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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers are Required to Complete This Page -6 AM

1. Registrant Name

Capitol Associates, Inc.

2. Address ☐ Check if different than previously reported

426 C Street, NE, Washington, DC 20002

3. Principal Place of Business (if different from line 2)

City:

State/Zip (or Country)

4. Contact Name

Telephone

E-mail (optional)

5. S

Debra M. Hardy Havens

202-544-1880

dh@capitolassociates.com

810

7. Client Name

☐ Self

6. F

Joint Council of Allergy, Asthma and Immunology

308

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☒ OR Year End (July 1-December)

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date _____

11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000 ☐

\$10,000 or more ☒ ⇒ \$20,000
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000 ☐

\$10,000 or more ☐ ⇒ \$ _____
Expenses (nearest \$20,000)

14. **REPORTING METHOD.** Check box to indicate accounting method. See Instructions for description of

☐ **Method A.** Reporting amounts using LDA definition

☐ **Method B.** Reporting amounts under section 6011 of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Signature

Debra M. Hardy Havens

Printed Name and Title Debra M. Hardy Havens, CEO



LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrar lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

Funding for medical research, Asthma programs, needlestick prevention programs, and FDA funding.

H.R. 2330 – Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2002.

S. 1191 – Agriculture, Rural Development, Food and Drug Administration, and Related Agencies App Act, 2002.

H.R. _____ – Department of Labor, Health and Human Services and Education and Related Agencies Appropriations Act, 2002.

S. _____ – Department of Labor, Health and Human Services and Education and Related Agencies App Act, 2002.

H.R. 1682 – To provide the Secretary of Health and Human Services and the Secretary of Education v increased authority with respect to asthma programs, and to provide for increased funding for such pr

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Debra Hardy Havens	
Tricia Brooks	
Matthew Williams	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO



Registrant Name Capitol Associates, Inc. Client Name Joint Council of Asthma, Allergy and Immunology

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engage on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested on additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Track and monitor legislation regarding medical records, and asthma research.

H.R.1215 – To ensure confidentiality with respect to medical records and health care-related information for other purposes.

S.705 – A bill to establish a health information technology grant program for hospitals and for skilled nursing facilities and home health agencies, and to require the Secretary of Health and Human Services to establish and implement a methodology under the medicare program for providing hospitals with reimbursement for costs incurred by such hospitals with respect to information technology systems.

H.R. 2288 – Asthma Awareness, Education and Treatment Act of 2001

H.R. 1144 – To provide for an increase in the Federal investment in research on cancer, Alzheimer's disease, and asthma by \$2,000,000,000 for fiscal year 2002, and to express the sense of the House of Representatives that Federal investment in such research should further be increased for each of the fiscal years 2003 through 2007.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House

Senate

Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Debra M. Hardy Havens	
Tricia Brooks	
Matthew Williams	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO

[REDACTED]

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested on additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues

Tracked and monitored rule-making process for latex medical gloves reclassification.

Tracked and monitored clinical research subject protection initiatives of the Department of Health and Human Services, White House, and the National Bioethics Advisory Commission, including financial conflict of interest, changes to the Declaration of Helsinki, research involving children, and research conduct.

Tracked and reported on Congressional Hearings on stem cell research.

S. 838 – Best Pharmaceuticals for Children Act

H.R. 967 – Access to Cancer Clinical Trials Act of 2001

H.R. 1771 – Antimicrobial Resistance Prevention Act of 2001

H. Con. Res. 17 – Expressing the sense of the Congress supporting Federal funding of pluripotent stem cell research

S. 723, H.R. 2059 – Stem Cell Research Act of 2001

H.R. 2096 – Responsible Stem Cell Research Act of 2001

S. 790, H.R. 1644 – Human Cloning Prohibition Act of 2001

H.R. 2172 – Cloning Prohibition Act of 2001

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House

Senate

Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Debra M. Hardy Havens	
Tricia Brooks	
Matthew Williams	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature _____



Registrant Name Capitol Associates, Inc. Client Name Joint Council of Asthma, Allergy and Immunology

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engage on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested on additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific lobbying issues

Monitor, track and support legislation allowing physicians to bargain collectively with health plans.
Track, monitor, report on legislative activities related to prevention of needlesticks.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House

Senate

Department of Labor, Office of Safety and Health Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Debra M. Hardy Havens	
Matthew Williams	
Tricia Brooks	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____



LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engage on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested on additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

Monitor and track Medicare reforms, and prescription drug benefit.

Monitor and track managed care reform issues.

S.357 – A bill to amend the Social Security Act to preserve and improve the medicare program.

S.358 : A bill to amend the Social Security Act to establish a Medicare Prescription Drug and Supplier Benefit Program and for other purposes.

H.R.803 – To amend title XVIII of the Social Security Act to make the Medicare Program more competitive, efficient, to extend the solvency of the Medicare Program, to provide for a prescription drug benefit under the Medicare Program, to improve quality of care, to make Medicare supplemental insurance (Medigap) more affordable, and for other purposes.

S.10 – A bill to amend title XVIII of the Social Security Act to provide coverage of outpatient prescription drugs under the medicare program.

S.1135 – A bill to amend title XVIII of the Social Security Act to provide comprehensive reform of the medicare program, including the provision of coverage of outpatient prescription drugs under such program.

H.R.1400 – To provide for substantial reductions in the price of prescription drugs for Medicare beneficiaries.

S. 824 – Medication Errors Reduction Act of 2001

S. 863, H.R. 1804 – Patient Safety Act of 2001

H.R. 2340 – Patient Safety and Health Care Whistleblower Protection Act of 2001

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Debra M. Hardy Havens	
Matthew Williams	
Tricia Brooks	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature _____

[REDACTED]

LOBBYING REGISTRATION ADDENDUM

Lobbying Disclosure Act of 1995 (Section 5)

Registrant Name Capitol Associates, Inc.

Client Name Joint Council of Asthma, Allergy and Immunology

Line #	Information to be included
15.	MMM
16.	<p>S. 318, H.R. 602 – Genetic Nondiscrimination in Health Insurance and Employment Act</p> <p>H.R.1215 – To ensure confidentiality with respect to medical records and health care-related information and for other purposes.</p> <p>S.450 – A bill to amend the Gramm-Leach-Bliley Act to provide for enhanced protection of nonpersonal information, including health information, and for other purposes.</p> <p>H.R. 1975 – To modify the deadline for initial compliance with the standards and implementation specifications promulgated under section 1173 of the Social Security Act, and for other purposes.</p> <p>S. 836 – A bill to amend part C of title XI of the Social Security Act to provide for coordination of implementation of administrative simplification standards for health care information.</p> <p>S.1055 – A bill to require the consent of an individual prior to the sale and marketing of such individually personally identifiable information, and for other purposes.</p> <p>S. 6 – A bill to amend the Public Health Service Act, the Employee Retirement Income Security Act of 1974, and the Internal Revenue Code of 1986 to protect consumers in managed care plans and other coverage.</p> <p>S. 283 – A bill to amend the Public Health Service Act, the Employee Retirement Income Security Act of 1974, and the Internal Revenue Code of 1986 to protect consumers in managed care plans and other coverage.</p> <p>S. 872 – A bill to amend the Public Health Service Act, the Employee Retirement Income Security Act of 1974, and the Internal Revenue Code of 1986 to protect consumers in managed care plans and other coverage.</p> <p>S. 889 – A bill to protect consumers in managed care plans and in other health coverage.</p> <p>H.R. 526 – To amend the Public Health Service Act, the Employee Retirement Income Security Act and the Internal Revenue Code of 1986 to protect consumers in managed care plans and other health coverage.</p> <p>H.R. 2315 – To protect consumers in managed care plans and in other health coverage.</p> <p>H.R. 2563 – To amend the Public Health Service Act, the Employee Retirement Income Security Act of 1974, and the Internal Revenue Code of 1986 to protect consumers in managed care plans and other coverage.</p>

