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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name International Business Machines Corporation (IBM)			
2. Address <input type="checkbox"/> Check if different than previously reported 1301 K Street, N.W., Washington, D.C. 20005-3307			
3. Principal Place of Business (if different from line 2) City: Armonk State/Zip (or Country) New York 10504			
4. Contact Name Marcus P. Williams	Telephone (202) 515-5522	E-mail (optional) marcwill@us.ibm.com	5. Senate ID 19877-
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 311230

**TYPE OF REPORT** 8. Year 2004 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date \_\_\_\_\_

11. No Lobby ☐

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p align="center"><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center"><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$3,460,000 Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description.</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA disclosure</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 1361 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 1361 Internal Revenue Code</p>
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Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

See Page 43

LD-2 (REV. 6/98)

Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code ANI (one per page)

16. Specific lobbying issues

H. R. 4766 Department of Agriculture, Rural Development, Food and Drug Administration, and  
Related Agencies Appropriations, FY 2005  
National Animal Identification System

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Edmund F. Perry	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
Susan M. Siemietkowski	
Steve W. Stewart	
William H. Warner	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code AVI (one per page)

16. Specific lobbying issues

Air Cargo Security

S. 2393 Aviation Security Advancement Act

H.R. 4462 Department of Homeland Security Appropriations, 2005

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives

Senate

Department of Homeland Security

Transportation Security Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Kimberly M. Marsho	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
Susan M. Siemietkowski	
Steven W. Stewart	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues

H. R. 5414/S. 1334 Check Truncation Act of 2003

Check Imaging and Digital Processing

17. House(s) of Congress and Federal agencies contacted  
House of Representatives  
Senate

☐ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Linda C. Evans	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
Timothy J. Sheehy	
Susan M. Siemietkowski	
Gregory W. Waddell	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_





Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

IBM has lobbied for various Government Programs, including Amtrak, Customs Modernization Program, IT Projects, Patent and Trademark Office, Government/Private Sector Research and Development Programs, and Health Information Technology Please see General Lobbying Area Codes AVI, CPT, DEF, GOV, HCR, HOM, RRR, and SCI for the report on these issues.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives

Senate

See General Lobbying Issue Area Codes AVI, CPT, DEF, GOV, HCR, HOM, RRR, and SCI

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
See General Lobbying Issue Area Codes AVI, CPT, DEF, GOV, HCR, HOM, RRR, and SCI	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

See General Lobbying Issue Area Codes AVI, CPT, DEF, GOV, HCR, HOM, RRR, and SCI

Signature \_\_\_\_\_ Date \_\_\_\_\_



Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code as requested. Attach additional page(s) as needed.

15. General issue area code CPI (one per page)

16. Specific lobbying issues

Cybersecurity Research and Development

Freedom of Information Act (FOIA)

Critical Infrastructure Information Sharing

Government Evaluation/Certification of Security Products

National Strategy to Secure Cyberspace

Security-Related Standards

Corporate Governance - Security

(See Attached Continuation Sheet)

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives

Senate

Executive Office of the President

Homeland Security Council

National Security Council

Office of Management and Budget

(See Attached Continuation Sheet)

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Timothy B. Hackman	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
Timothy J. Sheehy	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



**International Business Machines Corporation (**

**Continuation Sheet for Item 16 of  
Lobbying Report Addendum for Issue Area CPI**

16. Specific lobbying issues

Security Technology Mandates

Structure of Department of Homeland Security

H.R. 1416 Homeland Security Technical Corrections Act of 2003

S. 1350 Notification of Risk to Personal Data Act

H.R. 4570 To Amend Provisions of Law Enacted by the Clinger-Cohen Act

PL 107-296, Title 8, Subtitle G, Support Antiterrorism by Fostering Effective Technologic  
Act (SAFETY Act)

Risk Sharing for Homeland Security Technology and Services

Use of Open Source Software by U.S. Government



**International Business Machines Corporation (**

**Continuation Sheet for Item 17 of  
Lobbying Report Addendum for Issue Area CPI**

17. House(s) of Congress and Federal agencies contacted \_\_\_\_\_ Check if None

Department of Commerce

Critical Infrastructure Assurance Office

National Institute of Standards

Department of Homeland Security

Office of General Counsel

Science and Technology Directorate

Information Analysis and Infrastructure Protection Directorate

National Cyber Security Division





**International Business Machines Corporation (****Continuation Sheet for Item 18 of  
Lobbying Report Addendum for Issue Area CPI**

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>New</u>
..... ..... ..... Susan M. Siemietkowski		
..... ..... ..... Gregory W. Waddell		
..... ..... .....		
..... ..... .....		
..... ..... .....		
..... ..... .....		
..... ..... .....		
..... ..... .....		



Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code CSP (one per page)

16. Specific lobbying issues

H.R. 1636 Consumer Privacy Protection Act

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives

Senate

Department of Commerce

International Trade Administration

Federal Trade Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Kathleen N. Kingscott	
Christopher J. Mustain	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
Timothy J. Sheehy	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



**International Business Machines Corporation (****Continuation Sheet for Item 18 of  
Lobbying Report Addendum for Issue Area CSP**

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>New</u>
.....	.....	.....
Susan S. Siemietkowski		
.....	.....	.....
Gregory W. Waddell		
.....	.....	.....
.....	.....	.....
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Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

Business Methods Patents  
Electronically-Filed Patent Applications  
Open Source Software  
Open Source Use in the Public Sector  
Patent and Trademark Office Funding  
Patent Fee Withholding

(See Attached Continuation Sheet)

17. House(s) of Congress and Federal agencies contacted

Congress  
General Accounting Office  
House of Representatives  
Senate  
Executive Office of the President  
Office of Management and Budget  
Office of the United States Trade Representative

☐ Check if None  
Department of Commerce  
Patent and Trademark Office  
Department of Defense  
Department of State

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Cheryl L. Bruner	
Timothy B. Hackman	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_





**International Business Machines Corporation (**

**Continuation Sheet for Item 16 of  
Lobbying Report Addendum for Issue Area CPT**

**16. Specific lobbying issues**

Patent and Trademark Office Strategic Plan

Patent Reexamination

Software Patents

H.R. 107 Digital Media Consumer Rights Act of 2003

H.R. 909 Legislation to Direct the PTO Director to Adjust Patent Fees

H.R. 1066 Benefit Authors without Limiting Advancement or Net Consumer Expectations (BALANCE) Act of 2003

H.R. 1561/S. 1760 United States Patent and Trademark Fee Modernization Act of 2003

H.R. 2517 Piracy Deterrence and Education Act of 2004

H.R. 2929 Securely Protecting Yourself Against Cyber Trespass Act (SPY Act)

H.R. 3632 Anti-Counterfeiting Amendments Act of 2004

H.R. 2673 Consolidated Appropriations Act, 2004

H.R. 4754 Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2005

Patent and Trademark Office Funding

S. 692 Digital Consumer Right to Know Act

S. 1621 Consumers, Schools, and Libraries Digital Management Awareness Act of 2003

S. 2237 Protecting Intellectual Rights Against Theft and Expropriation Act of 2004

S. 2560 Inducing Infringements of Copyrights Act of 2004

Smart Buy (Federal Software Procurement Regulation)



**International Business Machines Corporation**

**Continuation Sheet for Item 18 of  
Lobbying Report Addendum for Issue Area CPT**

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>New</u>
.....	.....	.....
Susan M. Siemietkowski	.....	.....
.....	.....	.....
Timothy J. Sheehy	.....	.....
.....	.....	.....
Gregory W. Waddell	.....	.....
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Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

H.R. 4200/S. 2400 National Defense Authorization Act, 2005  
 Export Controls Sections 1404, 1405, 1407  
 Enterprise Resource Planning (Navy)  
 eArmy University  
 Business Management Modernization

17. House(s) of Congress and Federal agencies contacted  
 Department of Commerce  
 House of Representatives  
 Senate

(See Attached Continuation Sheet)

☐ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Edward A. Gillespie	
Edmund F. Perry	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
Susan M. Siemietkowski	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



**International Business Machines Corporation (**

**Continuation Sheet for Item 16 of  
Lobbying Report Addendum for Issue Area DEF**

16. Specific lobbying issues (Cont'd)

H.R. 4613/S. 2559 Department of Defense Appropriations Act, 2005

Enterprise Resource Planning (Navy)

Business Management Modernization

eArmy Univeristy





## International Business Machines Corporation (

**Continuation Sheet for Item 18 of  
Lobbying Report Addendum for Issue Area DEF**

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>New</u>
..... ..... ..... Steven W. Stewart	..... ..... .....	.....
..... ..... ..... William H. Warner	..... ..... .....	.....
..... ..... .....	..... ..... .....	.....
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Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (one per page)

16. Specific lobbying issues  
 Educational Standards  
 Workforce Development

17. House(s) of Congress and Federal agencies contacted  
 House of Representatives  
 Senate  
 Executive Office of the President  
 Domestic Policy Council  
 National Economic Council  
 Office of Science and Technology Policy  
 Department of Education

☐ Check if None  
 Department of Commerce

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
David N. Barnes	
Edgar H. McCulloch III	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
Susan M. Siemietkowski	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code GOV (one per page)

16. Specific lobbying issues

Transportation/Treasury and Independent Agencies Appropriations Act, 2005  
 Electronic Records Archives  
 H.R. 4200/S. 2400 National Defense Authorization Act, 2005  
 Competitive Sourcing Provisions  
 Use of GSA Client Support Centers  
 Use of Government-Wide Acquisition Vehicles

17. House(s) of Congress and Federal agencies contacted

House of Representatives  
 Senate  
 Executive Office of the President  
 Office of the United States Trade Representative  
 Office of Management and Budget  
 Office of Federal Procurement Policy  
 General Services Administration  
 The Access Board

☐ Check if None  
 Department of Defense  
 Office of Procurement Policy

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Edmund F. Perry	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
Susan M. Siemietkowski	
Steven W. Stewart	
William H. Warner	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Health Information Technology  
 Departments of Labor, Health and Human Services, and Education, and Related  
 Agencies Appropriations Act, 2005  
 Health Information Technology

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives  
 Senate  
 Executive Office of the President  
 Department of Health and Human Services  
 Centers for Disease Control and Prevention  
 National Institutes of Health  
 Center for Medicare/Medicaid Services  
 Human Resource and Services Administration

(See Attached Continuation Sheet)

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
David N. Barnes	
Edgar H. McCulloch III	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
Susan M. Siemietkowski	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_





**Continuation Sheet for Item 17 of  
Lobbying Report Addendum for Issue Area HCR**

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

Department of Health and Human Services (Cont'd)

Agency for Healthcare Research and Quality

Food and Drug Administration

Department of Defense



Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code HOM (one per page)

16. Specific lobbying issues

H.R. 4567/S. 2537 Homeland Security Appropriations Act, 2005

Customs Modernization

Flood Map Modernization

U.S. VISIT (United States Visitor and Immigration Status Indicator Technology)

CAP WIN (Capitol Wireless Integrated Network)

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Edmund F. Perry	
Brian J. Raymond	
Paul Redifer	
Adrienne G. Rhone	
Susan M. Siemietkowski	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

See Addendum

Signature \_\_\_\_\_ Date \_\_\_\_\_



## International Business Machines Corporation (

**Continuation Sheet for Item 18 of  
Lobbying Report Addendum for Issue Area HOM**

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>New</u>
.....	.....	.....
Steven W. Stewart		
.....	.....	.....
William H. Warner		
.....	.....	.....
.....	.....	.....
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Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific lobbying issues

H. R. 2849/S. 1452 USA Jobs Protection Act of 2003

S. 1635 L-1 Visa (Intercompany Transferee) Reform Act of 2003

H. R. 2702 L-1 Nonimmigrant Reform Act

H. R. 4166 American Workforce Improvement and Jobs Protection Act

H. R. 4415 Save American Jobs Through L Visa Reform Act of 2004

(See Attached Continuation Sheet)

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives

Senate

Department of Homeland Security

U. S. Citizenship and Immigration Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
David N. Barnes	
Brian J. Raymond	
Paul G. Redifer	
Adrienne G. Rhone	
Susan M. Siemietkowski	
Marija Zivanovic-Smith	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

See Addendum

Signature \_\_\_\_\_ Date \_\_\_\_\_





**International Business Machines Corporation (**

**Continuation Sheet for Item 16 of  
Lobbying Report Addendum for Issue Area IMM**

16. Specific lobbying issues

H.R. 2154 To Amend the Immigration and Nationality Act to Prevent an Employer from  
Placing a Non-Immigrant who is an Intracompany Transferee with Another Employer  
H.R. 2688 To Amend the Immigration and Nationality Act to Repeal Authorities  
Relating to the B Visas for Temporary Workers



Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues  
Health Information Technology

17. House(s) of Congress and Federal agencies contacted  
House of Representatives  
Senate  
Department of Health and Human Services  
Agency for Healthcare Research and Quality  
Centers for Medicare and Medicaid Services  
Health Resources and Services Administration

☐ Check if None  
Department of Health and Human Services  
Food and Drug Administration  
Centers for Disease Control and Prevention

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
David N. Barnes	
Geri J. Colombaro	
Edgar H. McCulloch III	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
Susan M. Siemietkowski	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code RRR (one per page)

16. Specific lobbying issues

Transportation, Treasury, and Independent Agencies Appropriations, 2005

Amtrak Funding

17. House(s) of Congress and Federal agencies contacted

House of Representatives

Senate

☐ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Edmund F. Perry	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
Susan M. Siemietkowski	
Steven W. Stewart	
William H. Warner	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific lobbying issues

H.R. 2/S. 2 Jobs and Growth Tax Relief Reconciliation Act of 2003

Executive Compensation

H.R. 1000 Pension Security Act of 2003

Miller Cash Balance Amendments

H.R. 1677/S.825 Pension Benefits Protection Act of 2003

H.R. 1776 Pension Preservation and Savings Expansion Act of 2003

Cash Balance Pension

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
David N. Barnes	
Geri J. Colombaro	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
Susan M. Siemietkowski	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_





Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page)

16. Specific lobbying issues

H.R. 4614 Energy and Water Development Appropriations Act, 2005

Defense and Civilian DOE

Accelerated Strategic Computer Initiative (ASCI)

Civilian DOE Computer Simulation Technology

Physical Science Research & Development

S. 2176 High-End Computing Revitalization Act of 2004

(See Attached Continuation Sheet)

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives

Senate

Executive Office of the President

Office of Science and Technology Policy

Office of Management and Budget

(See Attached Continuation Sheet)

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Yolanda L. Comedy	
Kathleen N. Kingscott	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
Susan M. Siemietkowski	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



**International Business Machines Corporation (**

**Continuation Sheet for Item 16 of  
Lobbying Report Addendum for Issue Area SCI**

16. Specific lobbying issues

H.R. 4218 High-Performance Computing Revitalization Act of 2004

H.R. 4613/S. 2559 Department of Defense Appropriations Act, 2005

High Performance Computing Research

SIA Focus Center Research Program

National Science Foundation Funding

Life Sciences Research Funding



**International Business Machines Corporation (**

**Continuation Sheet for Item 17 of  
Lobbying Report Addendum for Issue Area SCI**

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

Department of Commerce

Department of Energy

Defense Programs

Office of Science

Office of Advanced Scientific Computing Research

Department of Defense

National Security Agency

Department of the Navy

Defense Advanced Research Projects Agency

National Science Foundation

Directorate for Computers and Information Sciences and Engineering



Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Negotiation and Ratification of Bilateral Income Tax Treaties

U.S.-Canada Tax Treaty

U.S.-Japan Tax Treaty

U.S.-Netherlands Tax Treaty

Repeal and Replacement for Foreign Sales Corporation - Extraterritorial Income Exclusion

Revision of Internal Revenue Code Subpart F on Deferral of Taxation

Repeal Limitation on Use of Foreign Tax Credits under the Corporate Alternative Minimum Tax

(See Attached Continuation Sheet)

17. House(s) of Congress and Federal agencies contacted

House of Representatives

Senate

Executive Office of the President

Office of the United States Trade Representative

Department of Treasury

Internal Revenue Service

☐ Check if None

Department of Commerce

Department of State

Securities and Exchange Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Linda C. Evans	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
Timothy J. Sheehy	
Susan M. Siemietkowski	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_





**International Business Machines Corporation (****Continuation Sheet for Item 16 of  
Lobbying Report Addendum for Issue Area TAX****16. Specific lobbying issues**

Treasury “Check-in-the-Box” Regulations

Taxation of Software (Section 18) Regulations

Taxation of Internet Transactions and Software

Fundamental Tax Reform

Internet Taxation

European Union Proposal for Taxation of Electronic Commerce, VAT Directive

European Union VAT on Outsourced Financial Services

Active Financing Exception to Subpart F

Research and Development Tax Credit Regulations

Research and Development Tax Credit Refinement

Stock Options and Payroll Taxes

IRS Notice 2001-72

IRS Notice 2001-73

IRS Notice 2001-14

S. 1971 National Employee Savings and Trust Equity Guarantee Act

H.R. 4520 American Jobs Creation Act of 2004

Excluding Employee Taxes from ESPPs (Employee Stock Purchase Plans) and ISOs  
(Incentive Stock Options)

Securities and Exchange Commission Implementation of P.L. 107-204 Sarbanes-Oxley Act  
2002

Permanent Establishment (PE) Rules for Services in OECD

S. 1637 Jumpstart Our Business Strength (JOBS) Act

Foreign Sales Corporation - Extraterritorial Income Exclusion



Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code TEC (one per page)

16. Specific lobbying issues

International Telecommunications

Regulatory Treatment of Internet Service Providers

International Charging Arrangements for Internet Services

Electronic Commerce Trade Policy

E-Rate

Spectrum Allocation/Spectrum Management

S. 2281/H.R. 4129 Voice Over Internet Protocol Regulatory Freedom Act of 2004

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives

Senate

Executive Office of the President

Office of the United States Trade Representative

Department of Commerce

Technology Administration

National Telecommunications and Information Administration

(See Attached Continuation Sheet)

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
Brian J. Raymond	
Paul R. Redifer	
Adrienne G. Rhone	
Timothy J. Sheehy	
Susan M. Siemietkowski	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



**International Business Machines Corporation (**

**Continuation Sheet for Item 17 of  
Lobbying Report Addendum for Issue Area TEC**

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

Department of State  
International Communications and Information Policy  
Federal Communications Commission



**International Business Machines Corporation (****Continuation Sheet for Item 18 of  
Lobbying Report Addendum for Issue Area TEC**

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>New</u>
..... Steven W. Stewart	.....	.....
..... Gregory W. Waddell	.....	.....
..... William H. Warner	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....
.....	.....	.....





Registrant Name IBM Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each co information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

Customs Classification

Emerging Markets

Electronic Commerce Trade Policy

World Trade Organization (WTO) Work Program on E-Commerce

WTO Negotiations Objectives Regarding E-Commerce

WTO Trade Classification

(See Attached Continuation Sheet)

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives

Senate

Executive Office of the President

Office of the United States Trade Representative

National Economic Council

Office of the Vice President

(See Attached Continuation Sheet)

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Christopher G. Caine	
David N. Barnes	
Edward A. Gillespie	
Kimberly A. Marsho	
Brian J. Raymond	
Paul G. Redifer	
Adrienne G. Rhone	
(See Attached Continuation Sheet)	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

See Addendum

Signature \_\_\_\_\_ Date \_\_\_\_\_



**International Business Machines Corporation (****Continuation Sheet for Item 16 of  
Lobbying Report Addendum for Issue Area TRD****16. Specific Lobbying Issues**

APEC Leaders' Agreement on Trade and the Digital Economy  
 Asia Pacific Economic Cooperation  
   Ministerial and Heads of State Meetings  
 Free Trade Area of the Americas  
   Agenda and Issue Development  
 GATT Government Procurement Agreement  
   Membership Expansion  
   Adherence to the GATT Government Procurement Agreement  
   Trade Agreements Act  
   WTO Transparency Agreement  
 North America Free Trade Agreement (NAFTA)  
   Implementation and Preservation  
 U.S.-ANDEAN Free Trade Agreement  
 U.S.-Bahrain Free Trade Agreement  
 U.S.-Central America Free Trade Agreement  
 U.S.-Dominican Republic Free Trade Agreement  
 H.R. 4759/S. 2610 U.S.-Australia Free Trade Agreement  
 H.R. 4842/S. 2677 U.S.-Morocco Free Trade Agreement  
 U.S.-South Africa Customs Union Free Trade Agreement  
 U.S.-Thailand Free Trade Agreement  
 H.R. 4200/S. 2400 National Defense Authorization Act of 2005  
   Export Controls Sections 1404, 1405, 1407  
 Export Controls Regulations  
   Deemed Export Regulations  
   Microprocessor and Computer Export Regulations  
   Semiconductor Export Regulations  
   New Computer Metric to Replace MTOPS  
 WTO GATS Negotiations  
 WTO Information Technology Agreement  
 WTO-Doha Development Agenda  
 WTO Technical Barriers to Trade (TBT) Agreement  
 OECD Guidelines for Multinational Enterprises  
 China's WTO Accession Implementation  
 Semiconductor VAT  
 China Standards-Related Issues, e.g., WAPI  
 China Government Procurement  
 S. 1637 Jumpstart Our Business Strength (JOBS) Act  
   Schumer Amendment on China's Currency Manipulation



**International Business Machines Corporation (**

**Continuation Sheet for Item 16 of  
Lobbying Report Addendum for Issue Area TRD**

**16. Specific Lobbying Issues (Cont'd)**

H.R. 3888 To Prohibit Business Enterprises that Lay Off a Greater Percentage of their United States Workers than Workers in Other Countries from Receiving any Federal Assistance, and for Other Purposes

H.R. 2673 Consolidated Appropriations Act, 2004

Thomas-Voinovich Anti-Offshoring Amendment

Competitive Sourcing Provisions

WTO Accession - Russia

WTO Accession - Saudi Arabia

S. 1637 Jumpstart Our Business Strength (JOBS) Act

Dodd Anti-Offshoring Amendment

S.Con.Res.393 Budget Resolution, 2005

Boxer Anti-Offshoring Amendment

H.R. 4090/S. 2157 Trade Adjustment Assistance Equity for Service Workers Act of 2004

S. 2090 Jobs for America Act of 2004

S. 2148 U.S.A. Jobs Protection Act of 2004

H.R. 3820/S. 2094 United States Workers Protection Act of 2004

H.R. 4392 Technical Retraining and Investment Now (TRAIN) Act of 2004

Iraq Reconstruction



**International Business Machines Corporation**

**Continuation Sheet for Item 17 of  
Lobbying Report Addendum for Issue Area TRD**

17. House(s) of Congress and Federal agencies contacted \_\_\_\_\_ Check if None

Department of Commerce

Bureau of Industry and Security

International Trade Administration

Technology Administration

Department of Defense

Acquisition, Technology, and Logistics

Command, Control, Communications, and Intelligence

Policy

National Security Agency

Department of State

Bureau of Political-Military Affairs

Bureau of Nonproliferation

Bureau of Customs and Border Protection

U.S. Agency for International Development

Export-Import Bank of the United States





## International Business Machines Corporation (

**Continuation Sheet for Item 18 of  
Lobbying Report Addendum for Issue Area TRD**

18. Name of each individual who acted as a lobbyist in this issue area

<u>Name</u>	<u>Covered Official Position (If Applicable)</u>	<u>New</u>
..... ..... .....		
Susan M. Siemietkowski	..... ..... .....	
..... ..... .....		
Steven W. Stewart	..... ..... .....	
..... ..... .....		
Susan C. Tuttle	..... ..... .....	
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**Lobbying Report Addendum for Item 19**  
**Issue Areas TAX and TRD**

19. For registrants identifying foreign entities in the Lobbying Registration (Form LD-1, line 14) or any updates: If of each such foreign entity in the specific lobbying issues listed on line 16 above

Regarding Lines 14(a) and 14(b) of Form LD-1: None. Regarding Line 14(c) of Form LD-1, the term "direct interest" is not defined in the statute and its meaning remains ambiguous. IBM believes that its foreign subsidiaries have only an indirect and derivative interest in the lobbying activities covered by this Addendum. IBM's foreign subsidiaries do not have the predominant interest in the matters for which IBM has engaged in lobbying activities since the parent company initiates, directs, finances, controls such activities and does not act merely as an agent for one or more of its subsidiaries. However, because the interpretation of "direct interest" remains unclear, we include a list of the major worldwide non-U.S. subsidiaries of the company. Depending on the meaning finally given to the statutory terms, one or more of these subsidiaries might be viewed as having a direct interest in one or more of the activities covered by this Addendum.

**IBM World Trade Subsidiaries**

IBM Argentina Holdings B.V.	IBM Korea, Inc.
IBM Australia Limited	IBM de Mexico, S.A.
IBM Oesterreich Internationale Bueromaschinen Gesellschaft m.b.H.	IBM Nederland N.V.
International Business Machines of Belgium S.A.	IBM New Zealand Limited
IBM de Bolivia, S.A.	International Business Machines A/S (Norway)
IBM Brasil-Industria, Maquinas e Servicos Limitada	IBM del Peru, S.A.
IBM Bulgaria Ltd.	IBM Philippines, Inc.
IBM Canada Limited - IBM Canada Limitee	IBM Polska Sp.z.o.o.
IBM de Chile, S.A.C.	Companhia IBM Portuguesa, S.A.
IBM China Company Ltd.	IBM Romania Srl
IBM de Colombia, S.A.	IBM East Europe/Asia Ltd.
IBM Croatia Ltd.	IBM Singapore Pte. Ltd.
IBM Ceska Republika spol. s.r.o. (Czech Republic)	IBM Slovensko spol.s.r.o. (Slovak Republic)
IBM Danmark A/S	IBM Slovenija d.o.o. (Slovenia)
IBM Middle East FZE	IBM South Africa (Pty) Ltd.
IBM del Ecuador, C.A.	International Business Machines, S.A. (Spain)
Oy International Business Machines AB (Finland)	IBM Svenska Aktiebolag
Compagnie IBM France, S.A.	IBM(Schweiz)-IBM (Suisse)-IBM(Svizzera)-
IBM Deutschland GmbH	IBM (Switzerland)
IBM Hellas Information Handling Systems S.A. (Greece)	IBM Taiwan Holdings B.V.
International Business Machines Corporation	IBM Thailand Co. Ltd.
Magyarorszagi Kft (Hungary)	IBM (International Business Machines) Turk Sirketi
IBM Ireland Limited	IBM United Kingdom Holdings Limited
IBM Israel Limited	IBM del Uruguay, S.A.
IBM India Limited	IBM de Venezuela, S.A.
IBM Italia S.P.A.	
IBM Japan, Ltd.	



Registrant Name IBM Client Name Self

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

Bruce E. Leinster

Susan M. Siemietkowski

**ISSUE UPDATE**

24. General lobbying issues previously reported that no longer pertain

LBR

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of E (city and state or c

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registered affiliated organization

Signature



Date

8/13/04

Printed Name and Title Adrienne G. Rhone, Director of Government Relations

Form LD-2 (Rev. 6/98)

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