

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**NORTH AMERICAN SECURITIES ADMINISTRATORS ASSN**

2. Address:

10 G ST NE #710, WASHINGTON, DC 20002

3. Principal place of business (if different from line 2):

4. Contact Name: DEBORAH A. FISCHIONE

Telephone: 202-737-0900

E-mail (optional): daf@nasaa.org

Senate ID #: 29460-12

House ID #:

7. Client Name:  Self

## TYPE OF REPORT

8. Year 2000 Midyear (January 1 - June 30):  **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report:  => Termination Date: \_\_\_\_\_ 11. No Lobbying Activity:

## INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more:  => Income (nearest \$20,000): \_\_\_\_\_

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more:  => Expenses (nearest \$20,000): \_\_\_\_\_

### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- Method A.** Reporting amounts using LDA definitions only  
 **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code  
 **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: NORTH AMERICAN SECURITIES ADMINISTRATORS ASSN Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FIN (one per page)

16. Specific lobbying issues:

S. 2107 - The Competitive Markets Supervision Act HR 4541 - The Commodity Futures Modernization Act of 2000 HR 2871 - The Youth Financial Literacy Act Federal Trade Commission Financial Privacy Rules

17. House(s) of Congress and Federal agencies contacted:

Federal Trade Commission (FTC)

HOUSE OF REPRESENTATIVES

SENATE

Securities & Exchange Commission (SEC)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HOUSE, DEBORAH FISCHIONE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 13, 2001

Printed Name and Title: DEBORAH A. FISCHIONE - DIRECTOR OF POLICY