Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

- SECRETARY OF THE SENATI

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

Registrant Name General Electric Company (In	ncluding subsidiaries)	ć			
	ferent than previously reported	Suite DC	1100 20004	USA	·
Principal Place of Business (if different City Fairfield	from line 2) State/Zip (or Country)	СТ	06431	USA	
4. Contact Name Neil T. Messick (202)	Telephone E-n	nail (opti	onal)		5. Senate ID # 15997-12
7. Client Name X Self					6. House ID # 31108000
INCOME OR EXPENS 12. Lobbying		er Lin	e 12 OR I	<u>-</u> .	11. No Lobby
INCOME relating to lobbying acti period was:			(PENSES re	ū	anizations g activities for this repo
Less than \$10,000 ☐ -\$10,000 or more ☐ >> \$			ss than \$10,0		\$7,590,000.00
Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).		14.	REPORT counting met	hod. See instructi Reporting amou	Expenses (nearest \$20 Check box to indicate ons for description of onts using LDA definitions under section 6033
Signature Miff. M	n.O	×	Method C.	Reporting amount Internal Revenue Date _8	

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Registrant Name:	General Electric Company (Including s	subsidiaries)
Client Name:	Self	
engaged in lobbying		to reflect the general issue areas in which the registrant g period. Using a separate page for each code, provide
15. General issue a	rea code AVI (one per page)	
Appropriation S.1155, Nation Civil aircraft	partments of Veterans Affairs and Hous is Act, 2002, Provisions purtaining to t	sing and Urban Development, and Independent Agenciourbo jet engine development Vear 2002, Provisions purtaining to turbo jet engine dev
•		
Department of Federal Aviati House of Repr	on Administration resentatives nautics & Space Administration	☐ Check if None
18. Name of each i	ndividual who acted as a lobbyist in this is	Covered Official Position (if applicable)
LaPlante, Clif	ford C.	
Merber, Selig	s.	
Prowitt, Peter	D.	
Ringo, Durwo	od W.	
		; -
	foreign entity in the specific issues listed One of the specific issues listed	on line 16 above

Registrant Name:	General Electric Company (Including	subsidiaries)	
Client Name:	Self		
engaged in lobbyir		y to reflect the general issue areas in which the registrant ng period. Using a separate page for each code, provid l.	
15. General issue	area code BNK (one per page)		
16. Specific Lobb	bying issues		
17. House(s) of C	Congress and Federal agencies contacted	▼ Check if None	
		•	
		·	
10 N C 1			
18. Name of each	n individual who acted as a lobbyist in this i	ssue area	
Name		Covered Official Position (if applicable)	
			
<u> </u>			
₹ F		s Astronomy Special	
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9. Interest of eac	ch foreign entity in the specific issues listed	on line 16 above	
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	ilt mil		
Sionature	ne / prince	Data 8/14/01	

Registrant Name:	General Electric Company (Including	subsidiaries)
Client Name:	Self	
engaged in lobbyii	ng on behalf of the client during the reportion of the client during	
H.R.2536, B S.27, Biparti S.341, Child	oying issues ipartisan Campaign Reform Act of 2001 roadcast Ownership for the 21st Century san Campaign Reform Act of 2001, Oppren's Protection from Violent Programming ownership of U.S. satellites, Oppose	, Oppose y Act , Support pose
Department Executive O Federal Con	Congress and Federal agencies contacted of Commerce ffice of the President amunications Commission presentatives	☐ Check if None
18. Name of each	individual who acted as a lobbyist in this	I
		Covered Official Position (if applicable)
Fulton, Kath		
Messick, Nei	l T.	
Okun, B. Ro	bert	
Prowitt, Pete	er D.	
Thomson, Ly	nn H.	
eladivi		the same of the same
	ch foreign entity in the specific issues listed	I on line 16 above 🔀 Check if None
Signature /	W7. Mil	Date 8/14/01

Registrant Name:	General Electric Company (Including	subsidiaries)
Client Name:	Self	
engaged in lobby	ing on behalf of the client during the report quested. Attach additional page(s) as needed	•
16. Specific Lot H.R.1017, A H.R.237, C H.R.583, Pr H.R.718, U	obying issues Anti-Spamming Act of 2001, Modify consumer Internet Privacy Enhancement rivacy Commission Act, Support asolicited Commercial Electronic Mail A all Security Number Protection Act of 200	Act, Modify
Departmen Departmen	Congress and Federal agencies contacted to f Commerce to f Treasury epresentatives	☐ Check if None
18. Name of eac	h individual who acted as a lobbyist in this	issue area
Name		Covered Official Position (if applicable)
Fulton, Kat	hryn	
Goldstein, I	illen L.	
Messick, Ne	il T.	
Pinder, Sus	an .	
Prowitt, Pet	er D.	
Thomson, L	ynn H.	s succer
Walter, Sus	an_	
19. Interest of ea	ch foreign entity in the specific issues listed	d on line 16 above 🔀 Check if None

Registrant Name:	General Electric Company (Including	subsidiaries)
Client Name:	Self	
engaged in lobbyi		y to reflect the general issue areas in which the registranting period. Using a separate page for each code, provided.
15. General issu	e area code <u>CPT</u> (one per page)	
H.R.2500, I	atent and Trademark Office Authorizat	ion Act of 2002, Support State, the Judiciary, and Related Agencies Appropriatio
	Congress and Federal agencies contacted epresentatives	☐ Check if None
18. Name of eac Name	h individual who acted as a lobbyist in this	issue area Covered Official Position (if applicable)
Prowitt, Pet	ar D	
· · · · · · · · · · · · · · · · · · ·		·
Thomson, L	ynn H.	
9. Interest of ea	ch foreign entity in the specific issues listed	d on line 16 above 🔀 Check if None
	·	2 on the 10 doore ga Check it rough
1	· 11 · 1	
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Printed Name and Title Nell T. Messick - Manager, Federal Government Relations
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Reg	istrant Name:	General Electric Company (Including subsidiaries)
Clie	nt Name:	Self
enga	iged in lobbyin	IVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant g on behalf of the client during the reporting period. Using a separate page for each code, provide tested. Attach additional page(s) as needed.
15.	General issue	area code <u>DEF</u> (one per page)
16.	Defense Autlengine spares T-38 upgrade Improvemen	tional Defense Authorization Act for Fiscal Year 2002, See Below torization/Appropriations Provisions specifically impacting the following programs: F/A-18 les; F/A-18 engine multiyear procuremnt; KC-135 Series; Joint Strike Fighter; F-15E; F-16; A-16 es; C-5 re-engining; C-40 procurement; helicopters to include UH-60; CH-60; AH-64; CH-46 Est; Integrated High Pressure Turbine Engine Technologies (IHPTET); DDG-51 Destroyer classiship; ADC(x); LV100 Abrams Tank Engine upgrade, Advanced Medical Technology Research:
17.	House(s) of C Department of House of Rep Senate	
18.	Name of each	individual who acted as a lobbyist in this issue area Covered Official Position (if applicable)
		Covered Official Fosition (if applicable)
	Goldstein, El	en L.
	LaPlante, Cli	fford C.
	Messick, Neil	т.
	Prowitt, Pete	r D.
	Ringo, Durw	ood W.
	Wallace, G. I	/ No. al. of the contract of t
		h foreign entity in the specific issues listed on line 16 above

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subsidiaries)
y to reflect the general issue areas in which the registrant ng period. Using a separate page for each code, provided.
gencies Appropriations Act, 2002, Support gas turbine
riations Act, 2002, Support nuclear energy research an ean coal technology, wind energy, and fuel cells; chang nuclear R&D programs
□ Check if None
issue area Covered Official Position (if applicable)
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d on line 16 above 🔀 Check if None
Date 8/14/01

Registrant Name	: General Electric Company (Includ	ing subsidiaries)		
Client Name:	Self			
engaged in lobby		ssary to reflect the general issue areas in which the registrant porting period. Using a separate page for each code, provide eded.		
	General issue area code <u>ENV</u> (one per page)			
H.R.1439, H.R.1540, H.R.1805, H.R.2064, H.R.2438, H.R.2620, Appropria H.R.324, F	Clean Power Plant Act of 2001, Monit Brownfields Clean-Up Act, Monitoring Community Environmental Equity Act Small Business Liability Reform Act o Small Business Liability Protection Act Brownfields Redevelopment Incentives Department of Environmental Protect	g ct, Monitoring ct, Monitoring ct, Opposed ct, Opposed s Act, Monitoring cion Act, Monitoring Housing and Urban Development, and Independent Agencie s and efficiency, increase funding		
Departmen Environme Executive House of R Senate	Congress and Federal agencies contacte at of the Interior ental Protection Agency Office of the President depresentatives ch individual who acted as a lobbyist in t			
Name		Covered Official Position (if applicable)		
Boggs, Lar	ry A.			
Casano, Pa	tricia			
Messick, N	eil T.			
Prowitt, Pe	eter D.			
Thomson,	Lynn H.			
Wallace, G	. Robert			
Walter, Su	san			
	ach foreign entity in the specific issues li	isted on line 16 above Check if None		
Signature	In D. mil	D . 9/14/01		

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Registrant Name: General Electric Company (Including subsidiaries)

Client Name:

Self

Item	Description	Data
16	Lobbying Issues	H.R.64, To provide for the establishment of the position of Deputy Administra for Science and Technology of the Environmental Protection Agency, and for a purposes., Support
16	Lobbying Issues	S.1008, Climate Change Strategy and Technology Innovation Act of 2001, Monitoring
16	Lobbying Issues	S.1064, Small Business Liability Protection Act, Opposed
16	Lobbying Issues	S.1078, Brownfields Economic Development Act of 2001, Monitoring
16	Lobbying Issues	S.1079, Brownfield Site Redevelopment Assistance Act of 2001, Monitoring
16	Lobbying Issues	S.1131, Clean Power Plant and Modernization Act of 2001, Monitoring
16	Lobbying Issues	S.1255, Carbon Sequestration and Reporting Act, Monitoring
16	Lobbying Issues	S.159, Department of Environmental Protection Affairs Act of 2001, Monitoria
16	Lobbying Issues	S.769, International Carbon Conservation Act, Monitoring
16	Lobbying Issues	Air quality issues affecting combined cycle turbines, new source review, Title permits
		Resource Conservation and Recovery Act, issues relating to remediation waste
16	Lobbying Issues	PCB cleanup options for the Hudson River
		RCRA Remediation support legislation reforming EPA rules on remediation waste
		Global climate change support credits for early reductions in greenhouse gasemissions
		Locomotive emissions and efficiency issues

Registrant Name:	General Electric Company (Including	subsidiaries)
Client Name:	Self	
engaged in lobbyi		y to reflect the general issue areas in which the registrant ng period. Using a separate page for each code, provid
15. General issue	e area code <u>FIN</u> (one per page)	
16. Specific Lob	bying issues	
17. House(s) of (Congress and Federal agencies contacted	. Check if None
•	e e	
18. Name of eacl	h individual who acted as a lobbyist in this i	issue area
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Name		Covered Official Position (if applicable)
		الله الميامة (الله الله الله الله الله الله الله ال
	l	
19. Interest of ea	ch foreign entity in the specific issues listed	l on line 16 above
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Λ	Dr. mil	
Signature	WI may	Date 8/14/01

Reg	gistrant Name:	General Electric Company (Including	subsidiaries)
Clie	ent Name:	Self	
eng	aged in lobbyir		y to reflect the general issue areas in which the registrant ng period. Using a separate page for each code, provide l.
15. 16.	H.R. 2563, B H.R.2315, Pa S.1052, Bipa	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	e rt
17.	Department	Congress and Federal agencies contacted of Health & Human Services administration oresentatives	☐ Check if None
18.	Name of each	individual who acted as a lobbyist in this i	issue area Covered Official Position (if applicable)
	Goldstein, El	len L.	
	Prowitt, Pete		
	Thomson, Ly		
- ,	Walter, Susa		· · · · · · · · · · · · · · · · · · ·
	·		
	-		Electrical Communication
-	·		
19.	Interest of eac	h foreign entity in the specific issues listed	on line 16 above Check if None
Sign	ature <u>/ </u>	01. mil	Date 8/14/01

Registrant Name	: General Electric Company (Including	subsidiaries)
Client Name:	Self	
engaged in lobby		ry to reflect the general issue areas in which the registrant ing period. Using a separate page for each code, provide d.
Ginnie Ma	. \ 1 1 5 /	Regulatory Improvement Act, Support
Executive (Congress and Federal agencies contacted Office of the President epresentatives	☐ Check if None
18. Name of eac	ch individual who acted as a lobbyist in this	issue area Covered Official Position (if applicable)
Fulton, Kat	thryn	
Messick, No	eil T.	
Prowitt, Pe	ter D.	·
	· •	
	ach foreign entity in the specific issues listed	d on line 16 above X Check if None
Signature	my / vice	Date 8/14/01

Printed Name and Title	Neil T. Messick - Manager, Federal (Government Relations
Printed Name and Little	Tien thicssick - Manager, rederat	Government Keistion

Page

Registrant Name:	General Electric Company (Including	subsidiaries)
Client Name:	Self	
engaged in lobbyir		y to reflect the general issue areas in which the registranting period. Using a separate page for each code, provid
15. General issue	e area code MAR (one per page)	•
 Specific Lobb Marad cargo 	bying issues o preference waivers	
	Congress and Federal agencies contacted of Transportation	☐ Check if None
19 Nama of anah	s individual vaka aatad oo a labbaist is this :	
Name	individual who acted as a lobbyist in this i	Covered Official Position (if applicable)
Howlett, Stev	ven	
Prowitt, Pete		
		
<u>.</u>		
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9. Interest of eac	ch foreign entity in the specific issues listed	on line 16 above
	$1 \cdot 0 \cdot 0$	
Signature	il 1 mil	Date 8/14/01

Reg	gistrant Name:	General Electric Company (Including	subsidiaries)
Cli	ent Name:	Self	
eng	aged in lobbyir		y to reflect the general issue areas in which the registrant ag period. Using a separate page for each code, provide
15.	General issue	area code RRR (one per page)	
16.	H.R.2299, D Amtrak H.R.2329, H		
17.		Congress and Federal agencies contacted	☐ Check if None
	Department House of Rep Senate	of Transportation presentatives	
18.	Name of each	individual who acted as a lobbyist in this i	
	<u></u>		Covered Official Position (if applicable)
	Messick, Neil	IT.	
	Prowitt, Pete	r D.	
	<u> </u>		
	<u> </u>	-	
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19.	Interest of eac	h foreign entity in the specific issues listed	on line 16 above Check if None
Sign	ature	ail 1. Unil	Date _8/14/01

Printed Name and Title	Neil T. Messick - Manager, Federal Government Relations	 Page

Registrant Name:	General Electric Company (Including	subsidiaries)
Client Name:	Self	
engaged in lobbyin		y to reflect the general issue areas in which the registranting period. Using a separate page for each code, provid d.
15. General issue	area code SCI (one per page))
16. Specific Lobb	oying issues	
		,
17. House(s) of C	Congress and Federal agencies contacted	▼ Check if None
10 Name of sock	Sandieri deval cerba a casa di una di la la la la la di e	
16. Name of each	individual who acted as a lobbyist in this	issue area
Name		Covered Official Position (if applicable)
		(approact)
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19. Interest of eac	th foreign entity in the specific issues listed	d on line 16 above
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Signature /	ne,	Date 8/14/01

Page

Reg	gistrant Name:	General Electric Company (Including	subsidiaries)	
Clie	ent Name:	Self		
eng	aged in lobbyin		to reflect the general issue areas in which the registrant ng period. Using a separate page for each code, provide.	
15.	5. General issue area code TAX (one per page)			
16.	H.R.1357, To financing inc H.R.831, Lor S.627, Long- S.676, A bill	o amend the Internal Revenue Code of 19 come., Support ng-Term Care and Retirement Security A Term Care and Retirement Security Act		
	Support Exti	ra Territorial Income Act against WTO	challenges	
17.	Department of Department of House of Rep		☐ Check if None	
18.	Name of each	individual who acted as a lobbyist in this i		
			Covered Official Position (if applicable)	
	Fulton, Kath			
	Gadbaw, R. I	Michael		
	Goldstein, El	len L.		
	Merber, Selig			
	Messick, Neil	T.		
·	Prowitt, Pete	r D.		
	Thomson, Ly	nn H.		
19.		h foreign entity in the specific issues listed	•	

r Registr	ant Name: (General Electric Con	pany (Including subsidiaries)
Client 1	Name: <u>\$</u>	Self	
Item	Description	1	Data
18a 18b	Lobbyist N Covered O	ame fficial Position	Walter, Susan

No

18b 18c

New Lobbyist

Registrant Name:	General Electric Company (Including	subsidiaries)
Client Name:	Self	
engaged in lobbyi		to reflect the general issue areas in which the registrant ag period. Using a separate page for each code, provide.
15. General issue	e area code TRD (one per page)	
respect to th H.J.RES.51, Republic of H.J.RES.55, respect to V H.R.2149, T H.R.2506, F OPIC, Expo S.1104, Trac	Disapproving the extension of the waive e People's Republic of China., Oppose Approving the extension of nondiscriminal Vietnam, Support Disapproving the extension of the waive ietnam, Oppose rade Promotion Authority Act of 2001, S	d Related Programs Appropriations Act, 2002, Support
Department Department Department Department Department Executive O Export-Impo	of Energy of State	☐ Check if None
Name		Covered Official Position (if applicable)
Clements, W	/illiam	
Frenkel, Ori	t	
Fulton, Kath	ıryn	
Gadbaw, R.	Michael	
Goldstein, E	llen L.	
Howlett, Ste	ven	and the second s
Merber, Seli	g S.	
CFM Interna GE Aero End	ch foreign entity in the specific issues listed ational sells aircraft engines to third cour ergy Products, Hungary imports, exports advocacy and support	on line 16 above Check if None atries and benefits from U.S. government advocacy and and sells GE Power Systems products and benefits from Date 8/14/01

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Registrant Name: General Electric Company (Including subsidiaries)

Client Name:

<u>Self</u>

Item	Description	Data
16	Lobbying Issues	S.180, Sudan Peace Act, Support
16	Lobbying Issues	S.599, Permanent Trade Promotion Authority and Market Access Act of 2001 Support
16	Lobbying Issues	S.J.RES.16, A joint resolution approving the extension of nondiscriminatory treatment to the products of the Socialist Republic of Vietnam, Support
16	Lobbying Issues	Export-Import Bank Charter Extension, Support
		Temporarily suspend duties on steam turbines and generators, Support Sanctions policy reform, Support
		Creation of foreign trade zone in Walker County, Georgia, Support European regulation of HFCs in refrigerators, Oppose
16	Lobbying Issues	Advocacy for US support of foreign sales and transactions
		Improvement of US commercial relations with Indonesia and India IEEPA regulations relating to medical equipment
		APEC trade liberalization support
		Export Controls, Trade Controls and Sanctions
		Support China WTO accession issues implementation
		Export Administration Act support
		Promotion of international anti-corruption and government procurement standards
		Administration of suspension agreement relating to Kazakh Uranium Sanctions policy reform, support
		Trade Promotion Authority, support
		Creation of foreign trade zone for Walker County, Georgia, support
		Japanese E-Commerce Issues
		European E-Commerce Privacy Directive
		Export-Import Bank financing for GE products, support
		Request assistance from U.S. gov't in connection with European Commission consideration of proposed GE-Honeywell merger

Registrant Name: General Electric Company (Including subsidiaries)

Client Name:

Self

Item	Description	Data
17a	House of Congress/Agencies	Food & Drug Administration
17a	House of Congress/Agencies	House of Representatives
17a	House of Congress/Agencies	Senate
17a	House of Congress/Agencies	U.S. Maritime Administration
17a	House of Congress/Agencies	United States Agency for International Development
17a	House of Congress/Agencies	United States Trade Representative
18a	Lobbyist Name	Messick, Neil T.
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Prowitt, Peter D.
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Richards, Timothy J.
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Thomson, Lynn H.
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Wallace, G. Robert
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Walter, Susan
18b	Covered Official Position	
18c	New Lobbyist	No
19a	Interest of Foreign Entity	GE Aero Energy Products, Norway imports, exports and sells GE Power Syste products and benefits from U.S. government advocacy and support
19a	Interest of Foreign Entity	GE Energy Products Europe imports, exports and sells GE Power Systems products and benefits from U.S. government advocacy and support
19a	Interest of Foreign Entity	GE Oil and Gas imports, exports and sells GE Power Systems products and benefits from U.S. government access initiatives
19a	Interest of Foreign Entity	GE Toshiba sells industrial equipment to third countries and benefits from U.S government advocacy and support
19a	Interest of Foreign Entity	GE Yokogawa sells medical diagnostic equipment in Japan and benefits from t government efforts to open Japanese market