

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers are Required to Complete This Page

1. Registrant Name Capitol Associates, Inc.				01 FEB 13 PM 12:55 SECRETARY OF THE SENATE	
2. Address <input type="checkbox"/> Check if different than previously reported 426 C Street, NE, Washington, DC 20002					
3. Principal Place of Business (if different from line 2) City: State/Zip (or Country)					
4. Contact Name Debra M. Hardy Havens		Telephone (202) 544-1880		E-mail (optional) dh@capitolassociates.com	
				5. Senate ID # 8101-431	
7. Client Name New York University Medical Center		<input type="checkbox"/> Self		6. House ID # 30813026	

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☒

9. Check if this filing amends a previously filed version of this report ☒

10. Check if this is a Termination Report ☐ -- Termination Date

11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input checked="" type="checkbox"/> -- \$ <u>\$0,000</u> Income (nearest \$20,000)	\$10,000 or more <input type="checkbox"/> -- \$ <u> </u> Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate expense accounting method. See Instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature

Debra M. Hardy Havens

Printed Name and Title Debra M. Hardy Havens, CEO

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

H.R.4577, Departments of Labor, Health & Human Services & Education & Related Agencies Appropriations bill, 2001
Title II - Tracked and monitored funding for NIH

S.2553, Departments of Labor, Health & Human Services & Education & Related Agencies Appropriations bill, 2001
Title II - Tracked and monitored funding for NIH

H.R.5656, Departments of Labor, Health & Human Services & Education & Related Agencies Appropriations bill, 2001
Title II - Tracked and monitored funding for NIH

H.R.4635, Departments of Veterans Affairs, Housing & Urban Development & Related Agencies Appropriations bill, 2001
Title I, funding for research building

S.5482, Departments of Veterans Affairs, Housing & Urban Development & Related Agencies Appropriations bill, 2001
Title I, funding for research building

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House
Senate
DHHS
Department of Housing and Urban Development
USAID

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Edward Long		<input type="checkbox"/>
Ronnie Tepp		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature

Printed Name and Title Debra M. Hardy Havens, CEO

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (cont.) (one per page)

16. Specific lobbying issues

H.J. Res. 109 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.
H.J. Res. 110 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.
H.J. Res. 111 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.
H.J. Res. 114 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.
H.J. Res. 115 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.
H.J. Res. 116 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.
H.J. Res. 117 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.
H.J. Res. 118 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.
H.J. Res. 119 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.
H.J. Res. 120 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.
H.J. Res. 121 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.
H.J. Res. 122 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.
H.J. Res. 123 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.
H.J. Res. 124 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.
H.J. Res. 84 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.
H.J. Res. 125 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.
H.J. Res. 126 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.
H.J. Res. 127 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.
H.J. Res. 128 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.
H.J. Res. 129 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.
H.J. Res. 133 - Making further continuing appropriations for the fiscal year 2001, and for other purposes

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Edward Long		<input type="checkbox"/>
Ronnie Tepp		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO

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Registrant Name Capitol Associates, Inc. Client Name New York University Medical Center

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues

S.2015, Stem Cell Research Act of 2000. Tracked and monitored bill.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Ronnie Tepp		<input type="checkbox"/>
Tricia Brooks		<input checked="" type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO

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Registrant Name Capitol Associates, Inc. Client Name New York University Medical Center

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Tracked and monitored the following bills:

H.R.1388, Medicare Cancer Clinical Trial Coverage Act of 1999.
S.784, Medicare Cancer Clinical Trial Coverage Act of 1999.
H.R.1798, Clinical Research Enhancement Act of 1999.
H.R.2391, National Center for Research on Domestic Health Disparity.
H.R.2621, Pediatric Research Initiative Act of 1999.
S.1091, Pediatric Research Initiative Act of 1999.
H.R.3110, Dr. Sydney E. Salmon Access to Cancer Research Clinical Trials Act of 1999.
H.R.4605, Human Research Subjects Protections Act of 2000.
S.1010, Medical Innovation Tax Credit for Clinical Testing Research.
S.1267, Clinical Trials Disclosure Act of 1999.
S.1813, Clinical Research Enhancement Act of 1999.
H.R.2498, Public Health Improvement Act
H.R.4365, Children's Health Act of 2000
S.2868, Children's Health Act of 2000

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Tricia Brooks		<input checked="" type="checkbox"/>
Matthew Williams		<input checked="" type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO

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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (cont'd) (one per page)

16. Specific lobbying issues

- H.R.1057, Medical Information Privacy Act. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.
- H.R.1941, Health Information Privacy Act. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.
- H.R.2404, Personal Medical Information Protection Act of 1999. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.
- H.R.2457, Genetic Nondiscrimination in Health Insurance and Employment Act of 1999. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.
- S.1322, Genetic Nondiscrimination in Health Insurance and Employment Act of 1999. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.
- H.R.2470, Medical Information Protection and Research Enhancement Act of 1999. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.
- H.R.2878, Medical Privacy in the Age of New Technologies Act of 1999. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.
- H.R.4585, Medical Financial Privacy Protection Act. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.
- S.573, Medical Information Privacy and Security Act. Tracked, monitored and reported on provisions related to the conduct of medical research and informed consent.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____

Printed Name and Title Debra M. Hardy Havens, CEO

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City _____ State/Zip (or Country) _____

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

Marguerite Donoghue Baxter, Becky Levin

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature _____ Date _____

Printed Name and Title Debra M. Hardy Havens, CEO