

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

ERISA INDUSTRY COMMITTEE

2. Address:

1400 L STREET, N.W., SUITE 350, WASHINGTON, DC 20005

3. Principal place of business (if different from line 2):

4. Contact Name: MARK J. UGORETZ

Telephone: 202-789-1400

E-mail (optional): mugoretz@eric.org

Senate ID #: 13803-12

House ID #: 32318000

7. Client Name: Self

TYPE OF REPORT

8. Year 2003 Midyear (January 1 - June 30): **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report: => Termination Date: _____ 11. No Lobbying Activity:

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more: => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more: => Expenses (nearest \$20,000): 180,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- Method A.** Reporting amounts using LDA definitions only
 Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
 Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: ERISA INDUSTRY COMMITTEE Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HCR (one per page)

16. Specific lobbying issues:

H.R. 1 Medicare Prescription Drug and Modernization Act of 2003 H.R. 1877 To amend chapter 89 of title 5, United States Code, to make available to Federal employees the option of obtaining health benefits coverage for dependent parents. S. 1 Prescription Drug and Medicare Improvement Act of 2003 S. 423 Health Care Parity for Legal Transportation and Recreational Activities Act S. 1053 Genetic Information Nondiscrimination Act of 2003 S. 1929 Mental Health Parity Reauthorization Act of 2003

17. House(s) of Congress and Federal agencies contacted:

Centers For Medicare and Medicaid Services (CMS)

Congressional Budget Office (CBO)

Council of Economic Advisers

Equal Employment Opportunity Commission (EEOC)

Executive Office of the President

Federal Trade Commission (FTC)

HOUSE OF REPRESENTATIVES

Health & Human Services, Dept of (HHS)

Internal Revenue Service (IRS)

Labor, Dept of (DOL)

Pension & Welfare Benefits Administration

SENATE

Treasury, Dept of

White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: GREGORY, JANICE M.

Covered Official Position (if applicable): N/A

Name: KNETTEL, ANTHONY J.

Covered Official Position (if applicable): N/A

Name: UGORETZ, MARK J.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: ERISA INDUSTRY COMMITTEE Client Name: Self

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RET (one per page)

16. Specific lobbying issues:

68 Fed. Reg. 70,388 Investment Company Act, proposed amendments H.R. 1776 Portman-Cardin Pension Reform Bill
H.R. 2036 Social Security Number Privacy and Identity Theft Prevention Act of 2001 H.R. 2673 Agriculture, Rural
Development, Food and Drug Administration, and Related Agencies Appropriations Act H.R. 2896 American Jobs Creation Act of
2003, Sec. 1091, 1092, 1093 H.R. 2989 Transportation/Treasury Appropriations Bill H.R. 3108 Pension Stability Act H.R. 3521 Tax
Relief Extension Act of 2003 H.R. 3574 Stock Option Accounting Reform Act JCX-78-03 National Employee Savings and Trust
Equity Guarantee Act S. 1890 Stock Option Accounting Reform Act Section 645 EGTRRA, Annuity Start Date

17. House(s) of Congress and Federal agencies contacted:

Centers For Medicare and Medicaid Services (CMS)
Council of Economic Advisers
Equal Employment Opportunity Commission (EEOC)
Executive Office of the President
Federal Trade Commission (FTC)
General Accounting Office (GAO)
HOUSE OF REPRESENTATIVES
Internal Revenue Service (IRS)
Labor, Dept of (DOL)
Pension & Welfare Benefits Administration
Pension Benefit Guaranty Corporation (PBGC)
SENATE
Securities & Exchange Commission (SEC)
Treasury, Dept of
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: GREGORY, JANICE M.
Covered Official Position (if applicable): N/A
Name: UGORETZ, MARK J.
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 17, 2004

Printed Name and Title: DEBORAH CHIN - VICE PRESIDENT, ADMINISTRATION