Cterk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515 Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

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LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4) 1. Effective Date of Registration 2. House Identification Senate Identification REGISTRANT 3. Registrant Organization Smith & Sowalsky Address 50 Congress Street Address2 Suite 300 Zip 02109 City **Boston** State MA Cou 4. Principal place of business (if different than line 3) Zip City State Cou 5. Contact name and telephone number International Number James E. Smith Telephone (617) 523-0600 E-mail jsmith@publicpolicylaw.com 6. General description of registrant's business or activities Law firm labeled "Self" and proceed to line 10. □ Self 7. Client name Investment Company Institute 1401 H Street, NW Address City Washington State DC Zip 20005 - 2148 Cou 8. Principal place of business (if different than line 7) City State Zip Cou 9. General description of client's busines or activities Association of investment companies LOBBYISTS 10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any pers this section has served as a "covered executive branch official" or "covered legislative branch official" within two years (a lobbyist for the client, state the executive and/or legislative position(s) in which the person served. Covered Official Position (if applicable) First , Last

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