

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**KOCH INDUSTRIES**

2. Address:

655 15TH STREET, NW SUITE 445, WASHINGTON, DC 20005

3. Principal place of business (if different from line 2):

City: Wichita State/Zip(or Country): KS 67220

4. Contact Name: MATT SCHLAPP

Telephone: 2027371977

E-mail (optional): sean.devereay@kochind.com

Senate ID #: 21825-12

House ID #:

7. Client Name: ☒ Self

## TYPE OF REPORT

8. Year 2007 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☒

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

## INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): \_\_\_\_\_

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 2,530,000.00

### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- ☐ **Method A.** Reporting amounts using LDA definitions only  
☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code  
☒ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: KOCH INDUSTRIES Client Name: Self

## LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

H.R. 2764 - Omnibus Spending Bill -- climate related provisions (Senate) / secure handling of ammonium nitrate. Chemical Security/Appropriations: H.R. 1591 / S. 965 - FY2007 Supplemental Appropriations Bill-- chemical security provisions. H.R. 2643 - Department of the Interior, Environment, and Related Agencies Appropriations Act, 2008-- chemical security provisions. H.R. 2638 / S. 1644 - Department of Homeland Security Appropriations Act, 2008-- chemical security provisions.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
Homeland Security, Dept of (DHS)  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HAGGETT, CATHERINE

Covered Official Position (if applicable): N/A

Name: HAWES, REBECCA

Covered Official Position (if applicable): N/A

Name: HENNEBERRY, BRIAN

Covered Official Position (if applicable): N/A

Name: SCHLAPP, MATTHEW

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: KOCH INDUSTRIES Client Name: Self

## LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CSP (one per page)

16. Specific lobbying issues:

H.R. 6 - Renewable Fuels, Consumer Protection, and energy Efficiency Act of 2007 -- provisions on price controls. S. 1263 - Petroleum Consumer Price Gouging Protection Act -- provisions on price controls. H.R. 1252 - Federal Price Gouging Prevention Act -- provisions on price controls. S. 357 - CAFE Bill -- provisions on price controls.

17. House(s) of Congress and Federal agencies contacted:

Energy, Dept of

Executive Office of the President (EOP)

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HAGGETT, CATHERINE

Covered Official Position (if applicable): N/A

Name: HAWES, REBECCA

Covered Official Position (if applicable): N/A

Name: HENNEBERRY, BRIAN

Covered Official Position (if applicable): N/A

Name: SCHLAPP, MATTHEW

Covered Official Position (if applicable): N/A

Name: WESTBY, DESIREE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: KOCH INDUSTRIES Client Name: Self

## LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENG (one per page)

16. Specific lobbying issues:

H.R. 6 - Renewable Fuels, Consumer Protection, and Energy Efficiency Act of 2007 -- price controls, oil, gas tax issues, tax title, climate change, RFS, energy independence, energy advancement and investment provisions. H.R. 2419 - Farm Bill Extension Act of 2007-- revenue raising and trading provisions.

17. House(s) of Congress and Federal agencies contacted:

Energy, Dept of  
Executive Office of the President (EOP)  
HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HAGGETT, CATHERINE

Covered Official Position (if applicable): N/A

Name: HAWES, REBECCA

Covered Official Position (if applicable): N/A

Name: HENNEBERRY, BRIAN

Covered Official Position (if applicable): N/A

Name: SCHLAPP, MATTHEW

Covered Official Position (if applicable): N/A

Name: WESTBY, DESIREE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: KOCH INDUSTRIES Client Name: Self

## LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENV (one per page)

16. Specific lobbying issues:

S. 309 - Global Warming Pollution Reduction Act -- climate change provisions. S. 280 - Global Warming Bill (Climate Stewardship and Innovation Act of 2007) -- climate change provisions. S. 2191 - Lieberman-Warner Climate Security Act -- climate change provisions. H.R. 906 - Global Change Research and Data Management Act of 2007 -- climate change provisions. H.R. 2420 - International Climate Cooperation Re-engagement Act of 2007 -- climate change provisions.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HAGGETT, CATHERINE

Covered Official Position (if applicable): N/A

Name: HENNEBERRY, BRIAN

Covered Official Position (if applicable): N/A

Name: SCHLAPP, MATTHEW

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: KOCH INDUSTRIES Client Name: Self

## LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FIN (one per page)

16. Specific lobbying issues:

S. 577 - A Bill to amend the Commodity Exchange Act to add a provision relating to reporting and record keeping for positions involving energy commodities-- provisions on trading and regulations on energy commodities. H.R. 594 - Prevent Unfair Manipulation of Prices Act of 2007 -- provisions on trading and regulations on energy commodities.

17. House(s) of Congress and Federal agencies contacted:

Commodity Futures Trading Commission (CFTC)

Executive Office of the President (EOP)

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HENNEBERRY, BRIAN

Covered Official Position (if applicable): N/A

Name: SCHLAPP, MATTHEW

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: KOCH INDUSTRIES Client Name: Self

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RRR (one per page)

16. Specific lobbying issues:

S. 184 - Surface Transportation and Rail Security Act of 2007-- rail security and liability provisions. H.R. 2095 - Federal Railroad Safety Improvement Act of 2007 -- rail security and liability provisions. H.R. 2125 - Railroad Competition and Service Improvement Act of 2007 -- rail security and liability provisions.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HENNEBERRY, BRIAN

Covered Official Position (if applicable): N/A

Name: SCHLAPP, MATTHEW

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

\* Energy Tax Title, Biomass: H.R. 6 - Renewable Fuels, Consumer Protection, and Energy Efficiency Act of 2007 Energy Tax Title, Biomass provisions. Carried Interest: H.R. 2785 / S. 1624 / H.R. 2834 - To amend the Internal Revenue Code of 1986 to treat income received by partners for performing investment management services as ordinary income received for the performance of services. -- tax provisions of the entire Bill. General: H.R. 2 - Fair Minimum Wage Act of 2007 -- revenue raising provisions. S. 1587 - Recycling Investment Saves Energy (RISE Act)-- tax provisions of the entire Bill. Superfund: S. 1179 / H.R. 1887 - Superfund Equity and Megasite Remediation Act of 2007-- Superfund tax reinstatement. Issues without Bills: Foreign Oil Related Income Rules Deferred Compensation Economic Substance Doctrine Other Tax H.R. 4839 - The Tax Technical Corrections Act of 2007 ("DISC")- tax provisions. H.R. 4351 - AMT relief Act of 2007 - revenue raising provisions. S. 2242 - Heartland, Habitat, Harvest And Horticulture Act of 2007- revenue raising provisions. S. 2223 - Habitat And Land Conservation Act of 2007 -- revenue raising provisions. H.R. 3540 / S. 2530 - Federal Aviation Administration Extension Act of 2007 -- revenue raising provisions. H.R. 2776 - The Renewable Energy And Energy Conservation Tax Act Of 2007-- tax, oil, gas, and biomass provisions. H.R. 1591 - The Small Business And Work Opportunity Tax Act Of 2007-- small business bill, revenue raising provisions. H.R. 976 - The Small Business Tax Relief Act Of 2007-- revenue raising provisions. Other Tax H.R. 4839 - The Tax Technical Corrections Act of 2007 ("DISC")- tax provisions. H.R. 4351 - AMT relief Act of 2007 - revenue raising provisions. S. 2242 - Heartland, Habitat, Harvest And Horticulture Act of 2007- revenue raising provisions.

17. House(s) of Congress and Federal agencies contacted:  
HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HAWES, REBECCA  
Covered Official Position (if applicable): N/A  
Name: HENNEBERRY, BRIAN  
Covered Official Position (if applicable): N/A  
Name: SCHLAPP, MATTHEW  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**



Registrant Name: KOCH INDUSTRIES Client Name: Self

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TOR (one per page)

16. Specific lobbying issues:

Issues without Bills: Legislation to resolve claims of asbestos exposure.

17. House(s) of Congress and Federal agencies contacted:  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: SCHLAPP, MATTHEW

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Apr 17, 2008

Printed Name and Title: MATT SCHLAPP, EXECUTIVE DIRECT -

### Information Update Page:

Complete ONLY where registration information has changed.

#### LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

Name: GABLE, WAYNE

Name: GRIBBIN, D. J.

Name: HALL, ROBERT

Name: HANNEBERRY, BRIAN

Name: LOUGHLIN, PETER J.

Name: MITCHELL, NANCY

Name: MUEDEKING, CHRISTINA

Name: PYLE, THOMAS J.

Name: STAMPS, DOUG

#### ISSUE UPDATE

24. General lobbying issues previously reported that NO LONGER pertain

#### AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

#### FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Apr 17, 2008

Printed Name and Title: -