Title: HEIDI S. MARGULIS - SENIOR VICE PRESIDENT-GOVERNMENT RELATIONS