Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515 Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

Secretary of the Senate Received: Feb 13, 2007

# **LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name:
MORGAN CHASE & CO, J.P.
2. Address: 270 PARK AVENUE, 29TH FLOOR, NEW YORK, NY 10017
Principal place of business (if different from line 2):
4. Contact Name: DANIEL J. EKSTEIN Telephone: 2025858942 E-mail (optional): daniel, j. ekstein@jpmchase.com
Senate ID #: 25807-12 House ID #:
7. Client Name: X Self
TYPE OF REPORT
8. Year <u>2006</u> Midyear (January 1 - June 30): 🔲 <b>OR</b> Year End (July 1 - December 31): 🔀
9. Check if this filing amends a previously filed version of this report:
10. Check if this is a Termination Report: => Termination Date: 11. No Lobbying Activity:
INCOME OR EXPENSES
Complete Either Line 12 <b>0R</b> Line 13
12. Lobbying Firms
INCOME relating to lobbying activities for this reporting period was:
Less than \$10,000:
\$10,000 or more: => Income (nearest \$20,000):
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to th registrant by any other entity for lobbying activities on behalf of the client).
13. Organizations
EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000:
\$10,000 or more: X => Expenses (nearest \$20,000): 2,780,000.00
14. Reporting Method. Check box to indicate expense accounting method. See instructions for description of options.
Method A. Reporting amounts using LDA definitions only Method B. Reporting amounts under section 6033(b)[8] of the Internal Revenue Code Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed

- 15. General issue area code: BAN (one per page)
- 16. Specific lobbying issues:

S 2856 "Financial Services Regulatory Relief Act of 2006" and HR 3505 "Financial Services Regulatory Relief Act of 2005" (original bills to provide regulatory relief and improve productivity for insured depository institutions, and for other purposes); Credit Card Pricing (address concerns and educate members of Congress on credit card pricing and marketing practices); Deposit Insurance Reform (proposed rules regarding large bank premiums); Large Bank Deposits (advance notice of proposed rulemaking, large bank deposits); Predatory Lending Reform (protect home buyers from predatory lending practices and support legislation providing for federal preemption of state predatory lending laws); Regulatory Parity (discuss the need for a level playing field for regulated financial institutions); Electronic Benefits Transfer (implementation of electronic benefits transfer programs); HR 3997 "Financial Data Protection Act of 2006" and HR 4127 "Data Accountability and Trust Act" (amend the Fair Credit Reporting Act to provide for secure financial data, and for other purposes. This legislation prescribes the regime for notification to consumer in the event of data breaches); HR 5789 "To Amend Title 31 U.S.C. to Modernize Cash Management by Allowing the Use of Certain Obligations Instead of Surety Bonds" (advocate the modernization of cash management by allowing the use of certain obligations instead of surety bonds); Basel II (regarding implementation of Basel II capital accords); HR 57122 "John Warner National Defense Authorization Act for Fiscal Year 2007" (regarding provision that could potentially limit credit products to service members and their dependents) S 2767 "Federal Employee Protection of Disclosures Act" (address unintended consequences of provisions that would affect legal lending practices of depository institutions);

17. House(s) of Congress and Federal agencies contacted:
Agriculture, Dept of (USDA)
Council of Economic Advisers (CEA)
Executive Office of the President (EOP)
Federal Deposit Insurance Commission (FDIC)
Federal Reserve System
HOUSE OF REPRESENTATIVES
Office of Thrift Supervision (OTS)
Office of the Comptroller of the Currency (OCC)
SENATE
Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CAMPER, NAOMI G.
Covered Official Position (if applicable): DEM STF DIR, SEN SUBCOM FINANCIAL INSTITUTIONS
Name: GRINER, ROBERT E.
Covered Official Position (if applicable): CHIEF OF STAFF, REP. DAVID SCOTT
Name: PATTERSON. STEVEN R.
Covered Official Position (if applicable): STAFF DIR., SEN SUBCOM ECONOMIC POLICY
Name: RUHLEN, STEPHEN S
Covered Official Position (if applicable): N/A

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed

- 15. General issue area code: CPT (one per page)
- 16. Specific lobbying issues:

HR 2795 "Patent Reform Act of 2005" (reform of the process for issuing patents and of the rules governing patent infringement legislation)

17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CAMPER, NAOMI G.
Covered Official Position (if applicable): DEM STF DIR, SEN SUBCOM FINANCIAL INSTITUTIONS
Name: KELLER, JAMES A.
Covered Official Position (if applicable): N/A
Name: LAZIO, RICK
Covered Official Position (if applicable): N/A
Name: RUHLEN, STEPHEN S
Covered Official Position (if applicable): N/A

#### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed

- 15. General issue area code: EDU (one per page)
- 16. Specific lobbying issues:

HR 4939 "Emergency Supplemental Appropriations Act for Defense, the Global War on Terror, and Hurricane Recovery, 2006" and HR 619 "Denver Federal Center Redevelopment Act" (repeal of single holder rule, provision allowing loan holders to renegotiate lower rates with lender of their choice); S 1932 "Deficit Reduction Omnibus Reconcilliation Act of 2005" (reforms of the Federal Family Education Loan Program contained in the Deficit Reduction Act)

17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: KELLER, JAMES A.
Covered Official Position (if applicable): N/A
Name: RUHLEN, STEPHEN S
Covered Official Position (if applicable): N/A
Name: WOODS, LEE
Covered Official Position (if applicable): N/A

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed

- 15. General issue area code: FIN (one per page)
- 16. Specific lobbying issues:
- S 190 "Federal Housing Enterprise Regulatory Reform Act of 2005" (regulation of secondary mortgage market enterprises); HR 4473 "CFTC Reauthorization Act of 2005" and S 1566 "Commodity Reauthorization Act of 2005" (CFTC Reauthorization, CEA, derivatives)
- 17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE
- 18. Name of each individual who acted as a lobbyist in this issue area:

Name: CAMPER, NAOMI G.
Covered Official Position (if applicable): DEM STF DIR, SEN SUBCOM FINANCIAL INSTITUTIONS
Name: GRINER, ROBERT E.
Covered Official Position (if applicable): CHIEF OF STAFF, REP. DAVID SCOTT
Name: PATTERSON. STEVEN R.
Covered Official Position (if applicable): STAFF DIR., SEN SUBCOM ECONOMIC POLICY
Name: RUHLEN, STEPHEN S
Covered Official Position (if applicable): N/A

#### LOBBYING ACTIVITY.

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- 15. General issue area code: HOU (one per page)
- 16. Specific lobbying issues:

Katrina Rebuilding Funding (supported passage of supplemental appropriations to fund relief and rebuilding efforts for homeowners and communities affected by hurricane Katrina) HR 3854 "Making Appropriations for Agriculture, Rural Development, Food and Drug Administration, and Related Agencies for the fiscal year ending September 30, 2007" (Section 502 Rural Housing Guaranteed Loan Program and other purposes);

17. House(s) of Congress and Federal agencies contacted: Agriculture, Dept of (USDA) Executive Office of the President (EOP) HOUSE OF REPRESENTATIVES SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: GRINER, ROBERT E.
Covered Official Position (if applicable): CHIEF OF STAFF, REP. DAVID SCOTT

Name: LAZIO, RICK
Covered Official Position (if applicable): N/A

Name: PATTERSON, STEVEN R.
Covered Official Position (if applicable): STAFF DIR., SEN SUBCOM ECONOMIC POLICY

Name: RUHLEN, STEPHEN S
Covered Official Position (if applicable): N/A

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed

- 15. General issue area code: INS (one per page)
- 16. Specific lobbying issues:
- HR 4314 and S 467 "Terrorism Risk Insurance Act" (implementation of Act going forward)
- 17. House(s) of Congress and Federal agencies contacted: Council of Economic Advisers (CEA) Treasury, Dept of
- 18. Name of each individual who acted as a lobbyist in this issue area:

Name: PATTERSON, STEVEN R.
Covered Official Position (if applicable): STAFF DIR., SEN SUBCOM ECONOMIC POLICY

19. Interest of each foreign entity in the specific issues listed on line 16 above. None

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed

- 15. General issue area code: POS (one per page)
- 16. Specific lobbying issues:
- HR 22 and S 662 "Postal Accountability and Enhancement Act" (modernize the U.S. Postal Service)
- 17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE
- 18. Name of each individual who acted as a lobbyist in this issue area:

Name: KELLER, JAMES A. Covered Official Position (if applicable): N/A Name: RUHLEN, STEPHEN S Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. None

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed

- 15. General issue area code: RES (one per page)
- 16. Specific lobbying issues:

HR 111 and S 98 "Community Choice in Real Estate Act" (application of provisions preventing banks from engaging in real estate brokerage)

17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CAMPER, NAOMI G.
Covered Official Position (if applicable): DEM STF DIR, SEN SUBCOM FINANCIAL INSTITUTIONS
Name: GRINER, ROBERT E.
Covered Official Position (if applicable): CHIEF OF STAFF, REP. DAVID SCOTT
Name: PATTERSON. STEVEN R.
Covered Official Position (if applicable): STAFF DIR., SEN SUBCOM ECONOMIC POLICY
Name: RUHLEN, STEPHEN S
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. None

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed

- 15. General issue area code: RET (one per page)
- 16. Specific lobbying issues:

HR 2830 "Pension Protection Act of 2005" and S 1783 "Pension Security and Transparency Act of 2005" (reform of the Employee Retirement Income Security Act of 1974, specifically the prohibited transactions provisions of ERISA and the provisions governing cash balance retirement plans)

17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CAMPER, NAOMI G.
Covered Official Position (if applicable): DEM STF DIR, SEN SUBCOM FINANCIAL INSTITUTIONS
Name: KELLER, JAMES A.
Covered Official Position (if applicable): N/A
Name: RUHLEN, STEPHEN S
Covered Official Position (if applicable): N/A

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed

- 15. General issue area code: TAX (one per page)
- 16. Specific lobbying issues:

HR 4297 "Tax Increase Prevention and Reconcilliation Act of 2005" (corporate tax provisions including Subpart F Foreign Sales Corporation leases, extension of rates for dividends and capital gains); Common Trust Funds (technical correction regarding conversions of certain common trust funds); Credit Card Merchant Payments (IRS reporting proposal)

17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CAMPER, NAOMI G.
Covered Official Position (if applicable): DEM STF DIR, SEN SUBCOM FINANCIAL INSTITUTIONS
Name: KELLER, JAMES A.
Covered Official Position (if applicable): N/A
Name: RUHLEN, STEPHEN S
Covered Official Position (if applicable): N/A

### LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed

- 15. General issue area code: TEC (one per page)
- 16. Specific lobbying issues:

Phishing (proposals that could limit access and ability to detrmine owners of interest phishing sites)

17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: RUHLEN, STEPHEN S Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. None

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed

- 15. General issue area code: TRD (one per page)
- 16. Specific lobbying issues:

HR 5337 "National Security Foreign Investment Reform and Strengthened Transparency Act of 2006" and S 3549 "Foreign Investment and National Security Act of 2006" (support reform of CFIUS process); HR 5684 "United States-Oman Free Trade Agreement Implementation Act" (support passage of U.S.-Oman trade agreement); HR 5602 and S 3495 "Authorizing the Extension of Normal Trade Relations Treatement to the Products of Vietnam" (extend permanent trade relations status to Vietnam); Russia WTO accession HR 3045 "Dominican Republic-Central America-United States Free Trade Agreement Implementation Act" (support for the passage and implementation of CAFTA); HR 5068 "Export-Import Bank Reauthorization Act of 2006" (support Ex-Im Bank reauthorization);

17. House(s) of Congress and Federal agencies contacted: HOUSE OF REPRESENTATIVES SENATE Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CAMPER, NAOMI G.

Covered Official Position (if applicable): DEM STF DIR, SEN SUBCOM FINANCIAL INSTITUTIONS

Name: GRINER, ROBERT È.

Covered Official Position (if applicable): CHIEF OF STAFF, REP. DAVID SCOTT

Name: LAZIO, RICK

Covered Official Position (if applicable): N/A

Name: PATTERSON, STEVEN R

Covered Official Position (if applicable): STAFF DIR., SEN SUBCOM ECONOMIC POLICY

Name: RUHLEN, STEPHEN S

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. None

Signature: ON FILE Date: Feb 13, 2007

Printed Name and Title: DANIEL J. EKSTEIN, VP -

### Information Update Page:

Complete ONLY where registration information has changed.

#### LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

Name: WOODS, LEE

#### **ISSUE UPDATE**

24. General lobbying issues previously reported that NO LONGER pertain

## AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

#### FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Feb 13, 2007

Printed Name and Title: -