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SECRET (RESTRICTED)  
PROPERTY OF THE SENATE  
02 AUG 21 1PM 3:44

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name State Farm Insurance Companies			
2. Address <input type="checkbox"/> Check if different than previously reported One State Farm Plaza			
3. Principal Place of Business (if different from line 2) City: Bloomington State/Zip (or Country) IL			
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Herman Brandau	(309) 766-2720	herman.brandau.ach6@statefarm.com	3653
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID #
SELF			3142

**TYPE OF REPORT** 8. Year 2002 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_ 11. No Lobbying

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p align="center"><b>12. Lobbying Firms</b></p> <p><b>INCOME</b> relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center"><b>13. Organizations</b></p> <p><b>EXPENSES</b> relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ <u>620,000.00</u> Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of</p> <p><input checked="" type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definition</p> <p><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 603 Internal Revenue Code</p> <p><input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162 Internal Revenue Code</p>
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Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name State Farm Insurance Companies Client Name SELF

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the r engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code information as requested. Attach additional page(s) as needed.**

15. General issue area code CSP (one per page)

16. Specific lobbying issues

S.2184, Ergonomics Rule bill - directs the Department of Labor to reissue a rule on work-related injuries within the next two years.  
Department of Labor plan for reducing ergonomic injuries in the workplace.

17. House(s) of Congress and Federal agencies contacted

Check if None

[Empty box for listing House(s) of Congress and Federal agencies contacted]

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Herman Brandau	
Alan D. Maness	
Regina Dillard	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name State Farm Insurance Companies Client Name SELF

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code DIS (one per page)

16. Specific lobbying issues

H.R.4025, Homeowners' Insurance Availability Act of 2002 - authorizes the Treasury Secretary to auction excess-of-loss contracts.

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Herman Brandau	
Alan D. Maness	
Regina Dillard	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



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15. General issue area code FIN (one per page)

16. Specific lobbying issues

H.R.2269, Retirement Security Advice Act of 2001 - amends parts of the 1974 Employment Retirement Income Securit Act (P.L.93-406) that would permit employers to provide workers, managing their retirement income assets, access to professional investment advice.  
  
P.L.107-56, U.S. Patriot Act - specifically Title III, which requires certain financial institutions and other industries to ha a formal anti-money laundering program.

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Office of Thrift Supervision

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Herman Brandau	
Alan D. Maness	
Regina Dillard	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



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15. General issue area code FIN (one per page)

16. Specific lobbying issues

S.1992, Protecting America's Pensions Act of 2002 - improves diversification of plan assets for participants in individual account plans and improves disclosure, account access, and accountability under individual account plans.  
H.R.3951, Financial Services Regulatory Relief Act - two provisions of interest to: 1) an exemption from registering as securities agent under state law for individuals selling FDIC insured certificates of deposit; and 2) the elimination of the 35 percent of assets limitation for thrifts making automobile loans.

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Office of Thrift Supervision

18. Name of each individual who acted as a lobbyist in this issue area

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Printed Name and Title \_\_\_\_\_



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15. General issue area code FIN (one per page)

16. Specific lobbying issues

H.R.3762 and S.1969, Pension Security Act of 2002 - provides additional protections to participants and beneficiaries in individual account plans from excessive investment in employer securities and promotes the provision of retirement investment advice to workers managing their retirement income assets.

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Office of Thrift Supervision

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15. General issue area code GOV (one per page)

16. Specific lobbying issues

H.R.3068, Financial Privacy and National Security Enhancement Act - establishes a Presidential commission to strengthen and improve financial privacy and national security.  
S.848, Social Security Number Misuse Prevention Act of 2001 - prohibits persons from selling or displaying a social security number to the general public without the consent of the individual.

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

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Alan D. Maness	
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19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

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16. Specific lobbying issues

S.630, Controlling the Assault of Non-Solicited Pornography and Marketing Act of 2001 - prohibits senders of unsolite commercial electronic mail from disguising the source of their message and gives customers the choice to cease receiving a sender's unsolicited commercial electronic mail messages.  
  
S.1742, Restore Your Identity Act of 2001 - would help identify theft victims by establishing a nationwide method for victims to obtain records (i.e., credit reports from businesses) in order to correct false information.

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

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15. General issue area code GOV (one per page)

16. Specific lobbying issues

S.2201, Online Personal Privacy Act - online service providers and website providers, collecting and using customer information, would be required to allow customers to opt-in regarding the use of their sensitive medical and financial information while non-sensitive information would be subject to an opt-out by a customer.  
  
Federal Trade Commission proposal to amend the Telemarketing Sales Rule provision of the Telemarketing and Consumer Fraud and Abuse Prevention Act - would establish a national "Do Not Call" list or registry consisting of consumer phone numbers.

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Herman Brandau	
Alan D. Maness	
Regina Dillard	
.....	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name State Farm Insurance Companies Client Name SELF

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15. General issue area code HCR (one per page)

16. Specific lobbying issues

S.318/H.R.602, Genetic Nondiscrimination in Health Insurance and Employment Act - would prohibit discrimination on the basis of genetic information when acquiring health insurance or employment.  
 S.382, Genetic Information Nondiscrimination in Health Insurance Act of 2001 - would prohibit unfair and improper use of genetic information for insurance purposes.  
 H.R.4946, Improving Access to Long-Term Care Act of 2002 - would allow a phased in above-the-line deduction for qualified long term care insurance premiums for those paying at least 50 percent of the cost of coverage for themselves and their families.

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
 Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Herman Brandau	
Alan D. Maness	
Regina Dillard	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name State Farm Insurance Companies Client Name Self

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15. General issue area code INS (one per page)

16. Specific lobbying issues

<p>S.1744, Terrorism Insurance Act - ensures the continued financial capacity of insurers to provide coverage for risks from terrorism.</p> <p>S.1748, Terrorism Risk Insurance Act of 2001 - provides affordable insurance coverage against acts of terrorism.</p> <p>S.2600, Terrorism Risk Insurance Act of 2002 - authorizes the federal government to enter into a cost-sharing arrangement with commercial insurers to cover terrorism related losses.</p>
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17. House(s) of Congress and Federal agencies contacted  Check if None

<p>House of Representatives</p> <p>Senate</p> <p>Treasury Department</p>
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18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Herman Brandau	
Alan Maness	
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19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name State Farm Insurance Companies Client Name Self

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15. General issue area code INS (one per page)

16. Specific lobbying issues

H.R.3766, Insurance Industry Modernization and Consumer Protection Act - would establish an Office of the National Insurers within the Department of the Treasury to authorize the issuance of Federal charters for carrying out the underwriting and sale of insurance.

H.R.3210 - Terrorism Risk Protection Act - creates a mandatory terrorism insurance program for all commercial insurers.

S.1743, National Terrorism Reinsurance Fund Act - creates a temporary reinsurance mechanism to enhance the availability of terrorism insurance.

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate  
Treasury Department

18. Name of each individual who acted as a lobbyist in this issue area

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Herman Brandau	
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19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name State Farm Insurance Companies Client Name SELF

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15. General issue area code INS (one per page)

16. Specific lobbying issues

Improving the current insurance regulatory system, whether by modifying the existing state system or creating an optional federal charter for insurance companies.  
The National Insurance Chartering and Supervision Act (no bill number) - would create an optional federal charter for property and casualty and life insurance companies.

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate  
Treasury Department

18. Name of each individual who acted as a lobbyist in this issue area

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Herman Brandau	
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19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name State Farm Insurance Companies Client Name SELF

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code TOR (one per page)

16. Specific lobbying issues

H.R. 2341 - Class Action Fairness Act of 2002 - would allow the removal of multi-state class action lawsuits from state courts to federal courts.

S.865 and H.R. 1805, Small Business Liability Reform Act of 2001 - provides small businesses certain protections from litigation excesses and limits the product liability of nonmanufacturer product sellers.

S.1712 - Class Action Fairness Act of 2001 - would enable defendants involved in interstate class action lawsuits to remove these cases from state court to federal court.

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

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Herman Brandau	
Alan D. Maness	
Regina Dillard	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

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Registrant Name State Farm Insurance Companies Client Name SELF

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15. General issue area code TRA (one per page)

16. Specific lobbying issues

Work with Advocates for Highway and Auto Safety to promote the funding and enactment of safety programs of the National Highway Traffic Safety Administration and the Motor Carrier Safety Administration.

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Herman Brandau	
Alan D. Maness	
Regina Dillard	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_



Registrant Name State Farm Insurance Companies Client Name SELF

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

**ISSUE UPDATE**

24. General lobbying issues previously reported that **no longer** pertain

EDU \_\_\_\_\_ TAX \_\_\_\_\_

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Bus (city and state or cou

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant affiliated organization

Signature Herman Brandau Date 8/13/2002

