

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

HEALTHCARE ASSN OF NEW YORK STATE

2. Address:

1 EMPIRE DR, RENSSELAER, NY 12144

3. Principal place of business (if different from line 2):

4. Contact Name: STEVEN KROLL

Telephone: 518-431-7600

E-mail (optional): SKROLL@HANY5.ORG

Senate ID #: 17900-12

House ID #: 31271000

7. Client Name: Self

TYPE OF REPORT

8. Year 2003 Midyear (January 1 - June 30): **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report: => Termination Date: _____ 11. No Lobbying Activity:

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more: => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more: => Expenses (nearest \$20,000): 480,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- Method A.** Reporting amounts using LDA definitions only
 Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
 Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: MMM (one per page)

16. Specific lobbying issues:

FFY 2004 Budget Resolution FFY 2004 Labor HHS Appropriations Bill S. 889, H.R. 1710 The American Hospital Preservation Act of 2003 H.R. 1580 the Area Wage and Standardized Rate Act S. 138, H.R. 816 State Budget Relief Act of 2003 S. 511, S. 607, H.R. 5 Medical Liability Reform S. 652, H.R. 328 Medicaid DSH Access to Hospitals Act H.R. 810 Medicare Regulatory and Contracting Reform Act H.R. 1776 The Pension Preservation and Savings Expansion Act of 2003 S. 1222, H.R. 2246 Medicare Inpatient Rehab. Facility Payment Modifications H.R. 934 The Teacher and Nurse Support Act of 2003 H.R. 501 Nurse Loan Forgiveness Act S. 720, H.R. 663, H.R. 877 Patient Safety Improvement Act S. 869, H.R. 817 Assure Access to Mammography Act S. 412, H.R. 819 Local Medical Emergency Reimbursement Act of 2003 S. 486, H.R. 953 Paul Wellstone Mental Health Treatment Parity Act S. 845, H.R. 1689 Legal Immigrant Children's Health Improvement Act of 2003 S. 816, H.R. 1675 Health Care Access and Rural Equity Act of 2003 H.R. 3035 Medication Errors Reduction Act of 2003 H.R. 3722 Reporting Undocumented Immigrants Receiving Care S. 1, H.R. 1 Prescription Drug Benefit P.L. 108-173 Medicare Prescription Drug, Improvement and Modernization Act of 2003 P.L. 108-27 Jobs & Growth Tax Relief Reconciliation Act H.R. 1770 Smallpox Emergency Personnel Protection Act EMTALA Standards Sarbanes-Oxley regulation Internal Revenue Service Form 990 reporting regulations Provider-based designation regulations Indirect Medical Education Relief CMS Conditions of Participation Requirements Automatic implantable cardioverter defibrillators CMS Proposed Outlier Payment Methodology modifications CMS Proposed Patient Experiences of Care Survey Tool CMS Hospital Quality Reporting Pilot Project CMS Proposed Inpatient Rehabilitation PPS Regulations CMS Proposed Inpatient PPS Regulations CMS Proposed Outpatient PPS Regulations CMS Proposed Skilled Nursing Facility PPS Regulations CMS Proposed Inpatient Psychiatric Facility PPS Regulations FDA Bar Code Label Requirements for Human Drug Products and Blood CMS Home Health Quality Initiative CMS Occupational Case Mix Survey

17. House(s) of Congress and Federal agencies contacted:

Agency for Health Care Policy & Research
Centers For Medicare and Medicaid Services (CMS)
Executive Office of the President
Food & Drug Administration (FDA)
HOUSE OF REPRESENTATIVES
Health & Human Services, Dept of (HHS)
Internal Revenue Service (IRS)
Labor, Dept of (DOL)
Office of Management & Budget (OMB)
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BERNARD, RENEE
Covered Official Position (if applicable): N/A
Name: CHANG, JU MING
Covered Official Position (if applicable): N/A
Name: CICCONE, KATHLEEN
Covered Official Position (if applicable): N/A
Name: CUNNINGHAM, JOANNE
Covered Official Position (if applicable): N/A
Name: DAHILL, KEVIN
Covered Official Position (if applicable): N/A
Name: GRAHAM, MELANIE
Covered Official Position (if applicable): N/A
Name: HARWELL, STEVEN
Covered Official Position (if applicable): N/A
Name: KRAWIECKI, KEVIN
Covered Official Position (if applicable): N/A
Name: KROLL, STEVEN
Covered Official Position (if applicable): N/A
Name: LEBARRON, DEBBIE
Covered Official Position (if applicable): N/A
Name: LEEDS, LAURA
Covered Official Position (if applicable): N/A
Name: LEVERNOIS, CINDY
Covered Official Position (if applicable): N/A

Registrant Name: HEALTHCARE ASSN OF NEW YORK STATE Client Name: Self

Name: PIKE, WILLIAM
Covered Official Position (if applicable): N/A
Name: ROACH, KAREN
Covered Official Position (if applicable): N/A
Name: SISTO, DANIEL
Covered Official Position (if applicable): N/A
Name: SOMMER, FRANCESCA
Covered Official Position (if applicable): N/A
Name: SWEENEY, RAYMOND
Covered Official Position (if applicable): N/A
Name: THOMAS, MARK
Covered Official Position (if applicable): N/A
Name: VAN METER, SUSAN
Covered Official Position (if applicable): N/A
Name: ZELIZER, NORA
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 17, 2004

Printed Name and Title: STEVEN KROLL - VICE PRESIDENT, GOVERNMENTAL AFFAIRS