

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETARY OF THE SENATE  
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**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>Verner, Lipfert, Bernhard, McPherson &amp; Hand</b>			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address: <b>901 15th Street, N.W.</b> Suite <b>700</b> City: <b>Washington</b> State/Zip (or Country): <b>DC 20005-2301</b>			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country): _____			
4. Contact Name <b>John H. Zentay</b>	Telephone <b>(202) 371-6000</b>	E-mail (optional) <b>jhzentay@verner.com</b>	5. Senate ID # <b>39974-710</b>
7. Client Name <input type="checkbox"/> Self <b>Investment Company Institute</b>	6. House ID # <b>30883056</b>		

**TYPE OF REPORT** 8. Year 1999 Midyear (January 1-June 30)  **OR** Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p align="center"><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> &gt;&gt; \$ <u>\$120,000.00</u> Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center"><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> &gt;&gt; \$ _____ Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature \_\_\_\_\_ Date 8/16/99

Printed Name and Title John H. Zentay - Shareholder Page 1 of 5

Registrant Name: Verner, Lipfert, Bernhard, McPherson & Hand

Client Name: Investment Company Institute

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific Lobbying issues

**H.R.10, Financial Services Act of 1999,  
H.R.2430, Investment Competitiveness Act of 1999,  
S.1217, Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2000,  
S.900, Financial Services Modernization Act of 1999,  
Mutual fund fees.**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives  
Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<b>Bernstein, Matthew C.</b>		Yes
<b>Dwyer, Denis J.</b>		No
<b>Eskie, Andrew D.</b>		No
<b>Hand, Lloyd N.</b>		No
<b>Hickie, Jane</b>		Yes
<b>Martin, Jenifer</b>		No
<b>McPherson, Harry C.</b>		No

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 8/16/99

Printed Name and Title John H. Zentay - Shareholder Page 2 of 5

Registrant Name: Verner, Lipfert, Bernhard, McPherson & Hand

Client Name: Investment Company Institute

Item	Description	Data
18a	Lobbyist Name	Merrigan, John A.
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Minor, William H.
18b	Covered Official Position	
18c	New Lobbyist	No

Registrant Name: Verner, Lippert, Bernhard, McPherson & Hand

Client Name: Investment Company Institute

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

- 15. General issue area code RET (one per page)
- 16. Specific Lobbying issues  
**Social Security Reform,  
Cross-trades,  
ERISA Advisory Council**

- 17. House(s) of Congress and Federal agencies contacted  Check if None  
**Department of Labor  
Executive Office of the President  
House of Representatives  
Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<b>Eskin, Andrew D.</b>		No
<b>Freeman, Rosemary B.</b>		Yes
<b>Hand, Lloyd N.</b>		No
<b>Hawley, Neelie M.</b>	<b>Legislative Director, Rep. Bill Archer</b>	Yes

- 19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date 8/16/99

Printed Name and Title John H. Zentay - Shareholder Page 4 of 5

Registrant Name: Verner, Lijfert, Bernhard, McPherson & Hand

Client Name: Investment Company Institute

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues  
H.R.2430, Investment Competitiveness Act of 1999,  
H.R.2488, Financial Freedom Act of 1999,

17. House(s) of Congress and Federal agencies contacted  Check if None  
House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Eskin, Andrew D.</u>		<u>No</u>
<u>Hart, Vicki E.</u>		<u>Yes</u>
<u>Hawley, Noelle M.</u>	<u>Legislative Director, Rep. Bill Archer</u>	<u>Yes</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/16/99

Printed Name and Title John H. Zentay - Shareholder Page 5 of 5