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## LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

Check if this is an Amended Registration

1. Effective Date of Registration

11/01/1999

2. House Identification Number

Senate Identification Number

### REGISTRANT

3. Registrant Name **R. Duffy Wall & Associates, Inc.**

Address **601 13th Street, N.W.**

**Suite 410 South**

City **Washington**

State **DC** Zip **20005**

4. Principal place of business (if different from line 3)

City

State/Zip (or Country)

5. Telephone number and contact name Contact

E-Mail (optional)

(202) 737-0100 **David Jerry**

6. General description of registrant's business or activities

**Government Relations Consulting Firm**

### CLIENT

*A lobbying firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should check the box labeled "Self" and proceed to line 10. ( ) Self*

7. Client Name **Software Finance and Tax Executives Council**

Address **1150 17th Street, NW**

**Suite 601**

City **Washington**

State **DC** Zip **20036**

8. Principal place of business (if different from line 7)

City

State/Zip (or Country)

9. General description of client's business or activities

**Trade Association representing software industry that provides assistance with tax and financial matters.**

### LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any person listed in this section has served as a "covered executive branch official" or "covered legislative branch official" within two years of first acting as a lobbyist for this client, state the executive and/or legislative position(s) in which the person served.

Name	Covered Official Position (if applicable)
<b>David Jerry</b>	

Form LD-1 (Rev. 06/98)

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Registrant Name: R. Duffy Wall & Associates, Inc.  
Client Name: Software Finance and Tax Executives Council

### **LOBBYING ISSUES**

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, page 1.

#### **TAX**

12. Specific lobbying issues (current and anticipated)  
General tax issues for software industry

### **AFFILIATED ORGANIZATIONS**

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiaannual period and in whole or major part plans, supervises, or controls the registrant's lobbying activities?

No.—Go to line 14.  Yes.—Complete the rest of this section for each entity matching the criteria above, then proceed to line 14.

Name	Address	Principal Place of Business (city and state or country)

### **FOREIGN ENTITIES**

14. Is there any foreign entity that:

- a) holds at least 20% equitable ownership in the client or any organization identified on line 13; or
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances, or subsidizes activities of the client or any organization identified on line 13; or
- c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of the lobbying activity?

No. Sign and date the registration.  Yes. Complete the rest of this section for each entity matching the criteria above; then sign and date the registration.

Name	Address	Principal Place of Business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

Signature Bill K. Brewster Date 01/24/2000

Printed Name and Title Bill K. Brewster - Chairman