

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**DUBERSTEIN GROUP**

2. Address:

2100 PENNSYLVANIA AVE NW #500, WASHINGTON, DC 20037

3. Principal place of business (if different from line 2):

4. Contact Name: MICHAEL S. BERMAN

Telephone: 2027281100

E-mail (optional): mberman@dubersteingroup.com

Senate ID #: 12675-238

House ID #:

7. Client Name: ☐ Self

### BUSINESS ROUNDTABLE

### TYPE OF REPORT

8. Year 2006 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

### INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

#### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Income (nearest \$20,000): 100,000.00

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

#### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Expenses (nearest \$20,000): \_\_\_\_\_

#### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- ☐ **Method A.** Reporting amounts using LDA definitions only  
☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code  
☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: EDU (one per page)

16. Specific lobbying issues:

HR 4596, Sowing the Seeds Through Science and Engineering Research Act; HR 4906, 21st Century Innovation Act of 2006; S 2198, PACE-Education Act Tapping America's potential (competitive initiative) - HR 4845, Innovation and Competitiveness Act; HR 4434, 10,000 Teachers, 10 Million Minds Science and Math Scholarship Act; HR 4435, To provide for the establishment of the Advanced Research Projects Agency-Energy;

17. House(s) of Congress and Federal agencies contacted:  
HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BERMAN, MICHAEL

Covered Official Position (if applicable): N/A

Name: CHAMPLIN, STEVEN

Covered Official Position (if applicable): N/A

Name: DUBERSTEIN, KENNETH

Covered Official Position (if applicable): N/A

Name: GANDY, HENRY

Covered Official Position (if applicable): N/A

Name: MEYER, DANIEL

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RET (one per page)

16. Specific lobbying issues:

Pension reform - HR 4, Pension Protection Act of 2006; HR 2830, Pension Protection Act of 2005; S 1783, Pension Security and Transparency Act of 2005

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BERMAN, MICHAEL

Covered Official Position (if applicable): N/A

Name: CHAMPLIN, STEVEN

Covered Official Position (if applicable): N/A

Name: DUBERSTEIN, KENNETH

Covered Official Position (if applicable): N/A

Name: GANDY, HENRY

Covered Official Position (if applicable): N/A

Name: MEYER, DANIEL

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

Tax extenders - HR. 4, Pension Protection Act of 2006; HR 5970, Estate Tax and Extension of Tax Relief Act of 2006; HR 6111, Tax Relief and Health Care Act of 2006

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BERMAN, MICHAEL

Covered Official Position (if applicable): N/A

Name: CHAMPLIN, STEVEN

Covered Official Position (if applicable): N/A

Name: DUBERSTEIN, KENNETH

Covered Official Position (if applicable): N/A

Name: GANDY, HENRY

Covered Official Position (if applicable): N/A

Name: MEYER, DANIEL

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TRD (one per page)

16. Specific lobbying issues:

S 2400, A bill to transfer authority to review certain mergers, acquisitions, and takeovers of United States entities by foreign entities to a designee established within the Department of Homeland Security, and for other purposes; S 2766, National Defense Authorization Act for Fiscal Year 2007; S 2507, National Defense Authorization Act for Fiscal Year 2007. Oman Free Trade Agreement - HR 5684, United States-Oman Free Trade Agreement Implementation Act; S 3569, United States-Oman Free Trade Agreement Implementation Act. DOHA Round of WTO - Executive actions concerning this issue. Peru Free Trade Agreement - Legislative proposal concerning this issue; Executive action concerning this issue. Vietnam PNTR - HR 6346, To extend certain trade preference programs, to authorize the extension of nondiscriminatory treatment (normal trade relations treatment) to the products of Vietnam; HR 5602, To authorize the extension of nondiscriminatory treatment (normal trade relations treatment) to the products of Vietnam; HR 6111, Tax Relief and Health Care Act of 2006; HR 6406, To modify temporarily certain rates of duty and make other technical amendments to the trade laws, to extend certain trade preference programs, and for other purposes; S 3495, A bill to authorize the extension of nondiscriminatory treatment (normal trade relations treatment) to the products of Vietnam. Trade issues, including China, agenda, trade promotion authority, trade labor issues, Peru, Columbia, Panama, Korean Free Trade Agreements - Executive actions concerning these issues. CFIUS reform - HR 5337, National Security Foreign Investment Reform and Strengthened Transparency Act of 2006; HR 5122, National Defense Authorization Act for Fiscal Year 2007; S 3549, Foreign Investment and National Security Act of 2006;

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

Treasury, Dept of

U.S. Trade Representative (USTR)

White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BERMAN, MICHAEL

Covered Official Position (if applicable): N/A

Name: CHAMPLIN, STEVEN

Covered Official Position (if applicable): N/A

Name: DUBERSTEIN, KENNETH

Covered Official Position (if applicable): N/A

Name: GANDY, HENRY

Covered Official Position (if applicable): N/A

Name: MEYER, DANIEL

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Jan 31, 2007

Printed Name and Title: MICHAEL S. BERMAN, PRESIDENT -