Clerk of the House of Representatives Legislative Resource Center B-106 Carnon Building Washington, DC 20515 Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

LOBBYING REPORT

obbying Disclosure Act of 1995 (Section 5) - All Filers	are Required	AND Complete Tura Lake 1970	OF THE SEMA!	
Registrant Name	D} FED to			
Capitol Associates, Inc.			· PH12.55	
2. Address Check if different than previously reported				
426 C Street, NE, Washington, DC 20002				
3. Principal Place of Business (if different from line 2)				
City: State/Zip (c	r Country)			
4. Contact Name Telep	phone	E-mail (optional)	5. Senate ID#	
Dehra M. Hardy Havens (202)	544-1880	dh@capitolassociates.com	8101-431	
7. Client Name Soff			6. House ID#	
New York University Medical Center			30813026	
INCOME OR EXPENSES - Complete Either L	ine 12 OR Li	ne 13		
INCOME OR EXPENSES - Complete Either L	ine 12 OR Li	ne 13		
12. Lobbying Firms		13. Organizations		
INCOME relating to lobhying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:			
Less than \$10,000 🗍	Less than \$	□ 000,010		
\$10,000 or more 🔯 🐭 \$ 80,000	\$10,000 or more			
Income (nearest \$20,000)		Expenses (nearest \$	20,000)	
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).		14. REPORTING METHOD. Check box to indicate expense accounting method. See Instructions for description of options.		
	Method A. Reporting amounts using LDA definitions only			
	☐ Metho	d B. Reporting amounts under see the Internal Revenue Code	tion 6033(b)(8) of	
·	[] Metho	d C. Reporting amounts under sec Internal Revenue Code	ction 162(e) of the	
ignature Betra In Houly Howe	γ	****		
rissed Name and Title <u>Debra M. Hardy Havens, CEO</u>	-			
nom 1.D-2 (Rev. 06/98)			PAGE 1 of 7	

Registrant Name <u>Capitol Associates, Inc.</u> Client I	Name	New York University Medical Center	
LOBBYING ACTIVITY. Select as many codes as necessa engaged in lobbying on behalf of the client during the reporti information as requested. Attach additional page(s) as needed 15. General issue area code <u>BUD</u> (one per page)	ng period.	ct the general issue areas in which th Using a separate page for each con	e registrant de, provide
 Specific lobbying issues H.R.4577, Departments of Labor, Health & Human Services 	& Educati	on & Related Agencies Appropriation	s bill. 2001
Title II - Tracked and monitored funding for NIH			
S.2553, Departments of Labor, Health & Human Services & Title II - Tracked and monitored funding for NIH	Education	& Related Agencies Appropriations	bill, 2001
H.R.5656, Departments of Labor, Health & Human Services Title II - Tracked and monitored funding for NIH	& Educati	on & Related Agencies Appropriation	s bill, 2001
H.R.4635, Departments of Veterans Affairs, Housing & Urban Title I, funding for research building	Developn	nent & Related Agencies Appropriation	ıs bill, 2001
S.5482, Departments of Veterans Affairs, Housing & Urban Title I, funding for research building	Developm	ent & Related Agencies Appropriation	s bill, 2001
17. House(s) of Congress and Federal agencies contacted		Check if None	
House Senate DHHS Department of Housing and Urban Development USAID	-		
18. Name of each individual who acted as a lobbyist in this is	ssue area		
Name .	C	overed Official Position (if applicable)	New
Edward Long			0
Ronnie Tepp		; 	
		-141-141444444444444444444444444444444	
19. Interest of each foreign entity in the specific issues listed of			
Signature Printed Name and Title Debra M. Hardy Havens, CEO			
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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed. 15. General issue area codeBUD (cont.)	Registrant Name Capitol Associates, Inc.	Client Name New York University Medical Center
16. Specific lobbying issues H.J. Res, 109 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res, 110 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res, 111 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res, 115 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res, 116 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res, 117 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res, 118 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res, 129 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res, 120 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res, 121 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res, 123 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res, 124 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res, 125 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res, 126 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res, 127 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res, 128 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res, 129 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res, 129 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res, 129 - Making further continuing appropriations for the fiscal	engaged in lobbying on behalf of the client during the rep	orting period. Using a separate page for each code, provide
B.J. Res. 109 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. B.J. Res. 110 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. B.J. Res. 111 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. B.J. Res. 113 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. B.J. Res. 114 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. B.J. Res. 115 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. B.J. Res. 117 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. B.J. Res. 118 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. B.J. Res. 129 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. B.J. Res. 121 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. B.J. Res. 122 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. B.J. Res. 123 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. B.J. Res. 124 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. B.J. Res. 125 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. B.J. Res. 126 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. B.J. Res. 127 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. B.J. Res. 128 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. B.J. Res. 129 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. B.J. Res. 129 - Making further continuing appropriations for the fiscal year 2001, and for other purpo	15. General issue area code <u>BUD (cont.)</u> (one po	er page)
H.J. Res. 110- Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 114- Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 115- Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 117- Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 117- Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 118- Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 119- Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 120- Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 121- Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 123- Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 124- Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 125- Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 126- Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 127- Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 128- Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 129- Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 129- Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 129- Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 129- Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 120- Making further c	16. Specific lobbying issues	
House Senate 18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Position (if applicable) Edward Long Roomic Tepp 19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None Signature Printed Name and Title Debra M. Hardy Havens, CEO	H.J. Res. 110- Making further continuing appropriations for the H.J. Res. 111 - Making further continuing appropriations for the H.J. Res. 115 - Making further continuing appropriations for the H.J. Res. 115 - Making further continuing appropriations for the H.J. Res. 116 - Making further continuing appropriations for the H.J. Res. 117 - Making further continuing appropriations for the H.J. Res. 118 - Making further continuing appropriations for the H.J. Res. 119 - Making further continuing appropriations for the H.J. Res. 120 - Making further continuing appropriations for the H.J. Res. 121 - Making further continuing appropriations for the H.J. Res. 122 - Making further continuing appropriations for the H.J. Res. 123 - Making further continuing appropriations for the H.J. Res. 124 - Making further continuing appropriations for the H.J. Res. 125 - Making further continuing appropriations for the H.J. Res. 127 - Making further continuing appropriations for the H.J. Res. 127 - Making further continuing appropriations for the H.J. Res. 127 - Making further continuing appropriations for the H.J. Res. 128 - Making further continuing appropriations for the H.J. Res. 128 - Making further continuing appropriations for the H.J. Res. 128 - Making further continuing appropriations for the H.J. Res. 128 - Making further continuing appropriations for the H.J. Res. 129 - Making further continuing appropriations for the	fiscal year 2001, and for other purposes. fiscal year 2001, and for other purposes.
18. Name of each individual who acted as a lobbyist in this issue area Name Covered Official Position (if applicable) New Edward Long Ronnic Tepp 19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None Signature Printed Name and Title Debra M. Hardy Havens, CEQ	17. House(s) of Congress and Federal agencies contacted	Check if None
Name Covered Official Position (if applicable) New Edward Long Ronnic Tepp 19. Interest of each foreign entity in the specific issues listed on line 16 above Signature Printed Name and Title Debra M. Hardy Havens, CEO		
Name Covered Official Position (if applicable) New Edward Long Ronnic Tepp 19. Interest of each foreign entity in the specific issues listed on line 16 above Signature Printed Name and Title Debra M. Hardy Havens, CEO	18. Name of each individual who acted as a lobbyist in thi	s issue area
Roanie Tepp 19. Interest of each foreign entity in the specific issues listed on line 16 above © Check if None Signature Printed Name and Title Debra M. Hardy Havens, CEQ		1 . 1
19. Interest of each foreign entity in the specific issues listed on line 16 above © Check if None Signature Printed Name and Title Debra M. Hardy Havens, CEO	Edward Long	
Signature	Коллів Терр	
Printed Name and Title Debra M. Hardy Havens, CEO	19. Interest of each foreign entity in the specific issues list	ed on line 16 above 🖾 Check if None
Printed Name and Title Debra M. Hardy Havens, CEO		
		PAGE _3_ of _7

Registrant Name <u>Capitol Associates</u> . Inc. Cli	ent Name	New York University Medical Center	
LOBBYING ACTIVITY. Select as many codes as necessagged in lobbying on behalf of the client during the reinformation as requested. Attach additional page(s) as necessagged.	porting period.	ct the general issue areas in which the Using a separate page for each cod	registrant e, provide
15. General issue area code <u>MED</u> (one per page)		
16. Specific lobbying issues			
\$.2015, Stem Cell Research Act of 2000. Track	ed and monitor	ed bill.	
		m	
17. House(s) of Congress and Federal agencies contacted	l	Check if None	
House Senate			
18. Name of each individual who acted as a lobbyist in the	his issue area		
Name .		overed Official Position (if applicable)	New
Ronnie Tepp			
Tricia Brooks			2 3
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19. Interest of each foreign entity in the specific issues is	sted on line 16	above	
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Signature			······································
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Registrant Name Capitol Associates, Inc. Client Nam	e New York University Medical Center	***************************************
OBBYING ACTIVITY. Select as many codes as necessary angaged in lobbying on behalf of the client during the reporting information as requested. Attach additional page(s) as needed.	to reflect the general issue areas in which the period. Using a separate page for each cod	e registrant le, provide
5. General issue area code <u>HCR</u> (one per page)		
6. Specific lobbying issues		
Tracked and monitored the following bills:		
H.R.1388, Medicare Cancer Clinical Trial Coverage Act of 1998. 784, Medicare Cancer Clinical Trial Coverage Act of 1999. H.R.1798, Clinical Research Enhancement Act of 1999. H.R.2391, National Center for Research on Domestic Health Di H.R.2621, Pediatric Research Initiative Act of 1999. S.1091, Pediatric Research Initiative Act of 1999. H.R.3110, Dr. Sydney E. Salmon Access to Cancer Research C.H.R.4605, Human Research Subjects Protections Act of 2000. S.1010, Medical Innovation Tax Credit for Clinical Testing Research 7. Clinical Trials Disclosure Act of 1999. S.1813, Clinical Research Enhancement Act of 1999. H.R.2498, Public Health Improvement Act H.R.4365, Children's Health Act of 2000 S.2868, Children's Health Act of 2000	sparity. inical Trials Act of 1999.	
17. House(s) of Congress and Federal agencies contacted House Senate	Check if None	
18. Name of each individual who acted as a lobbyist in this issue	area Covered Official Position (if applicable)	New
Tricia Brooks	Souther transfer a summer (it species)	- 100 - 100
Manhew Williams		8
	- - - - - - - - - - - - - - - - - - -	_
·		10
19. Interest of each foreign entity in the specific issues listed on	line 16 above	
Signature		
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Registrant Name <u>Capitol Associates, Inc.</u> Clie	ent Name	New York University Medical Center	
LOBBYING ACTIVITY. Select as many codes as nec engaged in lobbying on behalf of the client during the rej information as requested. Attach additional page(s) as ne	porting peris	flect the general issue areas in which the d. Using a separate page for each co	he registrant ode, provide
15. General issue area code HCR (cont'd) (one pe	er page)		
16. Specific lobbying issues			
H.R.1057, Medical Information Privacy Act. Tracked, medical research and informed consent. H.R.1941, Health Information Privacy Act. Tracked, p.			
medical research and informed consent. 3. R. 2404, Personal Medical Information Protection Act of	of 1999. Tr		
to the conduct of medical research and informed 4.R.2457, Genetic Nondiscrimination in Health Insurance on provisions related to the conduct of medical re	and Employ	ment Act of 1999. Tracked, monitored aformed consent.	and reported
5.1322, Genetic Nondiscrimination in Health Insurance a on provisions related to the conduct of medical re	ind Employnescarch and	ent Act of 1999. Tracked, monitored and informed consent.	
I.R.2470, Medical Information Protection and Research provisions related to the conduct of medical reseater. I.R.2878, Medical Privacy in the Age of New Technolog	arch and info	rmed consent.	
related to the conduct of medical research and inf 1.R.4585, Medical Financial Privacy Protection Act. Tra	formed cons	ent.	
of medical research and informed consent. 6.573, Medical Information Privacy and Security Act. Tra of medical research and informed consent.	ecked, monit	ored and reported on provisions related to	o the conduct
7. House(s) of Congress and Federal agencies contacted	1	☐ Check if None	
louse cenate			
8. Name of each individual who acted as a lobbyist in the	his issue are		1. 1
Name		Covered Official Position (if applicable)	New
		- - - - - - - - - - - - - - - - - -	
		; 	<u> </u>
19. Interest of each foreign entity in the specific issues lis-	isted on line	16 above	
Signature			***************************************
Printed Name and Title Debra M. Hardy Havens, CEO			

Registrant Name_Capitol	Associates, Inc.	Client NameNew_	York University Medical	Center	
nformation Update	Page - Complete ONL	Y where registration informati	on has changed.		
20. Client new address					
21. Client new principal place		om line 20)	######################################	-1-1111-41-1-1-1-1-1-1-1-1-1-1-1-1-	
City	1971-1919-1-191-1-1-1-1-1-1-1-1-1-1-1-1-	State/Zip (or Country)		ara.era.e	
22. New general description (4			
LOBBYIST UPDATE 23. Name of each previous	sly reported individual w	ho is no longer expected to ac	t as a fobbyist for the client	, , , , ,	
Marguerit	e Donoghue Baxter, Bec	ky Levin			
ISSUE UPDATE 24. General lobbying issues AFFILIATED ORGAN		no longer pertain			
25. Add the following affili	ated organization(s)	. 4			
Name		Address		Principal Place of Business (city and state or country)	
	_				
26. Name of each previous	y reported organization t	hat is no longer affiliated with	the registrant or client		
FOREIGN ENTITIES 27. Add the following forei	gn entities				
Name	Address	Principal place of business (edgy and state or country)	Amoure of contribution for lobbying activities	Ownership percentage in olienz	
-		11-17/7/14/14/14/14/14/14/14/14/14/14/14/14/14/			
28. Name of each previous or affiliated organization		that no longer owns, <u>or</u> cont	rols, or is affiliated with th	e registrant, client	
Signature			Date		
Printed Name and Title					
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