

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETARY OF THE SENATE  
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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>The Options Clearing Corporation</b>			
2. Address <input type="checkbox"/> Check if different than previously reported <b>1101 Pennsylvania Avenue, NW Suite 600, Washington, DC 20004</b>			
3. Principal Place of Business (if different from line 2) <b>Chicago</b> IL / 60606 City: State/zip (or Country)			
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
<b>Susan Milligan</b>	<b>(202) 756-7756</b>	<b>smilligan@theocc.com</b>	<b>66170-12</b>
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID #
			<b>35620000</b>

**TYPE OF REPORT** 8. Year 2003 Midyear (January 1-June 30)  OR Year End (July 1-Dec)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_

11. No Lobbyin

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p align="center"><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center"><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>260,000.00</u> Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definiti</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033 Internal Revenue Code</p> <p><input checked="" type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code</p>
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Signature Jessie Kelly

Date 2/9/04

Printed Name and Title

Susan Milligan, First Vice President and Special Counsel

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LD-2 (REV. 4/03)

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Registrant Name The Options Clearing Corporation Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Jumpstart Our Business Strength Act of 2003, S.1637;  
American Jobs Creation Act of 2003, H.R.2896

17. House(s) of Congress and Federal agencies contacted  Check if None

House, Senate and Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Susan Milligan	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *Susan Milligan* Date 2/9/04

Susan Milligan, First Vice President and Special Counsel

Printed Name and Title \_\_\_\_\_

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Registrant Name The Options Clearing Corporation Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Market Structure

17. House(s) of Congress and Federal agencies contacted  Check if None

House and Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Susan Milligan	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *Susan Milligan* Date 2/9/04



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Registrant Name The Options Clearing Corporation Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Implementation of the Commodity Futures Modernization Act (CFMA) of 2000

17. House(s) of Congress and Federal agencies contacted  Check if None

House and Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Susan Milligan	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *Susan Milligan* Date 2/9/04

Printed Name and Title \_\_\_\_\_

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Registrant Name The Options Clearing Corporation Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide information as requested. Attach additional page(s) as needed.

15. General issue area code BNK (one per page)

16. Specific lobbying issues

Bankruptcy Reform

17. House(s) of Congress and Federal agencies contacted  Check if None

House and Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Susan Milligan	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Susan Milligan Date 2/9/04



00000682306

Registrant Name The Options Clearing Corporation Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Business Continuity Planning

17. House(s) of Congress and Federal agencies contacted  Check if None

House, Senate and Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Susan Milligan	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Susan Milligan Date 2/9/04

Printed Name and Title \_\_\_\_\_

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Registrant Name The Options Clearing Corporation Client Name \_\_\_\_\_

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address \_\_\_\_\_
21. Client new principal place of business (if different from line 20) \_\_\_\_\_
- City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_
22. New general description of client's business or activities \_\_\_\_\_

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

John Carter

**ISSUE UPDATE**

24. General lobbying issues previously reported that **no longer** pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant, affiliated organization

Signature *Susan Milligan* Date 02/10/2006

