

Clerk of the House of Representatives
 Legislative Research Council
 B-306 Cannon Building
 Washington, DC 20515

Secretary of the Senate
 Office of Public Records
 232 Hart Building
 Washington, DC 20510

SECRETARY OF THE SENATE

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H. D.

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Hoffmann-La Roche, Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 1300 I. St., N.W. Suite 520W Washington, DC 20005-3314			
3. Principal Place of Business (if different from line 2) City: Nutley State/Zip (or County) NJ 07110			
4. Contact Name Dolly A. Judge	Telephone 202/408-0090	E-mail (optional)	5. Senate ID # 18411-12
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 31167000

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇨ Termination Date _____

11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>837,620.00</u> Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature Dolly A. Judge

Printed Name and Title Dolly A. Judge, Vice President, Federal Government Affairs

Registrant Name Hoffmann-La Roche Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LAW (one per page)

16. Specific lobbying issues

H.R. 1065 -- "Date Rape Prevention Act of 1999"

H.R. 357 -- "Violence Against Women Act of 1999"

S. 51 -- "Violence Against Women Act II"

S.883 -- "New Drugs of the 1990s Control Act"

17. House(s) of Congress and Federal agencies contacted Check if None

**United States Senate
U.S. House of Representatives**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Current Official Position (if applicable)	New
		<input type="checkbox"/>
Dolly A. Judge	Vice Pres., Fed. Gov. Affairs	<input type="checkbox"/>
Ed M. Desmond	Director, Fed. Gov. Affairs	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Roche Holding Ltd. -- (100% ownership in client)

F. Hoffmann-La Roche Ltd. -- (Interests similar to those of client)

Signature *Dolly A. Judge* Date 8/16/99

Printed Name and Title **Dolly A. Judge, Vice President, Federal Government Affairs**

Registrant Name Hoffmann-La Roche, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code VET (one per page)

16. Specific lobbying issues

H.R. 1401 -- "National Defense Authorization Act for Fiscal Years 2000 and 2001" (DoD/DVA National Formulary)

17. House(s) of Congress and Federal agencies contacted Check if None

**U.S. House of Representatives
United States Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
		<input type="checkbox"/>
Ed M. Desmond	Director, Fed. Gov. Affairs	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Roche Holding Ltd. -- (100% ownership in client)

F. Hoffmann-La Roche Ltd. -- (Interests similar to those of client)

Signature *Dolly A. Judge* Date 8/16/99

Printed Name and Title **Dolly A. Judge, Vice President, Federal Government Affairs**

Registrant Name Hoffmann-La Roche, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

Patent Related Issues

17. House(s) of Congress and Federal agencies contacted Check if None

United States Senate
U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
		<input type="checkbox"/>
<u>Ed M. Desmond</u>	<u>Director, Fed. Gov. Affairs</u>	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Roche Holding Ltd. -- (100% ownership in client)

F. Hoffmann-La Roche Ltd. -- (Interests similar to those of client)

Signature *Dolly A. Judge* Date 8/16/99

Printed Name and Title Dolly A. Judge, Vice President, Federal Government Affairs

Registrant Name Hoffmann-La Roche Inc Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: MMH (one per page)

16. Specific lobbying issues

S. 631/H.R. 1115 -- "Immunosuppressive Drug Coverage Extension Act of 1999"

Medicare Drug Benefit Issues

17. House(s) of Congress and Federal agencies contacted Check if None

**United States Senate
U.S. House of Representatives**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
		<input type="checkbox"/>
<u>Dolly A. Judge</u>	<u>Vice Pres., Fed. Gov. Affairs</u>	<input type="checkbox"/>
<u>Melody J. Hughson</u>	<u>Director, Fed. Gov. Affairs</u>	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Roche Holding Ltd. — (100% ownership in client)

F. Hoffmann-La Roche Ltd. — (Interests similar to those of client)

Signature *Dolly A. Judge* Date 8/16/99

Printed Name and Title Dolly A. Judge, Vice President, Federal Government Affairs

Registrant Name Hoffmann-La Roche, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

S. 731/H.R. 664 -- "Prescription Drug Fairness for Seniors Act of 1999"

S. 1191/H.R. 1885 -- "International Prescription Drug Parity Act" Social Security Act section 1927 (Medicaid Rebate Law)

17. House(s) of Congress and Federal agencies contacted Check if None

**United States Senate
U.S House of Representatives
Department of Health and Human Services**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Dolly A. Judge	Vice Pres., Fed. Gov. Affairs	<input type="checkbox"/>
Ed M. Desmond	Director, Fed. Gov. Affairs	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Roche Holding Ltd. -- (100% ownership in client)

F. Hoffmann-La Roche Ltd. -- (Interests similar to those of client)

Signature *Dolly A. Judge* Date 8/16/99

Printed Name and Title Dolly A. Judge, Vice President, Federal Government Affairs

Registrant Name Hoffmann-La Roche, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ANI (one per page)

16. Specific lobbying issues

**H.R. 1906 — "Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act of 2000"
(Antibiotic Resistance House Report)**

Animal Drug Antibiotic Resistance

Chlortetracycline Residue Standard Review

17. House(s) of Congress and Federal agencies contacted Check if None

**United States Senate
U.S. House of Representatives
United States Department of Agriculture
Food and Drug Administration**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
		<input type="checkbox"/>
<u>Kurt R. Karst</u>	<u>Senior Admin. Assistant</u>	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Roche Holding Ltd. — (100% ownership in client)

F. Hoffmann-La Roche Ltd. — (Interests similar to those of client)

Signature *Dolly A. Judge* Date 8/16/99

Printed Name and Title Dolly A. Judge, Vice President, Federal Government Affairs

Registrant Name Hoffmann-La Roche, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

H.R. ----- -- "Appropriations Bill FY2000, Labor, HHS, Education"
(AIDS Drug Assistance Program Funding)

H.R. 1077 -- "Consumer Health Free Speech Act"

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
		<input type="checkbox"/>
<u>Ed. M. Desmond</u>	<u>Director, Federal Gov. Affairs</u>	<input type="checkbox"/>
<u>Kurt R. Karse</u>	<u>Senior Admin. Assistant</u>	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Roche Holding Ltd. -- (100% ownership in client)

F. Hoffmann-La Roche Ltd. -- (Interests similar to those of client)

Signature *Dolly A. Judge* Date 8/14/99

Printed Name and Title Dolly A. Judge, Vice President, Federal Government Affairs

Registrant Name Hoffmann-La Roche Inc.

Client Name Self

LOBBYING ISSUES—Update

9a. New general lobbying issue areas *(select applicable codes, listed in instructions to and on reverse side of Form LD-1, page 1)*.

9b. General lobbying issue areas identified previously that no longer pertain.

10. *Not used*

AFFILIATED ORGANIZATIONS—Update

11a. Name, address, and principal place of business of any entity *other than the client* and not previously identified that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period, and in whole or in major part plans, supervises, or controls the registrant's lobbying activities.

Name	Address	Principal place of business (city and state or city and country)

11b. Name of each previously identified organization no longer meeting the line 11 criteria.

FOREIGN ENTITIES—Update

12a. Name, address, principal place of business, amount of any contribution of more than \$10,000, and approximate percentage of equitable ownership in the client of any foreign entity not previously identified that:

- a) holds at least 20% equitable ownership in the client or in any organization identified on line 11; or
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidizes the activities of the client or any organization identified on line 11; or
- c) is an affiliate of the client or any organization identified on line 11 and has a direct interest in the outcome of the lobbying activity

Name	Address	Principal place of business (city and state or city and country)	Amount of contribution if more than \$10,000	Ownership percentage in client
**	**	**	\$47,500.00	**

****Previously filed on form LD-10**

12b. Name of each previously identified foreign entity no longer meeting any of the line 12 criteria.

Signature

Dolly A. Judge

Date

8/16/99

Printed Name and Title

Dolly A. Judge, Vice President, Federal Government Affairs

SECRETARY OF THE SENATE
CLERK OF THE HOUSE OF REPRESENTATIVES

LOBBYING REGISTRATION UPDATE

Lobbying Disclosure Act (Section 5(b)(1))

[Above Space For Official Use]

1. Name of registrant previously reported

Hoffmann-La Roche Inc.

INSTRUCTIONS: Complete lines 1a-12b only to report updates or changes in information provided on Form LD-1 or on a prior Form LD-1U. Attach Form LD-1U to the Form LD-2 filed for the same registrant and client.

REGISTRANT—Update

1a. New registrant name

1b. New address

City State Zip

2. New principal place of business

City State (or Country)

3. New telephone number of contact

() Contact Name/Position

4. New general description of registrant's business or activities

CLIENT—Update

LOBBYING FIRMS: Ensure that line 5 is always completed if any updates or changes are reported on lines 5a-12b.

ORGANIZATIONS EMPLOYING IN-HOUSE LOBBYISTS: Leave lines 5-7 blank. The organization is the client for lines 8a-12b.

5. Name of client previously reported Self

5a. New client name

5b. New address

City State Zip

6. New principal place of business

City State (or Country)

7. New general description of client's business or activities

INDIVIDUAL LOBBYISTS—Update

8a. Name of each employee of the registrant, not previously identified, who has acted or is expected to act as a lobbyist for the client. For any employee who served as a covered executive branch official or covered legislative branch official in the 2 years before the date the employee first acted or will act as a lobbyist for the client, state the position(s) in which the employee served during the 2-year period.

8b. Name of each previously identified employee who is no longer employed by the registrant or is no longer expected to act as a lobbyist for the client.

Kurt R. Karst

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