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# LOBBYING REPORT

02 MAR - 5 1

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>Duke Energy Corporation</b>		
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address <b>422 S. Church Street</b> City <b>Charlotte</b> State/Zip (or Country) <b>NC 28202-1904 USA</b>		
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____		
4. Contact Name <b>Donald Hatley</b>	Telephone <b>(704) 382-8190</b>	E-mail (optional) _____
5. Senate ID # <b>12744-12</b>		
7. Client Name <input checked="" type="checkbox"/> Self		6. House ID # <b>30901000</b>

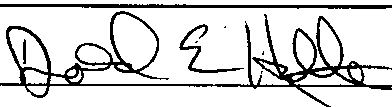
**TYPE OF REPORT** 8. Year 2001 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_ 11. No Lobbying

## INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
<b>INCOME</b> relating to lobbying activities for this reporting period was:	<b>EXPENSES</b> relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> >> \$ _____ Income (nearest \$20,000)	\$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$920,000.00</u> Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>14. REPORTING METHOD.</b> Check box to indicate the accounting method. See instructions for description of methods.
	<input checked="" type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definition
	<input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(c) of the Internal Revenue Code
	<input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) of the Internal Revenue Code

Signature  Date 02/11/2002



Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code CAW (one per page)

16. Specific Lobbying issues

- H.R.1256, Clean Smokestacks Act of 2001, Entire Bill
- H.R.1335, Clean Power Plant Act of 2001, Entire Bill
- H.R.1474, American Wetland Restoration Act, Entire Bill
- H.R.2323, National Electricity and Environmental Technology Act, Entire Bill
- H.R.25, Acid Rain Control Act, Entire Bill
- H.R.472, Endangered Species Act Amendments of 2000, Entire Bill
- S.1008, Climate Change Strategy and Technology Innovation Act of 2001, Entire Bill
- S.1131, Clean Power Plant and Modernization Act of 2001, Entire Bill
- S.1294, Climate Change Risk Management Act of 2001, Entire Bill
- S.1716, Global Climate Change Act of 2001, Entire Bill
- S.1781, Emission Reductions Incentive Act of 2001, Entire Bill

17. House(s) of Congress and Federal agencies contacted

Check if None

**Department of Energy**  
**Environmental Protection Agency**  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Marshall, Beverly</b>	
<b>Mitchell, David</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_

Date 02/11/2002



Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

Item	Description	Data
16	Lobbying Issues	S.556, Clean Power Act of 2001, Entire Bill
16	Lobbying Issues	S.588, Acid Rain Control Act, Entire Bill
16	Lobbying Issues	S.60, National Electricity and Environmental Technology Act, Entire Bill
16	Lobbying Issues	S.769, International Carbon Conservation Act, Entire Bill



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**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific Lobbying issues

**H.R.1045, Energy Self-Sufficiency Act for the 21st Century, Entire Bill**

**H.R.1101, Public Utility Holding Company Act of 2001, Entire Bill**

**H.R.1468, Energy Price and Economic Stability Act of 2001, Entire Bill**

**H.R.1647, Electricity Emergency Relief Act, Entire Bill**

**H.R.1664, Emergency Power Production and Consumer Protection Act of 2001, Entire Bill**

**H.R.1679, Electricity Supply Assurance Act of 2001, Entire Bill**

**H.R.1832, Hydroelectric Licensing and Incentives Act of 2001, Entire Bill**

**H.R.1874, To allow any business or individual in any State experiencing a power emergency to operate any ty] generation available to ensure their economic stability, and for other purposes., Entire Bill**

**H.R.1941, Electric Refund Fairness Act of 2001, Entire Bill**

**H.R.1945, Combined Heat and Power Advancement Act of 2001, Entire Bill**

17. House(s) of Congress and Federal agencies contacted

Check if None

**Department of Energy**

**Federal Energy Regulatory Commission**

**House of Representatives**

**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Haywood, Michael</b>	
<b>Henry, Wayne</b>	
<b>Marshall, Beverly</b>	
<b>Mitchell, David</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_

Date 02/11/2002





Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

Item	Description	Data
16	Lobbying Issues	H.R.1974, Western States Energy Consumer Protection Act of 2001, Entire Bill
16	Lobbying Issues	H.R.2079, To amend the Internal Revenue Code of 1986 to impose a windfall profits tax on electric generating facilities having excess profits., Entire Bill
16	Lobbying Issues	H.R.2080, To amend the Internal Revenue Code of 1986 to deny accelerated depreciation for electric generating facilities having excess profits in order to prevent taxpayers operating such facilities from having both excess profits and incentives., Entire Bill
16	Lobbying Issues	H.R.2141, Department of Defense Energy Reduction Act of 2001, Entire Bill
16	Lobbying Issues	H.R.2184, Preserving Our World's Energy and Resources Act of 2001, Entire Bill
16	Lobbying Issues	H.R.2274, Electricity Gouging Relief Act, Entire Bill
16	Lobbying Issues	H.R.2311, Energy and Water Development Appropriations Act, 2002, Entire Bill
16	Lobbying Issues	H.R.238, To amend the Department of Energy Authorization Act to authorize Secretary of Energy to impose interim limitations on the cost of electric energy protect consumers from unjust and unreasonable prices in the electric energy market., Entire Bill
16	Lobbying Issues	H.R.264, To require the Federal Energy Regulatory Commission to return to a cost-based regulation of wholesale interstate sales of electricity, and for other purposes., Entire Bill
16	Lobbying Issues	H.R.268, California Electricity Consumers Relief Act of 2001, Entire Bill
16	Lobbying Issues	H.R.2983, Price-Anderson Reauthorization Act of 2001, Entire Bill
16	Lobbying Issues	H.R.3061, Departments of Labor, Health and Human Services, and Education, Related Agencies Appropriations Act, 2002, Entire Bill
16	Lobbying Issues	H.R.312, National Electricity Reliability Act, Entire Bill
16	Lobbying Issues	H.R.3210, Terrorism Risk Protection Act, Entire Bill
16	Lobbying Issues	H.R.3382, Nuclear Security Act of 2001, Entire Bill
16	Lobbying Issues	H.R.3406, Electric Supply and Transmission Act, Entire Bill
16	Lobbying Issues	H.R.381, Ratepayer Protection Act, Entire Bill
16	Lobbying Issues	H.R.4, SAFE Act of 2001 Securing America's Future Energy Act of 2001, Entire Bill
16	Lobbying Issues	H.R.443, POWER Act, Entire Bill
16	Lobbying Issues	H.R.704, Energy Time Adjustment Authorization Act, Entire Bill
16	Lobbying Issues	H.R.954, Home Energy Generation Act, Entire Bill
16	Lobbying Issues	H.R.971, To require that payment be guaranteed whenever any supplier of electric energy is required to sell electric energy to a purchaser under the emergency authority of section 202(c) of the Federal Power Act, and for other purposes., Entire Bill
16	Lobbying Issues	H.R.979, To authorize the President and the Governor of a State to suspend certain environmental and siting requirements applicable to fossil fuel fired electric power plants to alleviate an electric power shortage that may present a threat to public health and safety, Entire Bill
16	Lobbying Issues	H.RES.165, Providing for the consideration of the bill (H.R. 1468) to stabilize the dysfunctional wholesale power market in the Western United States, and for other purposes, Entire Resolution
16	Lobbying Issues	S.1068, Electricity Gouging Relief Act of 2001, Entire Bill
16	Lobbying Issues	S.1171, Energy and Water Development Appropriations Act, 2002, Entire Bill
16	Lobbying Issues	S.1698, A bill to suspend temporarily the duty on iprodione., Entire Bill
16	Lobbying Issues	S.172, Electric Reliability Act, Entire Bill
16	Lobbying Issues	S.173, Consumer Utilities Turnback (CUT) Trust Fund Act of 2001, Entire Bill
16	Lobbying Issues	S.1746, Nuclear Security Act of 2001, Entire Bill
16	Lobbying Issues	S.1748, Terrorism Risk Insurance Act of 2001, Entire Bill
16	Lobbying Issues	S.1766, Energy Policy Act of 2002, Entire Bill
16	Lobbying Issues	S.206, Public Utility Holding Company Act of 2001, Entire Bill
16	Lobbying Issues	S.221, State Electricity Reserve Fund Act of 2001, Entire Bill
16	Lobbying Issues	S.242, Department of Energy University Nuclear Science and Engineering Act Entire Bill



Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

Item	Description	Data
16	Lobbying Issues	S.26, A bill to amend the Department of Energy Authorization Act to authorize Secretary of Energy to impose interim limitations on the cost of electric energy protect consumers from unjust and unreasonable prices in the electric energy market., Entire Bill
16	Lobbying Issues	S.286, Small Business Assistance Act of 2001, Entire Bill
16	Lobbying Issues	S.287, A bill to direct the Federal Energy Regulatory Commission to impose cost-of-service based rates on sales by public utilities of electric energy at wholesale in the western energy market., Smith Amendment No. 12
16	Lobbying Issues	S.388, National Energy Security Act of 2001, Entire Bill
16	Lobbying Issues	S.408, Small Business Electricity Emergency Relief Act of 2001, Entire Bill
16	Lobbying Issues	S.420, Bankruptcy Reform Act of 2001, Wyden Amendment No. 78
16	Lobbying Issues	S.472, Nuclear Energy Electricity Supply Assurance Act of 2001, Entire Bill
16	Lobbying Issues	S.552, Transition to Competition in Electric Industry Act, Entire Bill
16	Lobbying Issues	S.597, Comprehensive and Balanced Energy Policy Act of 2001, Entire Bill
16	Lobbying Issues	S.764, A bill to direct the Federal Energy Regulatory Commission to impose just and reasonable load-differentiated demand rates or cost-of-service based rates sales by public utilities of electric energy at wholesale in the western energy market, and for other, Entire Bill
16	Lobbying Issues	S.80, California Electricity Consumers Relief Act of 2001, Entire Bill
16	Lobbying Issues	S.919, A bill to require the Secretary of Energy to study the feasibility of developing commercial nuclear energy production facilities at existing Department of Energy sites., Entire Bill
16	Lobbying Issues	S.933, Combined Heat and Power Advancement Act of 2001, Entire Bill
16	Lobbying Issues	S.RES.87, A resolution expressing the sense of the Senate that there should be established a joint committee of the Senate and House of Representatives to investigate the rapidly increasing energy prices across the country and to determine what is causing the increase, Entire Resolution



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15. General issue area code TAX (one per page)

16. Specific Lobbying issues

**H.R.1459, Electric Power Industry Tax Modernization Act, Entire Bill**

**H.R.1601, Rural Electric Tax Equity Act, Entire Bill**

**H.R.1702, Nuclear Decommissioning Funds Clarification Act, Entire Bill**

**H.R.1802, To amend the Internal Revenue Code of 1986 to modify the depreciation of property used in the generation of electricity, Entire Bill**

**S.389, National Energy Security Act of 2001, Entire Bill**

**S.794, Rural Electric Tax Equity Act, Entire Bill**

**S.972, Electric Power Industry Tax Modernization Act, Entire Bill**

17. House(s) of Congress and Federal agencies contacted

Check if None.

**House of Representatives**

**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Haywood, Michael</b>	
<b>Henry, Wayne</b>	
<b>Marshall, Beverly</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_

Date 02/11/2002



Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRA (one per page)

16. Specific Lobbying issues

**H.R.144, Pipeline Safety Act of 2001, Entire Bill**

**H.R.2749, Pipeline Safety Act of 2001, Entire Bill**

**H.R.3090, Economic Security and Recovery Act of 2001, Gas Gathering, Transportation and Depreciation**

**H.R.3609, To amend title 49, United States Code, to enhance the security and safety of pipelines., Entire Bill**

**H.R.459, Pipeline Safety Enhancement Act of 2001, Entire Bill**

**S.235, Pipeline Safety Improvement Act of 2001, Entire Bill**

17. House(s) of Congress and Federal agencies contacted

Check if None

**Department of Transportation**

**Federal Energy Regulatory Commission**

**House of Representatives**

**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Marshall, Beverly</b>	
<b>Prenda, Brian</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_

Date 02/11/2002

