

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY OF THE SENATE

01 AUG 14 PM 12:00

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>Barbour Griffith &amp; Rogers, Inc.</b>			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address <b>1275 Pennsylvania Avenue, NW</b> <b>Tenth Floor</b> City <b>Washington</b> State/Zip (or Country) <b>DC 20004</b>			
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____			
4. Contact Name <b>Evan Rikhye</b>		Telephone <b>202-333-4936</b>	5. Senate ID # <b>5357-226</b>
7. Client Name <input type="checkbox"/> Self <b>MassMutual Life Insurance Co.</b>		6. House ID # <b>31564022</b>	

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ >> Termination Date \_\_\_\_\_ 11. No Lobbying Activities ☐

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<b>12. Lobbying Firms</b> <b>INCOME</b> relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$180,000.00</u> Income (nearest \$20,000)  Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>13. Organizations</b> <b>EXPENSES</b> relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> >> \$ _____ Expenses (nearest \$20,000)  <b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of method. <input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definition <input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(b) of the Internal Revenue Code <input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) of the Internal Revenue Code
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Signature \_\_\_\_\_ Date 8/14/2001



Registrant Name: Barbour Griffith & Rogers, Inc.

Client Name: MassMutual Life Insurance Co.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BNK (one per page)

16. Specific Lobbying issues

**H.R. 833, Bankruptcy Reform Act of 2000, Provisions relating to single asset real estate and placing a cap on 1 of protection for retirement savings**

17. House(s) of Congress and Federal agencies contacted  
**House of Representatives**  
**Senate**

☐ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Barbour, Haley</b>	
<b>Monroe, Loren</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_

Date 8/14/2001

Printed Name and Title

**G.O. Griffith, Jr. - Chief Operating Officer**

[REDACTED]

Registrant Name: Barbour Griffith & Rogers, Inc.

Client Name: MassMutual Life Insurance Co.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific Lobbying issues

H.R. 2036, Social Security Number Privacy and Identify Theft Protection Act of 2001,  
H.R. 583, To establish the Commission for the Comprehensive Study of Privacy Protection,  
S. 30, Financial Privacy Protection Act of 2001,  
House and Senate consideration of various proposals related to securities law modernization, including modification of the '33 Act, '34 Act and '40 act.  
HHS regulations regarding the privacy of medical information

17. House(s) of Congress and Federal agencies contacted  
Department of Health & Human Services  
House of Representatives  
Senate

☐ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Barbour, Haley	
Monroe, Loren	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_ Date 8/14/2001

[REDACTED]

Registrant Name: Barbour Griffith & Rogers, Inc.

Client Name: MassMutual Life Insurance Co.

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15. General issue area code INS (one per page)

16. Specific Lobbying issues

**H.R. 1408, Financial Services Anti-Fraud Network Act of 2001,  
Legislation relating to an optional federal charter for life insurance companies  
House consideration of the costs of multi-state regulation of life insurers**

17. House(s) of Congress and Federal agencies contacted  
**House of Representatives  
Senate**

☐ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Barbour, Haley</b>	
<b>Monroe, Loren</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_

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Printed Name and Title

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Registrant Name: Barbour Griffith & Rogers, Inc.

Client Name: MassMutual Life Insurance Co.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific Lobbying issues

H.R. 1836, Economic Growth and Tax Reconciliation Act of 2001 ,

H.R. 10, Comprehensive Retirement Security and Pension Reform Act,

H.R. 2269, Retirement Security Advice Act of 2000,

H.R. 742, Retirement Security and Savings Act of 2001 ,

Treasury Department consideration of the need for regulatory guidance under the retirement savings provisions recently enacted Economic Growth and Tax Relief Reconciliation Act

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

Department of Labor

Department of Treasury

Executive Office of the President

House of Representatives

US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Barbour, Haley	
Monroe, Loren	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature \_\_\_\_\_ Date 8/14/2001



Registrant Name: Barbour Griffith & Rogers, Inc.

Client Name: MassMutual Life Insurance Co.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues

**H.R. 1836, Economic Growth and Tax Relief Reconciliation Act of 2001, Provisions related to life insurance i changes**

**H.R. 661, S. 992, Life Insurance and Tax Simplification Act of 2001,**

**H.R. 909, S. 795, Regarding repeal of restrictions of the filing of consolidated returns for life and non-life insu companies,**

**IRS Notice 2001-10 relating to taxation of split dollar life insurance policies**

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

**Department of Treasury**

**Executive Office of the President**

**US House of Representatives**

**US Senate**

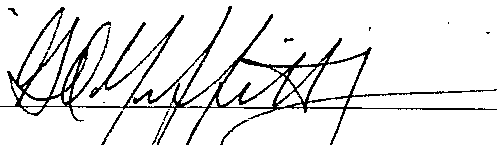
18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Barbour, Haley</b>	
<b>Monroe, Loren</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature



Date 8/14/2001

Printed Name and Title

**G.O. Griffith, Jr. - Chief Operating Officer**

