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LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

Check if this is an Amended Registration

1. Effective Date of Registration 2/1/01

2. House Identification Number _____

Senate Identification Number _____

REGISTRANT

3. Registrant name Ricchetti, Inc.

Address 1001 G Street NW Suite 700 East

City Washington State DC Zip 20001

4. Principal place of business (if different from line 3)

City same State/Zip (or Country) _____

5. Telephone number and contact name

(202) 879-9345 Contact Jay Heimbach E-mail (optional) _____

6. General description of registrant's business or activities

Government relations and consulting

CLIENT A Lobbying firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should check the box labeled "Self" and proceed to line 10. Self

7. Client name Novartis Corporation

Address 701 Pennsylvania Avenue NW 7th floor

City Washington State DC Zip 20004

8. Principal place of business (if different from line 7)

City New York State/Zip (or Country) NY 10020

9. General description of client's business or activities

Pharmaceuticals, insecticides, fungicides, herbicides, seeds and nutritional products

LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any person listed in this section has served as a "covered executive branch official" or "covered legislative branch official" within two years of first acting as a lobbyist for the client, state the executive and/or legislative position(s) in which the person served.

| Name | Covered Official Position (if applicable) |
|-----------------|---|
| Steve Ricchetti | Deputy Chief of Staff, The White House |
| Jeff Ricchetti | |
| Jay Heimbach | Acting Director, OLIA, FCC Senior Policy Advisor to the Deputy Chief of Staff, The White House |

Registrant Name Ricchetti Inc.

Client Name Novartis Corp

LOBBYING ISSUES

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, page 1.

PHA AGR BUD TAX TRD

12. Specific lobbying issues (current and anticipated)

Pharmaceutical issues
Agricultural issues
Appropriations and tax
Trade issues

AFFILIATED ORGANIZATIONS

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period and in whole or in major part plans, supervises or controls the registrant's lobbying activities?

No -> Go to line 14.

Yes -> Complete the rest of this section for each entity matching the criteria above, then proceed to line 14.

| Name | Address | Principal Place of Business (city and state or country) |
|------|---------|---|
| | | |

FOREIGN ENTITIES

14. Is there any foreign entity that:

- a) holds at least 20% equitable ownership in the client or any organization identified on line 13; **OR**
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidizes activities of the client or any organization identified on line 13; **OR**
- c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of the lobbying activity?

No -> Sign and date the registration.

Yes -> Complete the rest of this section for each entity matching the criteria above, then sign and date the registration.

| Name | Address | Principal place of business (city and state or country) | Amount of contribution for lobbying activities | Ownership percentage in client |
|-------------|---------------------|---|--|--------------------------------|
| Novartis AG | CH-4002 POSTFACH | Basel, Switzerland | | 100% |

Signature Steve Ricchetti Date 3/15/01

Printed Name and Title Steve Ricchetti, President and Founder