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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Northwestern Memorial Hospital			
2. Address <input type="checkbox"/> Check if different than previously reported 240 E. Ontario, Suite 500, Chicago, IL 60611			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country): _____			
4. Contact Name Karlene Wenz	Telephone 312/926-2006	E-mail (optional) kwenz@nmh.org	5. Senate ID # 29595-12
7. Client Name <input checked="" type="checkbox"/> Self	6. House ID # 31287000		

**TYPE OF REPORT** 8. Year 1999 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☒

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ → Termination Date \_\_\_\_\_

11. No Lobbying Activity ☐

<b>INCOME OR EXPENSES - Complete Either Line 12 OR Line 13</b>	
<b>12. Lobbying Firms</b> INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>13. Organizations</b> EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ 160,000 Expenses (nearest \$20,000) <b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input checked="" type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature

Printed Name and Title Karlene S. Wenz, Government & Legal Associate

LD-2 (REV. 6/98)

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Registrant Name Northwestern Memorial Hospital Clerk Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

Medicare Capital Reimbursement

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives  
Health Care Financing Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Karlene S. Wenz		<input checked="" type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Karlene S. Wenz Date 2/11/00  
Printed Name and Title Karlene S. Wenz, Government & Legal Associate

Registrant Name Northwestern Memorial Hospital Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

**16. Specific lobbying issues**

- H.R. 3194 District of Columbia Appropriations Act  
H.R. 3426 Medicare, Medicaid & SCHIP Balanced Budget Refinement Act of 1999  
H.R. 3037 Department of Labor, Health and Human Services, Education and Related Agencies Appropriations 2000, Title II - secure funding for health facility and research priorities  
S. 1650, Department of Labor, Health and Human Services, Education and Related Agencies Appropriations 2000, Title II - secure funding for health facility and research priorities  
H.R. 2684 Department of Veterans Affairs and Housing and Urban Development and Independent Agencies Appropriations 2000, Title II - secure funding for information network  
S. 1596 Department of Veterans Affairs and Housing and Urban Development and Independent Agencies Appropriations 2000, Title II - secure funding for information network

**17. House(s) of Congress and Federal agencies contacted** ☐ Check if None

House of Representatives  
U.S. Senate  
Clinton Administration  
DHHS  
HUD

**18. Name of each individual who acted as a lobbyist in this issue area**

Name	Covered Official Position (if applicable)	New
Karlene Wenz		<input checked="" type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

**19. Interest of each foreign entity in the specific issues listed on line 16 above** ☒ Check if None

Signature Karlene S. Wenz Date 2/11/00  
Printed Name and Title Karlene S. Wenz, Government & Legal Associate

Registrant Name Northwestern Memorial Hospital Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues

H.R. 88 - A bill to amend the Treasury and General Government Appropriations Act, 1999, to repeal the requirement regarding data produced under Federal grants and agreements awarded to institutions of higher education, hospitals, and other nonprofit organizations

H.R. 2490 - Treasury and General Government Appropriations Act, Fiscal Year 2000.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives  
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	Net
Karlene S. Wenz		<input checked="" type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Karlene S. Wenz Date 2/11/00  
Printed Name and Title Karlene S. Wenz, Government & Legal Associate

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Registrant Name Northwestern Memorial Hospital Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

**16. Specific lobbying issues**

Organ Transplant and Allocation

S. 573 - Medical Information Privacy and Security Act. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.

S. 578 - Health Care PIN Act. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.

S. 881 - Medical Information Protection Act of 1999. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.

H.R. 1057 - Medical Information Privacy and Security Act. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives

U.S. Senate

Department of Health & Human Services

**18. Name of each individual who acted as a lobbyist in this issue area**

Name	Covered Official Position (if applicable)	Yes
Karlene S. Wenz		<input checked="" type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Karlene S. Wenz Date 2/11/00

Printed Name and Title Karlene S. Wenz, Government & Legal Associate

F N F 2

Registrant Name Northwestern Memorial Hospital Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (cont. (one per page))

**16. Specific lobbying issues**

- H.R. 2404 - Personal Medical Information Protection Act of 1999. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.  
H.R. 2470 - Medical Information Protection and Research Enhancement Act of 1999. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.  
H.R. 1941 - Health Information Privacy Act. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.  
H.R. 2455 - Consumer Health and Research Technology (CHART) Protection Act. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives  
U.S. Senate

**18. Name of each individual who acted as a lobbyist in this issue area**

Name	Covered Official Position (if applicable)	Net
<u>Karlene S. Wenz</u>		<input checked="" type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature Karlene S. Wenz Date 2/11/00  
Printed Name and Title Karlene S. Wenz, Government & Legal Associate

Registrant Name Northwestern Memorial Hospital Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (cont.) (one per page)

16. Specific lobbying issues

H.R. 10/S. 900 - Financial Services Act of 1999, Title III, Subtitle D, The Confidentiality of Medical Information. Opposed the inclusion of medical privacy provisions in the legislation.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives  
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Karlene S. Wenz		<input checked="" type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature Karlene S. Wenz Date 2/11/00  
Printed Name and Title Karlene S. Wenz, Government & Legal Associate

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address \_\_\_\_\_

21. Client new principal place of business (if different from line 20) \_\_\_\_\_

City \_\_\_\_\_

State/Zip (or Country) \_\_\_\_\_

22. New general description of client's business or activities \_\_\_\_\_

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

Lisa V. Tofil

**ISSUE UPDATE**

24. General lobbying issues previously reported that no longer pertain \_\_\_\_\_

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client \_\_\_\_\_

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization \_\_\_\_\_

Signature Karlene S. Wenz Date 2/11/00  
 Printed Name and Title Karlene S. Wenz, Government & Legal Associate