

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY OF

04 AUG 16

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name North American Securities Administrators Association			
2. Address <input checked="" type="checkbox"/> Check if different than previously reported 750 First Street, NE; Suite 1140			
3. Principal Place of Business (if different from line 2) City: Washington State/Zip (or Country) DC 20002			
4. Contact Name Deborah Fischione House	Telephone (202) 737-0900	E-mail (optional) daf@nasaa.org	5. Senate ID # 2946
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 3173

**TYPE OF REPORT** 8. Year 2004 Midyear (January 1-June 30) ☒ OR Year End (July 1-Dec)

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date \_\_\_\_\_

11. No Lobbying

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>40,000.00</u> Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6011 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code</p>
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Signature

*Deborah Fischione House*

Printed Name and Title Deborah Fischione House, Director of Policy

LD-2 (REV. 6/98)

Registrant Name American Securities Administrators Assc Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

HR 2179 - Securities Fraud Deterrence and Investor Restitution Act of 2003  
 HR 1375 - Financial Services Regulatory Relief Act of 2003  
 H. Res. 578 - Financial Literacy Month  
 Excellence in Economic Education Act in the FY 2005 Labor, Health and Human Services, and Education Appropriation bill

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. Senate  
 U.S. House of Representatives  
 Securities and Exchange Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
John H. Hanley	
Deborah Fischione House	
Katie L. Henke	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature

*Deborah Fischione House*

Date 08/10/2004

Printed Name and Title Deborah Fischione House, Director of Policy

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Registrant Name American Securities Administrators Assoc Client Name \_\_\_\_\_

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

**ISSUE UPDATE**

24. General lobbying issues previously reported that **no longer** pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant or affiliated organization

Signature

*Deborah Micheline Howe*

Date

08/10/2004

Printed Name and Title Deborah Fischione House, Director of Policy

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