

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY OF THE SENATE  
27 AUG 22 PM 3:05

# LOBBYING REGISTRATION

## Lobbying Disclosure Act of 1995 (Section 4)

**Check One:**  New Registrant  New Client for Existing Registrant  Amendment

1. Effective Date of Registration 01/

2. House Identification 36817

Senate Identification 90055

**REGISTRANT**  Organization  Individual

3. Registrant Organization Roman Buhler and Associates

Address 4056 41st Street North Address2 \_\_\_\_\_  
City McLean State VA Zip 22101 - \_\_\_\_\_ Cou \_\_\_\_\_

4. Principal place of business (if different than line 3)

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_ - \_\_\_\_\_ Cou \_\_\_\_\_

5. Contact name and telephone number  International Number

Contact Mr. Roman Buhler Telephone (202) 255-5000 E-mail buhler.roman@earthlink.net

6. General description of registrant's business or activities

lobbying and consulting services

## CLIENT

*A Lobbying Firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should labeled "Self" and proceed to line 10.*  Self

7. Client name Investment Company Institute

Address 1401 H Street, NW,  
City Washington State DC Zip 20005 - \_\_\_\_\_ Cou \_\_\_\_\_

8. Principal place of business (if different than line 7)

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_ - \_\_\_\_\_ Cou \_\_\_\_\_

9. General description of client's business or activities

Trade Association of Mutual Funds

## LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any per this section has served as a "covered executive branch official" or "covered legislative branch official" within two years of this registration, state the executive and/or legislative position(s) in which the person served.

Name			Covered Official Position (if applicable)
First	Last	Suffix	
Roman	Buhler		

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### LOBBYING ISSUES

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, 1

TAX      FIN      RET

12. Specific lobbying issues (current and anticipated)

Tax, Retirement and Financial Services Issues related to the Mutual Fund industry.

### AFFILIATED ORGANIZATIONS

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period and in whole or in major part plans supervises or controls the registrant's lobbying activities?

No --> Go to line 14.

Yes --> Complete the rest of this section for each entity match criteria above, then proceed to line 14.

Name	Street	Address	Principal Place of Bus
	City	State/Province Zip Code Country	City
			State Country
			City
			State Country
			City
			State Country

### FOREIGN ENTITIES

14. Is there any foreign entity

- a) holds at least 20% equitable ownership in the client or any organization identified on line 13: or
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidizes ac the client or any organization identified on line 13; or
- c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome o lobbying activity?

No --> Sign and date the registration.

Yes --> Complete the rest of this section for each entity match the criteria above, then sign the registration.

Name	Street	Address	Principal place of business	Amount of contribution
	City	State/Province Country	(city and state or country)	for lobbying activities
			City	
			State Country	
			City	
			State Country	

Signature

Digitally Signed By: Roman P Buhler

Date 08/1

US, DST Aces Unaffiliated Individual, Roman P Buhler

Printed Name and Title Roman P. Buhler, President

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