

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

HSBC GR-CORP

2. Address:

1401 EYE STREET, NW, #520, WASHINGTON, DC 20005

3. Principal place of business (if different from line 2):

4. Contact Name: J. DENIS O'TOOLE

Telephone: 2024663561

E-mail (optional): j.denis.otoole@us.hsbc.com

Senate ID #: 18694-12

House ID #:

7. Client Name: ☐ Self

HSBC NORTH AMERICA

TYPE OF REPORT

8. Year 2007 Midyear (January 1 - June 30): ☒ **OR** Year End (July 1 - December 31): ☐

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 1,320,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- ☐ **Method A.** Reporting amounts using LDA definitions only
☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
☒ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: HSBC GR-CORP Client Name: HSBC NORTH AMERICA

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BNK (one per page)

16. Specific lobbying issues:

HR 873 Credit Card Payment Fee Act HR 3893 Interchange HR 556 Foreign Investment and National Security Act of 2007 HR 323 Seasoned Customer CTR Exemption Act of 2007 HR 1289 Community Reinvestment Modernization Act HR 1461 Consumer Credit Protection Act HR 1510 The Credit Card Repayment Act of 2007 S 1176 The Credit Card Minimum Payment Warning Act HR 837 SAFETY Act HR 890 Student Loan Sunshine Act S 1395 Minimum Payment Disclosure Legislation HR 1869 Regulatory Relief for Consumer Banks HR 2669 College Cost Reduction Act of 2007 HR 41 Business Checking Fairness Act of 2007 HR 946 Overdraft Protection Fair Practices Act HR 1066 Depository Institution Community Development Investments Enhancement Act HR 5 College Student Relief Act of 2007

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: FRAZIER, MONIQUE

Covered Official Position (if applicable): FMR. LEGISLATIVE DIRECTOR FOR REP. MIKE ROSS

Name: O'TOOLE J. DENNIS

Covered Official Position (if applicable): N/A

Name: ROSENKOETTER, TOM

Covered Official Position (if applicable): N/A

Name: ST. AMAND, JANET G.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above.

As the parent of HSBC-North America, HSBC Holdings, plc has interests in the issues lobbied.

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15. General issue area code: CPT (one per page)

16. Specific lobbying issues:

HR 1908 Patent Reform Act S 1145 Patent Reform Act of 2007

17. House(s) of Congress and Federal agencies contacted:

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15. General issue area code: CSP (one per page)

16. Specific lobbying issues:

HR 480 To Amend the Truth in Lending Act S 806 Consumer Identity Protection and Security Act S 1178 Data Security S 1260 Data Security HR 1525 Internet Spyware Prevention Act of 2007 HR 964 Securely Protect Yourself Against Cyber Trespass Act HR 1314 REAL ID Act HR 958 Data Accountability and Trust Act HR 948 Social Security Number Protection Act S 495 Personal Data Privacy and Security Act of 2007

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15. General issue area code: FIN (one per page)

16. Specific lobbying issues:

S 2856 Financial Services Regulatory Relief Act of 2007 HR 755 Transparency in Financial Reporting Act

17. House(s) of Congress and Federal agencies contacted:

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Registrant Name: HSBC GR-CORP Client Name: HSBC NORTH AMERICA

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15. General issue area code: GOV (one per page)

16. Specific lobbying issues:

HR 2317 Lobbying Transparency Act of 2007 HR 2316 Honest Leadership and Open Government Act of 2007

17. House(s) of Congress and Federal agencies contacted:

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15. General issue area code: HOU (one per page)

16. Specific lobbying issues:

HR 1752 Expanding American Home Ownership Act of 2007 S 1386 Home ownership Protection and Enhancement Act of 2007 S 1222 STOP FRAUD Act HR 526 Supporting Home Ownership and Responsible Lending HR 3081 Fairness for Homeowners Act of 2007 HR 1427 Federal Housing and Reform Act of 2007 S 1100 GSE Reform S 1299 Borrower's Protection Act HR 1852 Expanding American Home Ownership Act of 2007

17. House(s) of Congress and Federal agencies contacted:

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15. General issue area code: INS (one per page)

16. Specific lobbying issues:

S 40 The National Insurance Act HR 1682 Flood Insurance Reform Modernization Act of 2007 HR 2761 Terrorism Risk Insurance Act

17. House(s) of Congress and Federal agencies contacted:

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15. General issue area code: SMB (one per page)

16. Specific lobbying issues:

HR2 Small Business and Work opportunity Act of 2007 S 1256 A Bill to Amend the Small Business Act HR 1332 National 7(a) and 504 Program Endorsements

17. House(s) of Congress and Federal agencies contacted:

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Covered Official Position (if applicable): N/A

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Covered Official Position (if applicable): N/A

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15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

HR 1677 Taxpayer Protection Act of 2007 S 1726 Business Activity Tax Simplification Act of 2007

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

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15. General issue area code: TRD (one per page)

16. Specific lobbying issues:

S 1607 Currency Exchange Rate Oversight Reform Act of 2007 S 1677 Currency Reform and Financial Markets Access Act of 2007

17. House(s) of Congress and Federal agencies contacted:

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As parent of HSBC-North America, HSBC Holdings, plc has interests in the issues lobbied.

Signature: ON FILE Date: Aug 13, 2007

Printed Name and Title: JANET ST. AMAND, VICE PRESIDENT, GOVERNMENT RELATI -

Information Update Page:

Complete ONLY where registration information has changed.

LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that NO LONGER pertain

AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Aug 13, 2007

Printed Name and Title: -