

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETARY OF THE

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**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NE COMMONLY KNOWN AS "COLUMBIA UNIVERSITY"			
2. Address <input checked="" type="checkbox"/> Check if different than previously reported 2910 Broadway, Hogan Hall, Room 105, New York, NY, 10027			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name Ellen S. Smith	Telephone (212) 854-3394	E-mail (optional) ess9@columbia.edu	5. Senate ID # 38
7. Client Name <input type="checkbox"/> Self self			6. House ID # 308

**TYPE OF REPORT** 8. Year 2002 Midyear (January 1-June 30)  OR Year End (July 1-De
9. Check if this filing amends a previously filed version of this report 10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_

11. No Lobbyi

**INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

<p align="center"><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center"><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>240,000.00</u> Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA default</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 1 Internal Revenue Code</p>
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Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

Emily Lloyd, Executive Vice President



Registrant Name Columbia University Client Name self

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

2910 Broadway, Hogan Hall Room 105, New York, NY 10027

21. Client new principal place of business (if different from line 20)

City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

**ISSUE UPDATE**

24. General lobbying issues previously reported that no longer pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant or affiliated organization

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Emily Lloyd, Executive Vice President



Registrant Name Columbia University

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant lobbied on behalf of the client during the reporting period. Using a separate page for each code, provide information. Attach additional page(s) as needed.

15. General issue area code BUD

16. Specific lobbying issues

- H.R.5263 / S.2801 – Agriculture Appropriations for FY2003
- S.2778 – Commerce / Justice / State Appropriations for FY2003
- H.R. 5010 – Defense Appropriations for FY2003
- S.2784 – Energy and Water Appropriations for FY2003
- H.R. 5093 / S.2708 – Interior Appropriations for FY 2003

- S.2779 – Foreign Operations Appropriations for FY2003
- S.2766 – Labor and HHS Appropriations for FY2003
- S.2797 – V.A. and H.U.D. Appropriations for FY2003

Issues followed include all annual funding legislation for science and education, science and education related tax bill and health center funding.

17. House(s) of Congress and Federal agencies contacted  
 White House Office of Management and Budget  
 U.S. House of Representatives  
 U.S. Senate

Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant Vice President and Director of Government Relations
Susan Long	Assistant Director of Government Relations

19. Interest of each foreign entity in the specific issues listed online 16 above

Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Emilie Lloyd, Executive Vice President



Registrant Name Columbia University

Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information. Attach additional page(s) as needed.

15. General issue area code CPT

16. Specific lobbying issues

- S.2395 – Anti Counterfeiting Amendments
- H.R. 3482 – Cyber Security Enhancement Act
- H.R. 2100 – 21<sup>st</sup> Century Distance Learning
- S. 487 – Technology, Education and Copyright Harmonization Act

Issues related to intellectual property, copyright, and distance education.

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives

U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant Vice President and Director of Government Relations
Susan Long	Assistant Director of Government Relations

19. Interest of each foreign entity in the specific issues listed online 16 above

Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Emilie Lloyd, Executive Vice President



Registrant Name Columbia University

Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant lobbied on behalf of the client during the reporting period. Using a separate page for each code, provide information. Attach additional page(s) as needed.

15. General issue area code EDU

16. Specific lobbying issues

- H.R. 4866 – Higher Education Technical Amendments
- S. 1793 / H.R. 3086 – Higher Education Relief Opportunities for Students
- S. 2766 – Labor, HHS, Education Appropriations for 2003
- H.R. 1858 / S. 461– Math and Science Partnerships

Issues related to higher education financing and increasing the number of scientists and engineers.

17. House(s) of Congress and Federal agencies contacted

Check if None

- U.S. Senate
- U.S. House of Representatives
- White House Office of Management and Budget
- Department of Education

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant Vice President and Director of Government Relations
Susan Long	Assistant Director of Government Relations

19. Interest of each foreign entity in the specific issues listed online 16 above

Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Emilie Lloyd, Executive Vice President



Registrant Name Columbia University

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information. Attach additional page(s) as needed.

15. General issue area code HCR

16. Specific lobbying issues

S.839 / H.R. 1556 – The American Hospital Preservation Act

S.2766 – Labor/HHS Appropriations for 2003

S. 1707 / H.R. 3551 – The Medicare Physician Payment Fairness Act of 2002

S. 2439 – Human Cloning Prohibition Act of 2002

S. 812 – Greater Access to Affordable Pharmaceuticals Act

Issues followed include those related to all types of research funding, health profession funding, community health center graduate medical education, and physician payment.

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. Senate

U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant Vice President and Director of Government Relations
Susan Long	Assistant Director of Government Relations

19. Interest of each foreign entity in the specific issues listed online 16 above

Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Emilie Lloyd, Executive Vice President



Registrant Name Columbia University

Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant lobbied on behalf of the client during the reporting period. Using a separate page for each code, provide information. Attach additional page(s) as needed.

15. General issue area code IMM (Cont.)

16. Specific lobbying issues

S. 1627 – Visa Entry Reform Act

S. 1749 / H.R. 1885 / H.R. 3525 – Enhanced Border Security Act

Issues related to international students.

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. Senate

U.S. House of Representatives

Department of Justice, INS

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant Vice President and Director of Government Relations
Susan Long	Assistant Director of Government Relations
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.....	.....
.....	.....

19. Interest of each foreign entity in the specific issues listed online 16 above

Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Emilie Lloyd, Executive Vice President



Registrant Name Columbia University

Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant lobbied on behalf of the client during the reporting period. Using a separate page for each code, provide information. Attach additional page(s) as needed.

15. General issue area code MMM

16. Specific lobbying issues

H.R.1556 / S.839 – The American Hospital Preservation Act

H.R.3551 / S.1701 – The Medicare Physician Payment Fairness Act of 2002

H.R. 2768 – Medicare Regulatory and Contracting Reform Act

Issues related to academic health centers.

17. House(s) of Congress and Federal agencies contacted

U.S. Senate

U.S. House of Representatives

Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant Vice President and Director of Government Relations
Susan Long	Assistant Director of Government Relations

19. Interest of each foreign entity in the specific issues listed online 16 above

Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Emilie Lloyd, Executive Vice President



Registrant Name Columbia University

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information. Attach additional page(s) as needed.

15. General issue area code SCI

16. Specific lobbying issues

- Homeland Security Bill
- Bio-terrorism Bill
- National Science Foundation Authorization Bill
- Energy Authorization Bill
- NOAA Authorization Bill
- NASA Authorization Bill

Issues related to science, research, and bioterrorism.

- 17. House(s) of Congress and Federal agencies contacted
- U.S. Senate / U.S. House of Representatives
- Department of Agriculture
- Department of Energy
- Department of Commerce
- White House Office of Science and Technology Policy
- NASA
- USAID

Check if None

18. Name of each individual who acted as a lobbyist in this reporting period

Name	Covered Official Position (if applicable)
Ellen S. Smith	Assistant Vice President and Director of Government Relations
Susan Long	Assistant Director of Government Relations

19. Interest of each foreign entity in the specific issues listed online 16 above

Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Emilie Lloyd, Executive Vice President



Registrant Name Columbia University

Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information. Attach additional page(s) as needed.

15. General issue area code TAX

16. Specific lobbying issues

H.R.7 - Charitable Choice Act of 2001

Issues followed include donations to not for profit institutions.

17. House(s) of Congress and Federal agencies contacted

U.S. Senate

U.S. House of Representatives

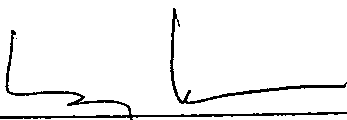
Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>Ellen S. Smith</u>	<u>Assistant Vice President and Director of Government Relations</u>
<u>Susan Long</u>	<u>Assistant Director of Government Relations</u>

19. Interest of each foreign entity in the specific issues listed online 16 above

Check if None

Signature  Date 8/14/02

Printed Name and Title Emilja Lloyd, Executive Vice President

