

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

NORTHWESTERN UNIV

2. Address:

633 CLARK ST., EVANSTON, IL 60208-1117

3. Principal place of business (if different from line 2):

4. Contact Name: BRUCE C. LAYTON

Telephone: 8474315680

E-mail (optional): b-layton@northwestern.edu

Senate ID #: 299992-12

House ID #:

7. Client Name: ☒ Self

TYPE OF REPORT

8. Year 2007 Midyear (January 1 - June 30): ☒ **OR** Year End (July 1 - December 31): ☐

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 400,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

☐ **Method A.** Reporting amounts using LDA definitions only

☒ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

H. Con. Res. 99/S. Con. Res. 21, Providing for consideration of the concurrent resolution, revising the congressional budget for the United States Government for fiscal year 2007, establishing the Congressional budget for the United States Government for fiscal year 2008, and setting forth appropriate budgetary levels for fiscal years 2009 through 2012. Sec. 205, Reserve fund for higher education; Sec. 403, policy on college affordability. HR 2641/S. 1751, Energy and Water Development and Related Agency Appropriations Act, 2008, Title III, Department of Energy, Office of Science. HR 2643/S. 1696, Department of the Interior, Environment, and Related Agencies Appropriations Act, 2008, Title III, Other Related Agencies, National Endowment for the Humanities. HR 2829, Financial Services and General Government Appropriations Act, 2008, Title IV, Independent Agencies, Small Business Administration. HR 3043/S. 1710, Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, 2008, Title II, Department of Health and Human Services, Title III, Department of Education. H.J. Resolution, Making further continuing appropriations for FY 2007 and for other purposes, Chapter 6, Departments of Labor, Health and Human Services, and Education, and Related Agencies; Chapter 9, Science, State, Justice, Commerce. HR 3093/S. 1745, Commerce, Justice, Science, and Related Agency Appropriations Act, 2008, Title III, Science.

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: KUNDE, JENNIFER
Covered Official Position (if applicable): N/A
Name: LAYTON, BRUCE
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NORTHWESTERN UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CPT (one per page)

16. Specific lobbying issues:

HR 1908/S. 1145, The Patent Reform Act of 2007, entire bill.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: KUNDE, JENNIFER

Covered Official Position (if applicable): N/A

Name: LAYTON, BRUCE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NORTHWESTERN UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: DEF (one per page)

16. Specific lobbying issues:

HR 1585/S. 567, Defense Authorization Act of 2008, Title II, Research, Development, Testing and Evaluation; 6.1, 6.2 basic research provisions

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: KUNDE, JENNIFER

Covered Official Position (if applicable): N/A

Name: LAYTON, BRUCE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NORTHWESTERN UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: EDU (one per page)

16. Specific lobbying issues:

HR 2559/S. 1868, Higher Education Act Extension, entire bill. HR 2669, The College Cost Reduction Act of 2007, entire bill. S. 945, College Textbook Affordability Act of 2007, entire bill. S. 1228, Campus Law Enforcement Emergency Response Act of 2007, entire bill. S. 1561, A bill to amend Title 11, U.S. Code, with respect to exceptions to discharge in bankruptcy for certain qualified educational loans, entire bill. S. 1762, Higher Education Access Act of 2007, entire bill. Department of Education, 2006-2007, Negotiated Rulemaking Regarding Accreditation. Department of Education, May 31 FR regarding National Center for Education Statistics (NCES) and Integrated Postsecondary Educational Data System (IPEDs). HR 5/S. 282, College Student Relief Act of 2007, entire bill. HR 890, The Student Loan Sunshine Act, entire bill. HR 916/S. 442, The John R. Justice Prosecutors and Defenders Incentive Act of 2007, entire bill. HR 1469/S. 991, Senator Paul Simon Study Abroad Foundation Act of 2007, entire bill.

17. House(s) of Congress and Federal agencies contacted:
Education, Dept of
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: KUNDE, JENNIFER

Covered Official Position (if applicable): N/A

Name: LAYTON, BRUCE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NORTHWESTERN UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: IMM (one per page)

16. Specific lobbying issues:

S. 1348, Comprehensive Immigration Act of 2007, Title V, Subtitle A; Subtitle B | SKIL Act of 2007; Title VI, Chapter 3, Subtitle C | DREAM Act of 2007. HR 1645, The STRIVE Act of 2007 (Security Through Regularized Immigration and a Vibrant Economy), Title V, Subtitle A; Title VI, Subtitle B. S. 774, DREAM Act of 2007, Development, Relief, and Education for Alien Minors Act of 2007, entire bill. S. 888, Genocide Accountability Act of 2007, entire bill.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: KUNDE, JENNIFER

Covered Official Position (if applicable): N/A

Name: LAYTON, BRUCE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NORTHWESTERN UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: MED (one per page)

16. Specific lobbying issues:

HR 3/S. 5, Stem Cell Research Enhancement Act, entire bill. HR 2220, Mental Health Security for America's Families in Education Act of 2007, entire bill. S. 976, Genomics and Personalized Medicine Act of 2007, entire bill.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: KUNDE, JENNIFER

Covered Official Position (if applicable): N/A

Name: LAYTON, BRUCE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: SCI (one per page)

16. Specific lobbying issues:

HR 632, The H-Prize Act of 2007, entire bill. HR 1068, To amend the High-Performance Computing Act of 1991, entire bill. HR 1126, To reauthorize the Steel and Aluminum Energy Conservation and Technology Competitiveness Act of 1988, entire bill. HR 1453, The Scientific Communications Act of 2007, entire bill. HR 1467, The 10,000 Trained by 2010 Act, entire bill. HR 1716, The Green Energy Education Act of 2007, entire bill. HR 1867, The National Science Foundation Authorization Act of 2007, entire bill. HR 1868, Technology Innovation and Manufacturing Stimulation Act of 2007, entire bill. HR 2272, The 21st Century Competitiveness Act of 2007, entire bill. S. 761, The America Competes Act, entire bill. HR 85, Energy Technology Transfer Act, entire bill. HR 362, The 10,000 Teachers, 10 Million Minds Math and Science Scholarship Act, entire bill. HR 363, Sowing the Seeds through Science and Engineering Act, entire bill. HR 364, To provide for the establishment of the Advanced Research Projects Agency | Energy (ARPA-E), entire bill.

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: KUNDE, JENNIFER
Covered Official Position (if applicable): N/A
Name: LAYTON, BRUCE
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NORTHWESTERN UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

HR 2/S. 2, Fair Minimum Wage Act of 2007, Sen. Kyl Senate amendment 205, tax exclusion for qualified tuition reductions. HR 1419/S. 819, Public Good IRA Rollover Act of 2007, entire bill. HR 2458/S.1501, Universal Higher Education and Lifetime Learning Act of 2007, entire bill.

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: KUNDE, JENNIFER

Covered Official Position (if applicable): N/A

Name: LAYTON, BRUCE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Aug 13, 2007

Printed Name and Title: BRUCE LAYTON, SPEC ASST TO THE PRESIDENT FOR GOVER -

Information Update Page:

Complete ONLY where registration information has changed.

LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that NO LONGER pertain

AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Aug 13, 2007

Printed Name and Title: -